

# USE OF SPATIAL CONTEXT INFORMATION DURING RTM INVERSION

Clement ATZBERGER

Tartu - 25 August 2017

# BOKU - UNIVERSITY OF NATURAL RESOURCES & LIFE SCIENCES



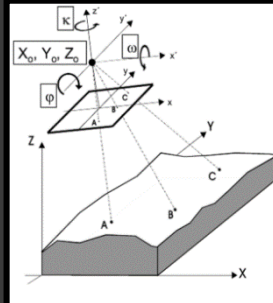
- History:** ... since 1875
- Staffing:** ... ca. 20 (mostly permanent)
- Teaching:** ... several bachelor & master programs
- Thematics:** ... Remote sensing & photogrammetry  
... GIS & land information  
... Surveying & geodesy

# REMOTE SENSING GROUP AT BOKU ACTIVITIES

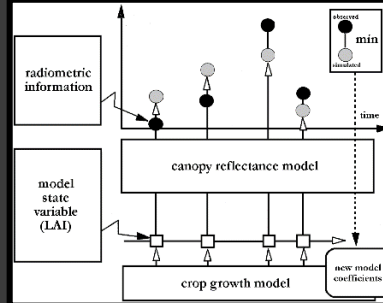
## Biophysical parameter



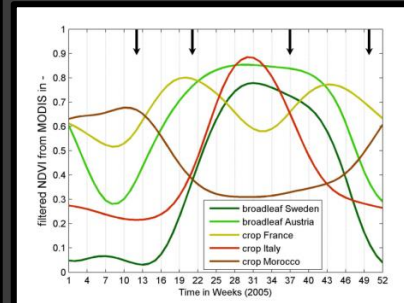
## Photo-grammetry



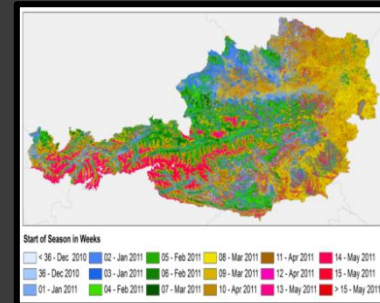
## RS data assimilation



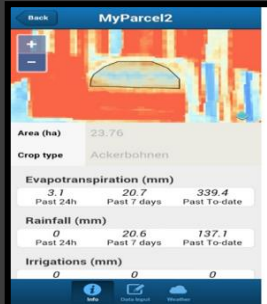
## Time series analysis



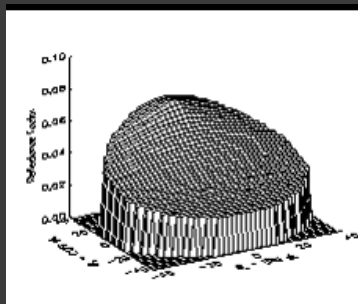
## Land surface phenology



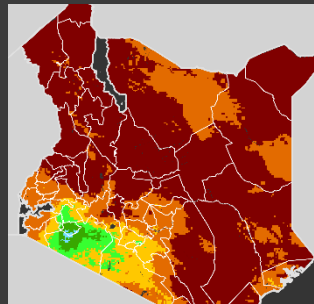
## Precision agriculture



## BRDF modeling



## Drought monitoring



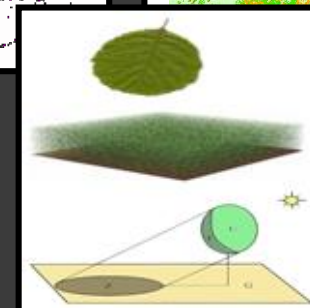
## Laser scanning



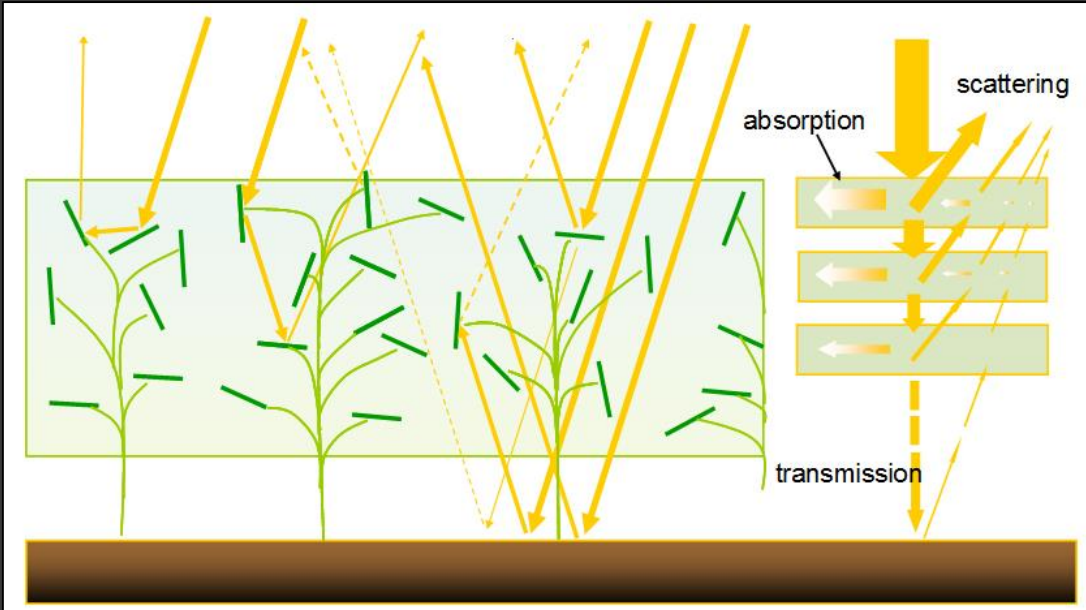
## LULC mapping



## RT modeling



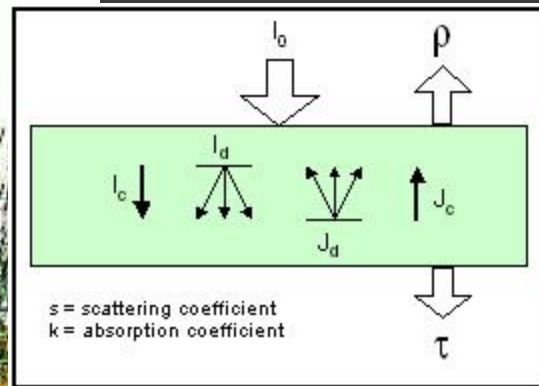
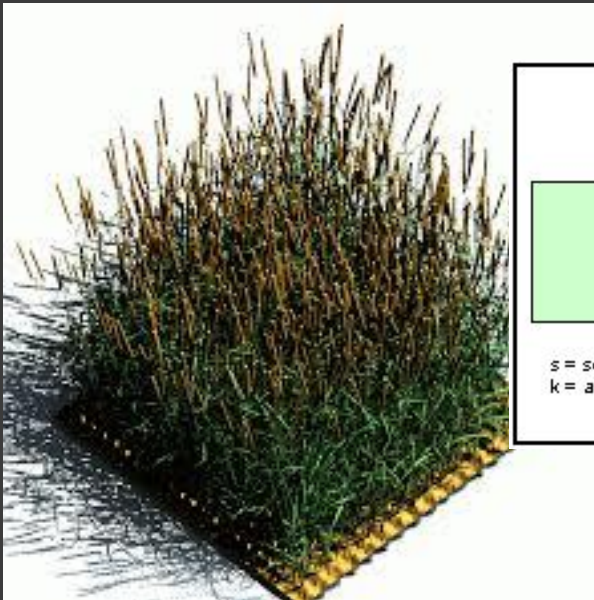
# MAPPING OF VEGETATION TRAITS: RTM



Radiative transfer modeling:

“Use of physical laws”

Jones & Vaughan, 2010

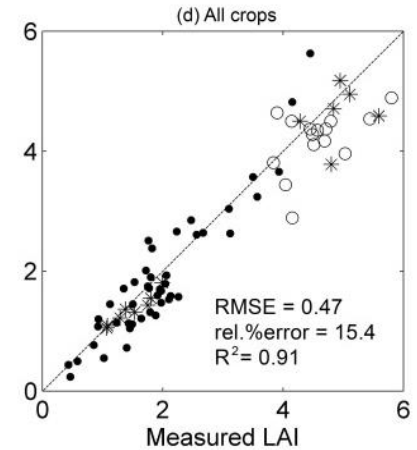
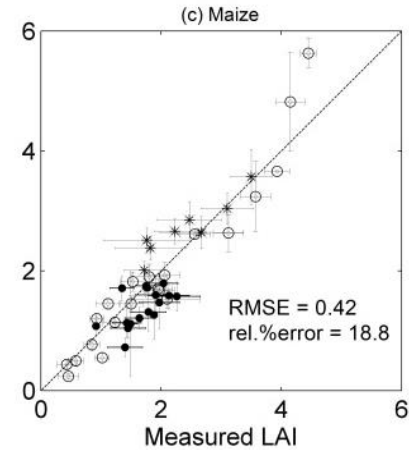
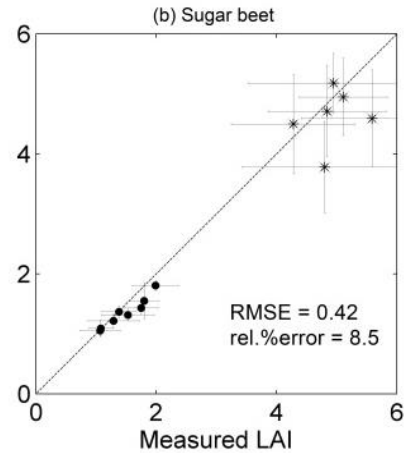
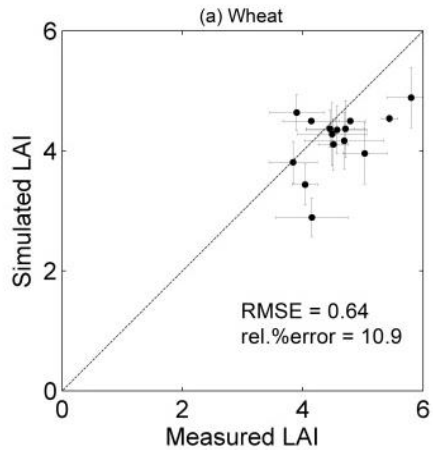


... therefore some advantages (in theory):

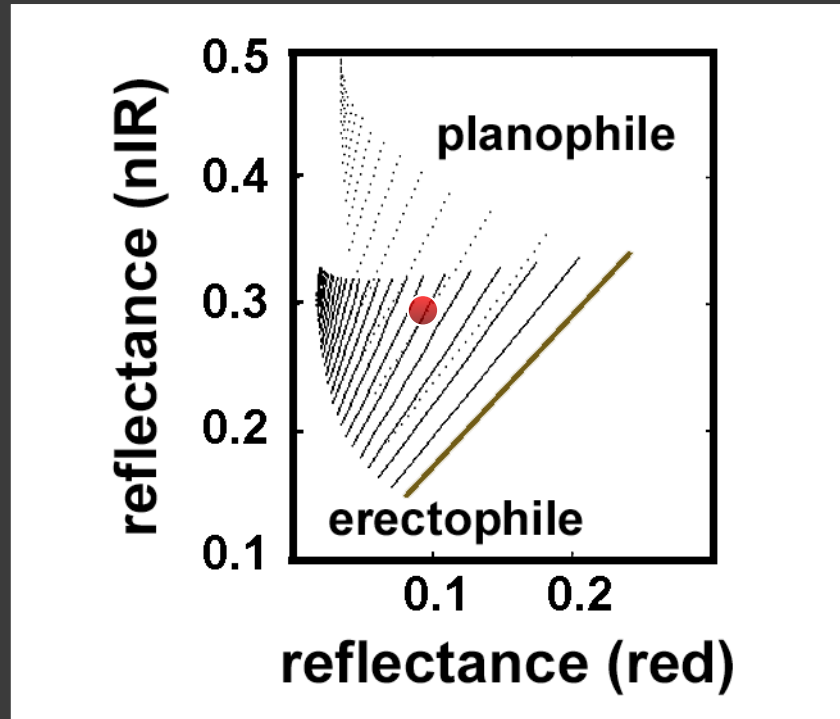
- Field data only for validation needed
- More generic (time, landscape)
- Not sensor specific
- Data redundancy not a problem

# MAPPING OF VEGETATION TRAITS: RTM

## PROSAIL



# MAPPING OF VEGETATION TRAITS: “ILL-POSEDNESS”



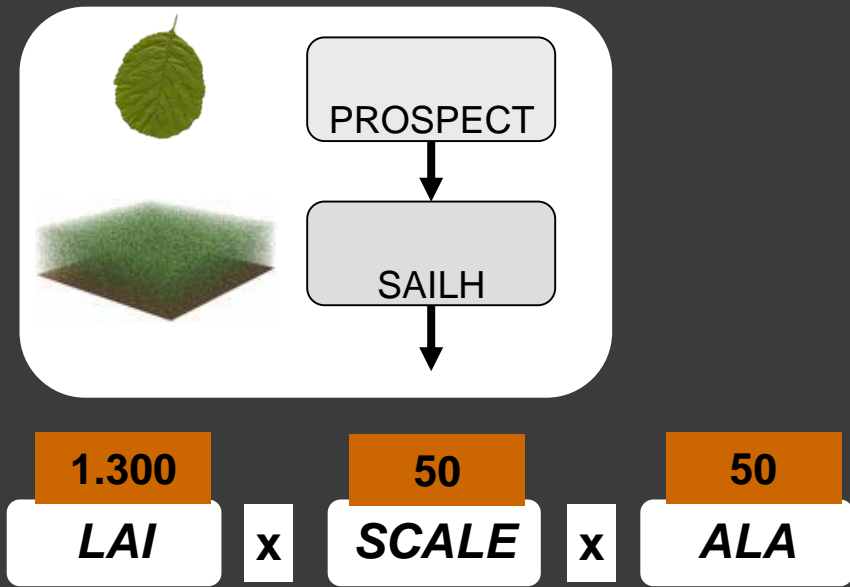
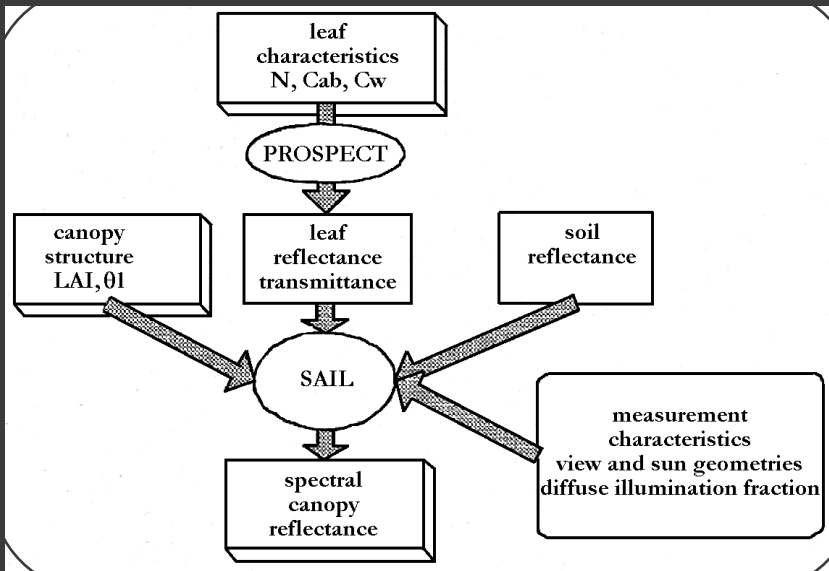
Assumption:  
'erectophile'

LAI = 2.4  
RSOIL = bright

Assumption:  
'planophile'

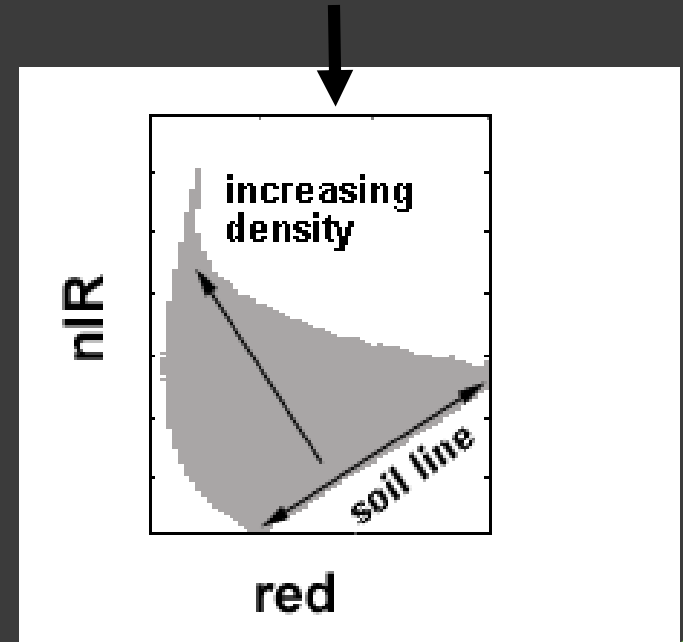
LAI = 1.0  
RSOIL = medium

The ill-posed inverse problem illustrated in the red-nIR feature space. LAI-isolines range from 0 (bare soil) to LAI=5 in steps of 0.5 (SAILH+PROSPECT simulations)

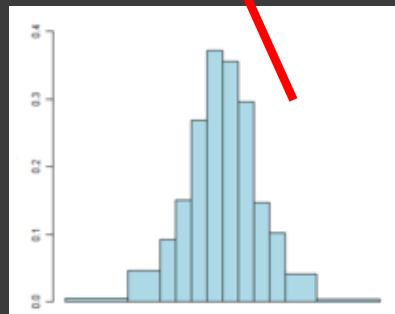
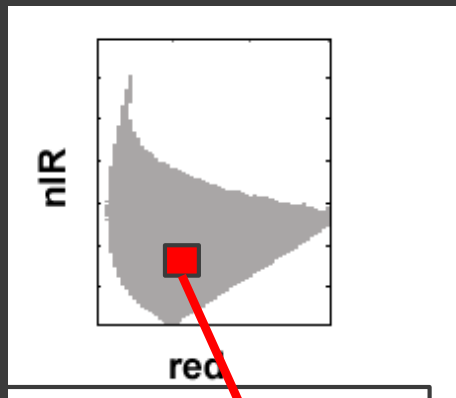


Large number (> 3 Mio) of canopy reflectances were simulated using PROSAIL RTM ... using different combinations of LAI, soil brightness and ALA ... with all other parameters set constant.

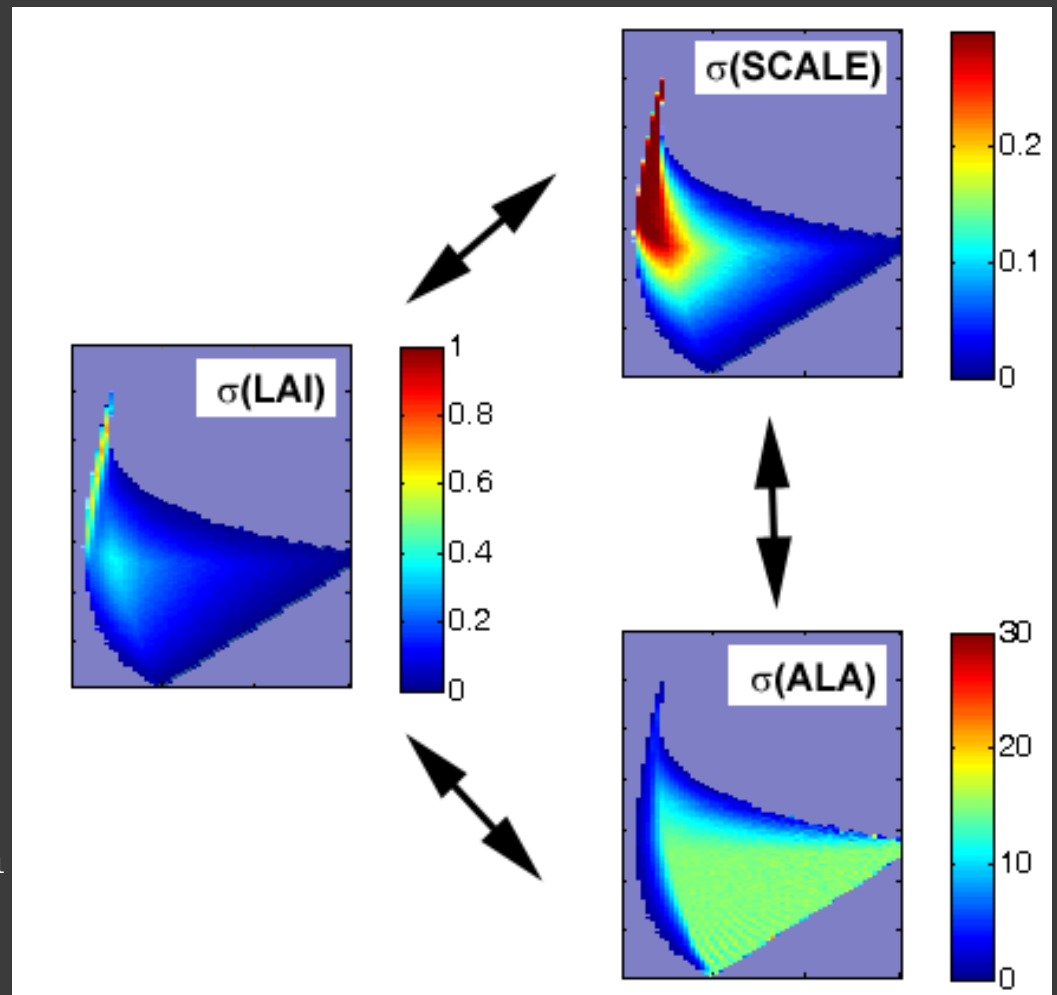
.... the resulting Tasseled Cap is well known (theory & practice)



# Counterbalancing effect of LAI, soil brightness, and ALA



LAI distribution inside one **cell**

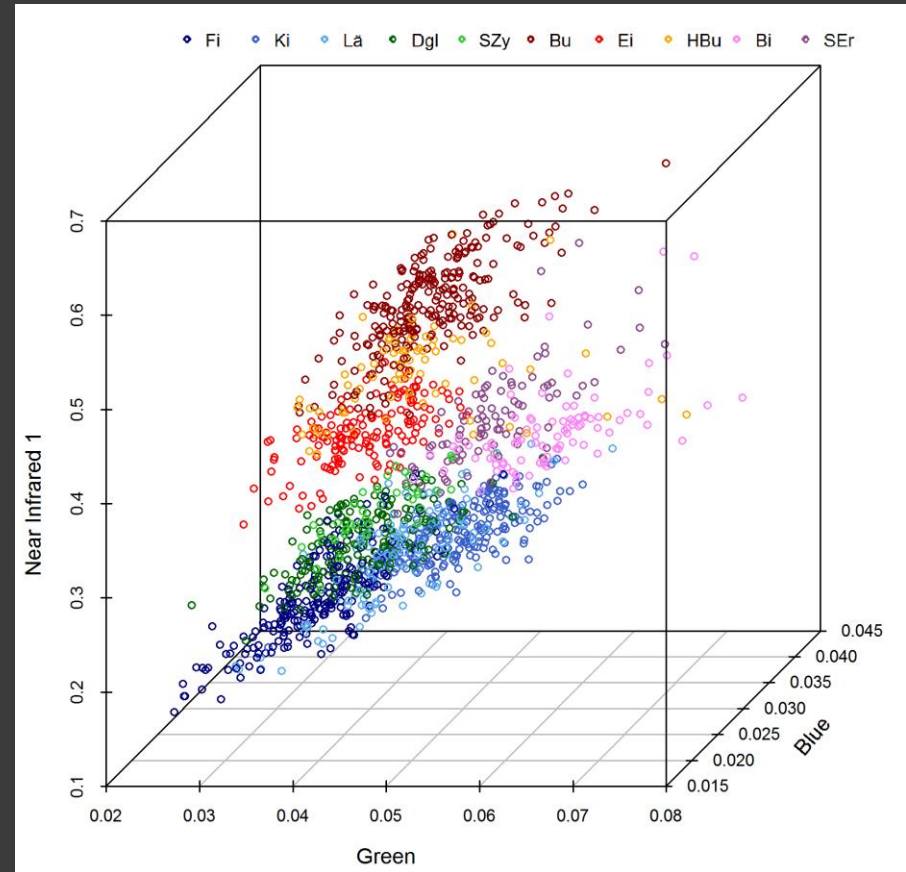
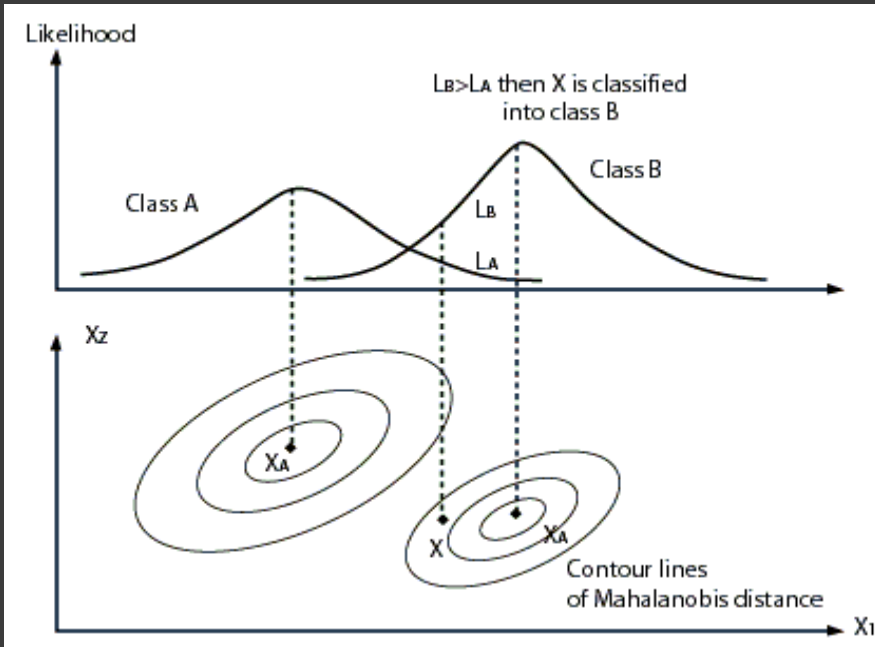


**Interpretation:** ...In areas with high stdev, the respective parameter cannot be retrieved accurately !

One can easily imagine, that adding variability in leaf pigmentation, leaf sizes, observation/illumination geometries, etc. will further amplify the problem !

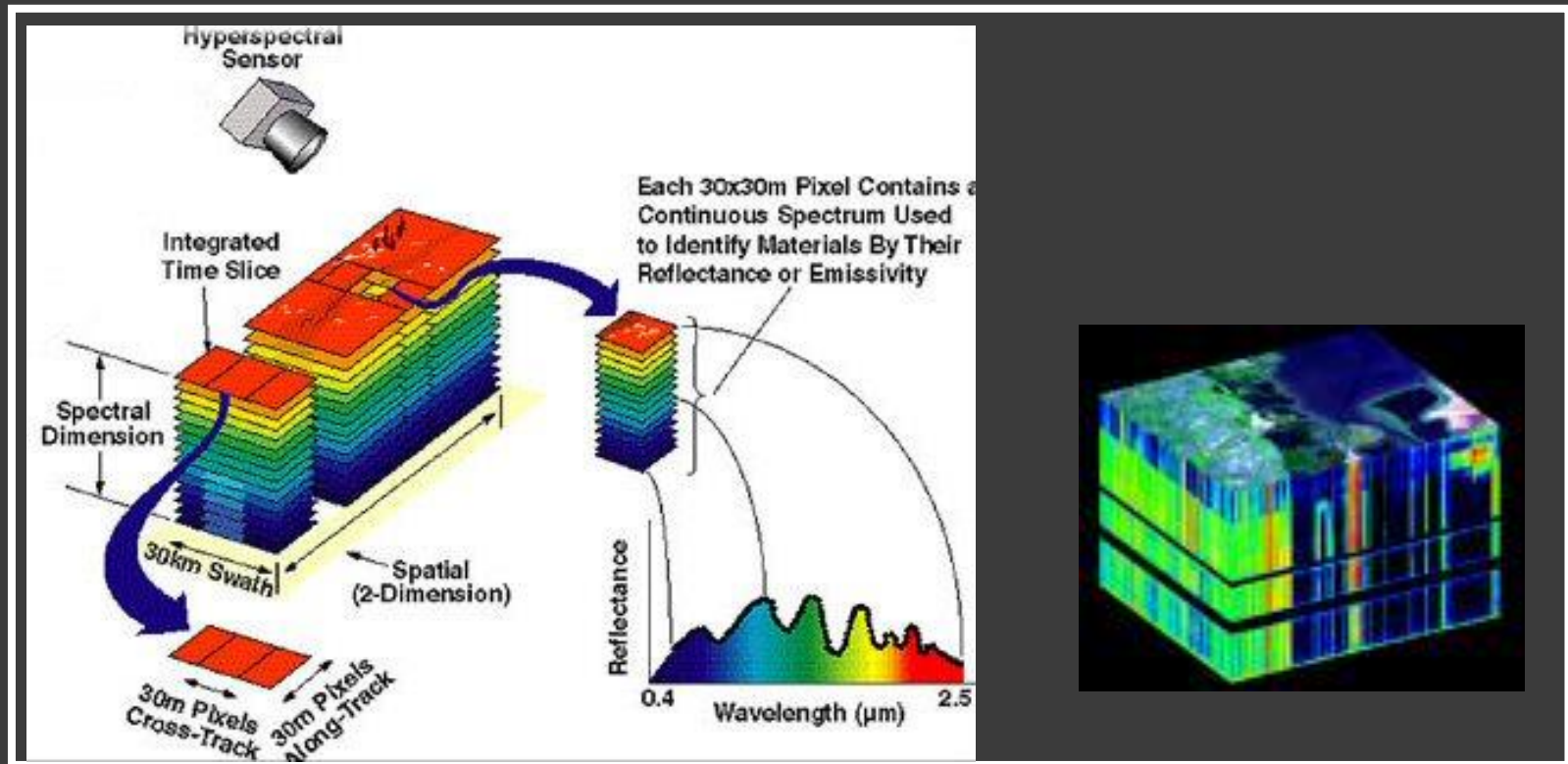


# MAPPING OF VEGETATION TRAITS: “ILL-POSEDNESS”



# COPING WITH ILL-POSEDNESS

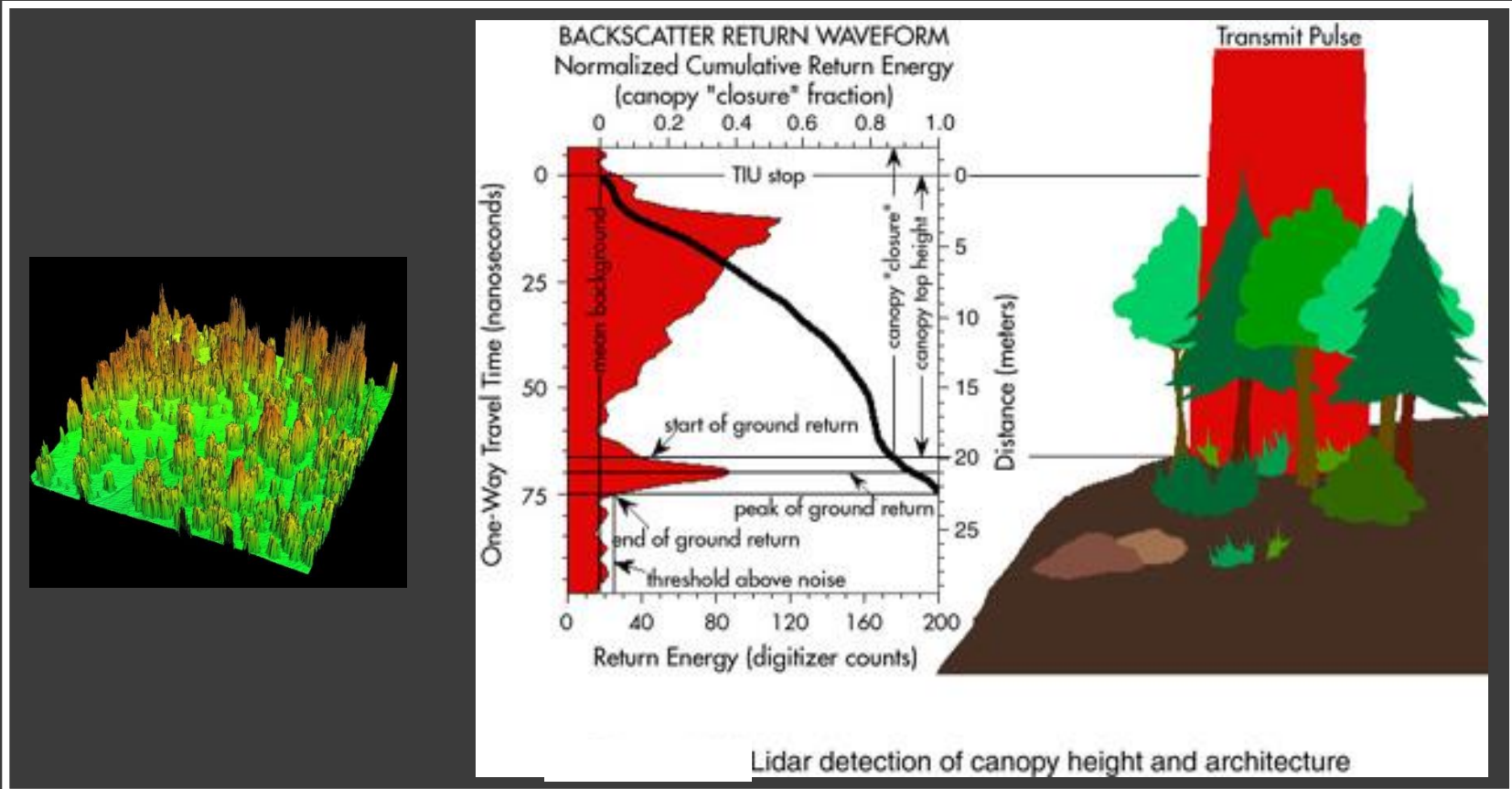
Increasing the dimensionality of the data



By mapping the Earth surface in many continuous spectral bands, a better inversion of radiative transfer models can be achieved (source: web)

# COPING WITH ILL-POSEDNESS

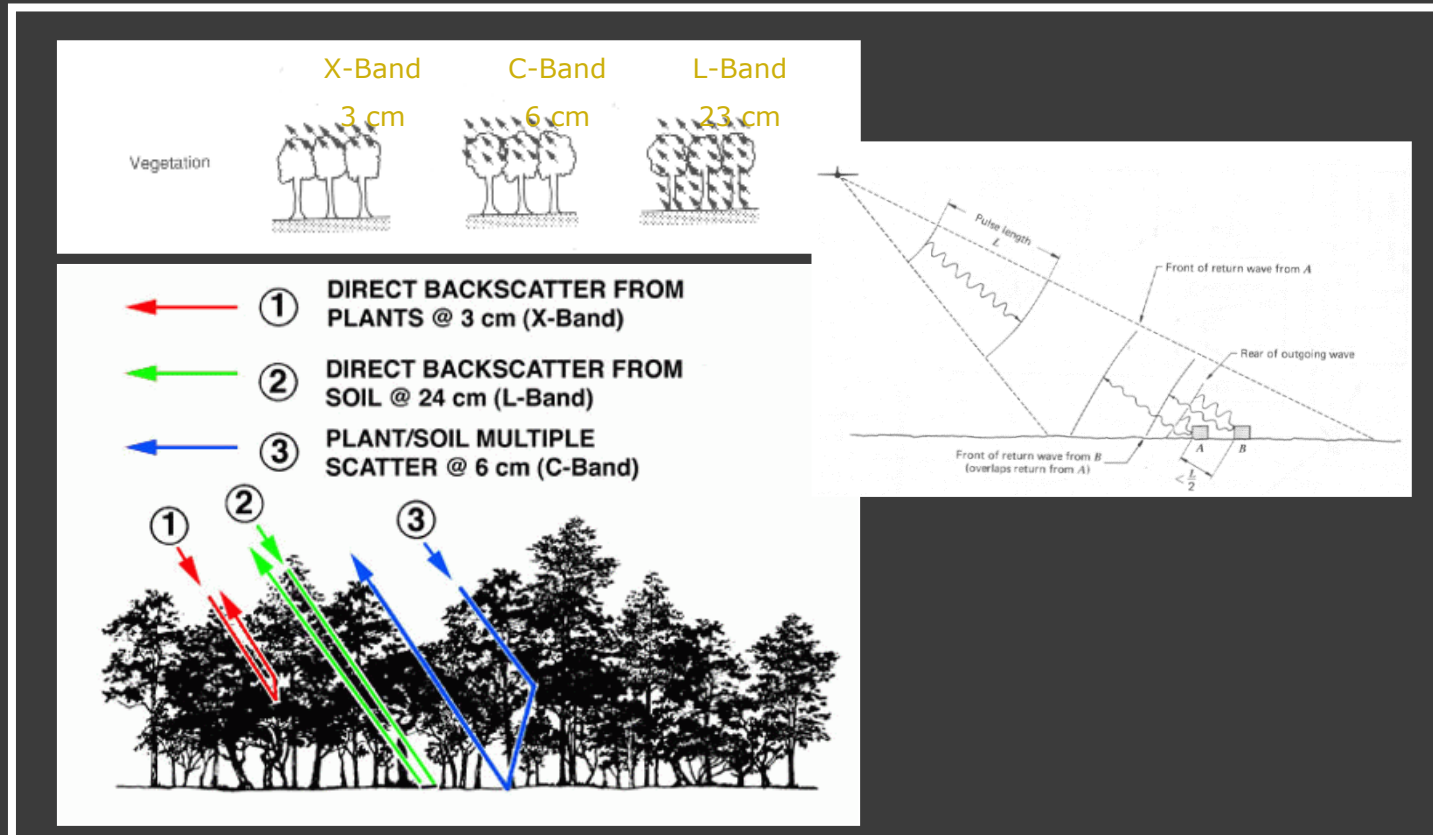
## Increasing the dimensionality of the data



The number of variables to be retrieved can be reduced if some variables can be mapped from other EO data (e.g. canopy height and architecture from LIDAR measurements) (source: web)

# COPING WITH ILL-POSEDNESS

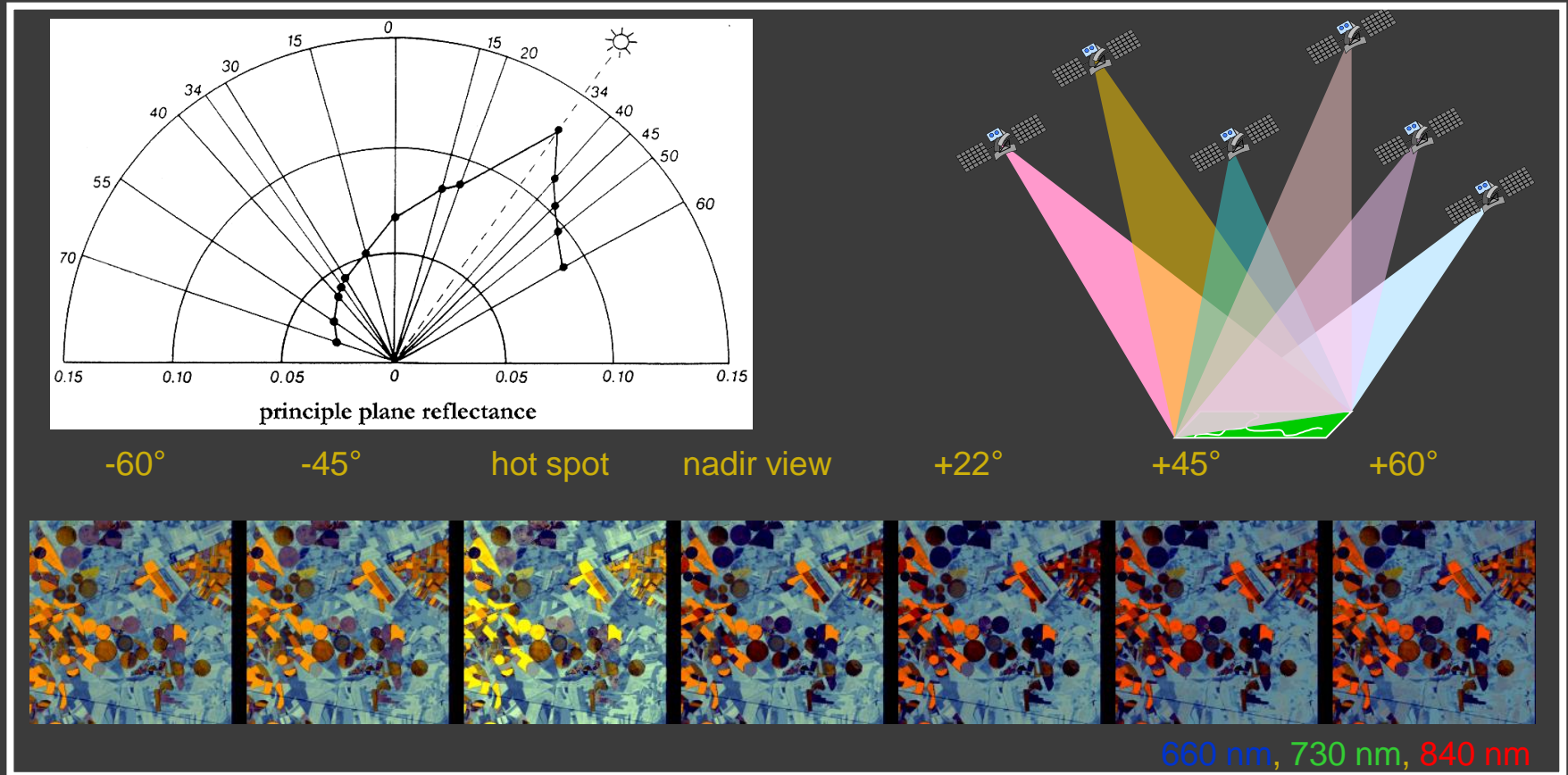
Increasing the dimensionality of the data



The ill-posed problem can be considerably reduced by increasing the dimensionality of the data set – here: by combining optical and microwave data sets (source: web)

# COPING WITH ILL-POSEDNESS

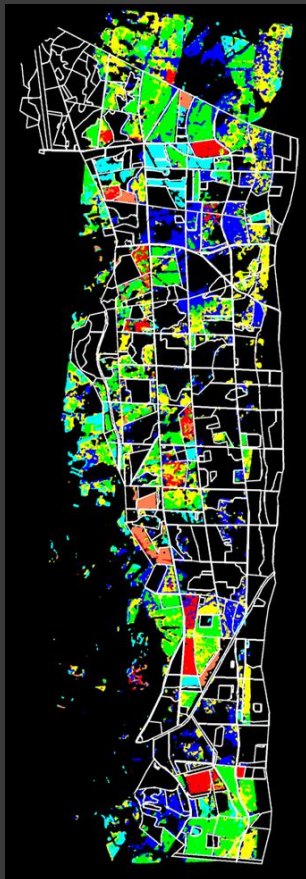
Increasing the dimensionality of the data



The ill-posed problem can be considerably reduced by increasing the dimensionality of the data set – here: combining spectral and directional data (source: web)

# COPING WITH ILL-POSEDNESS

Including (external) prior information



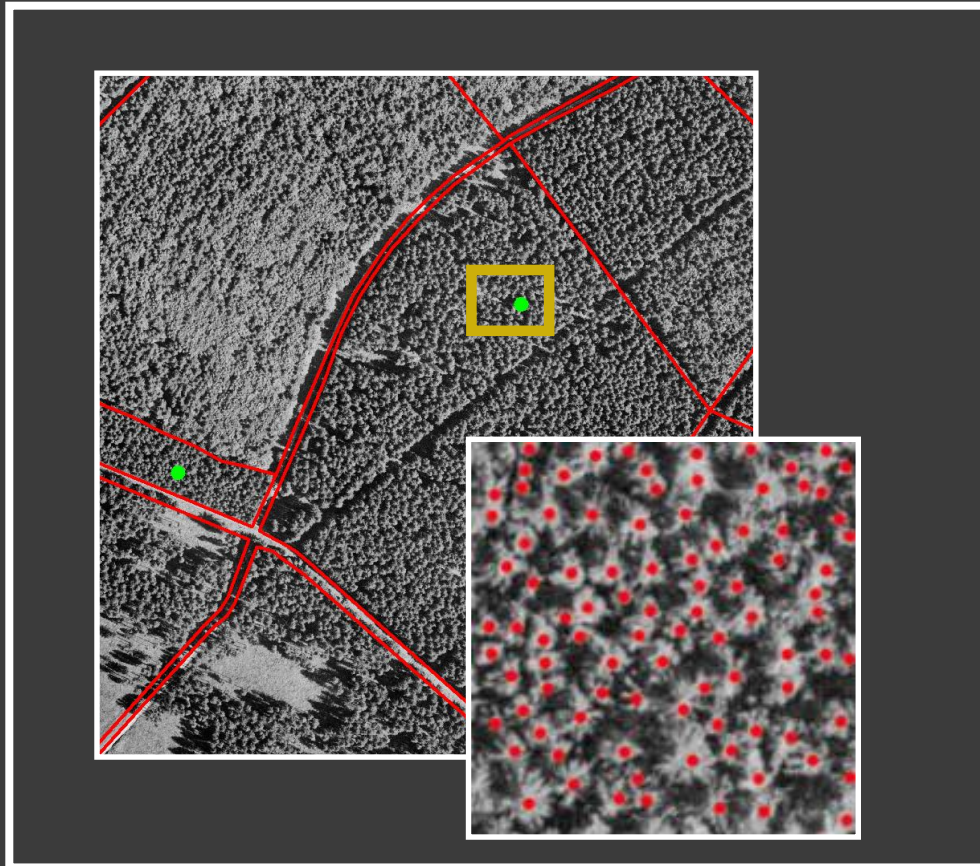
$$F(\theta) = \sum_{i=1}^I \left( \frac{\rho_i - M_i(\theta)}{\sigma_i} \right)^2 + \sum_{j=1}^J \left( \frac{\theta_j - \mu_j}{\sigma_j} \right)^2$$

**spectral info**                      **prior info**

The error surface can be better reshaped and restricted, if externally derived prior information is available (e.g. land cover classification yielding information on plant architecture)

# COPING WITH ILL-POSEDNESS

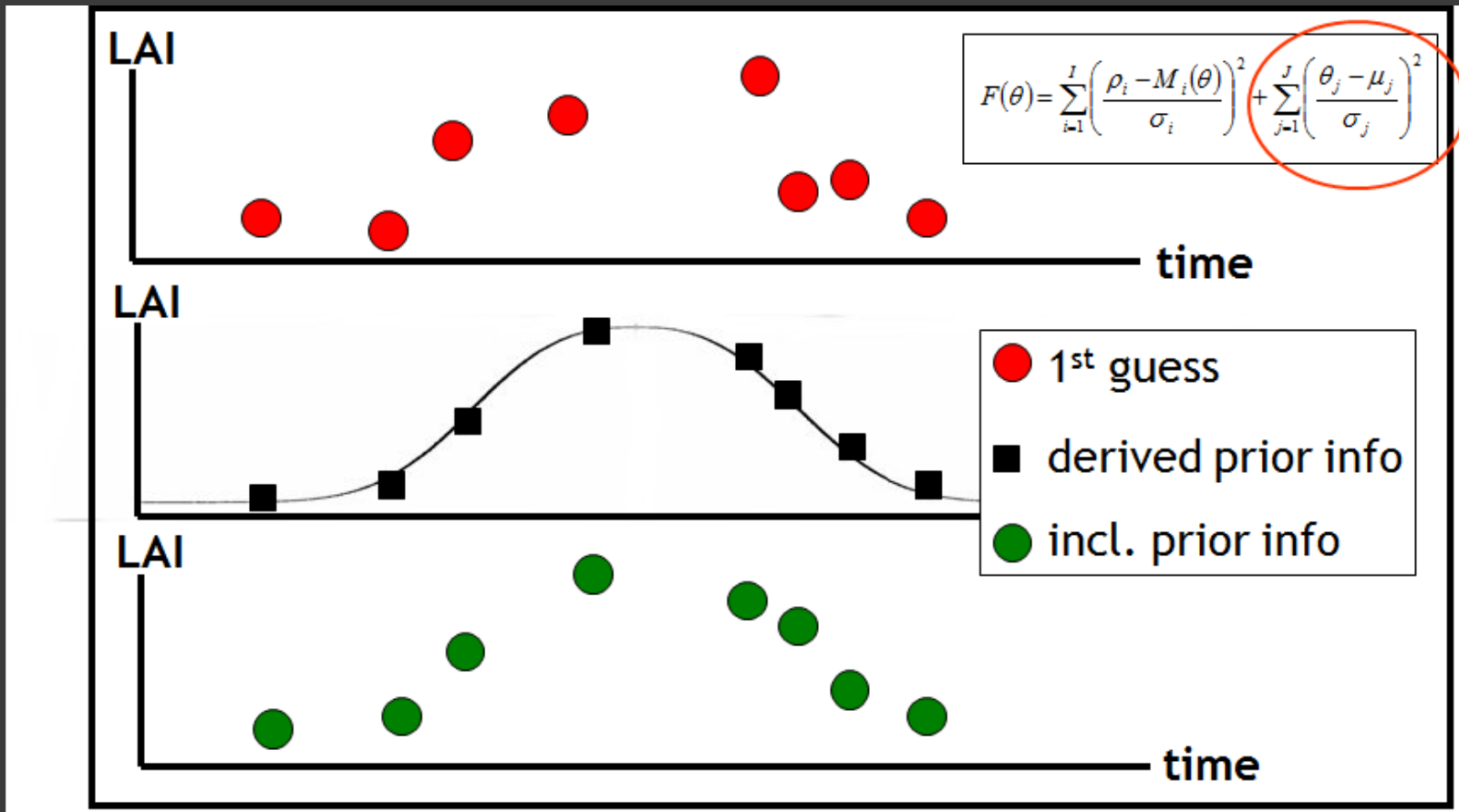
Including (external) prior information



The number of variables to be retrieved can be reduced if some variables can be mapped from other EO data (e.g. stem density from orthophotos) (source: Schlerf & Atzberger)

# COPING WITH ILL-POSEDNESS

Exploiting the temporal consistency



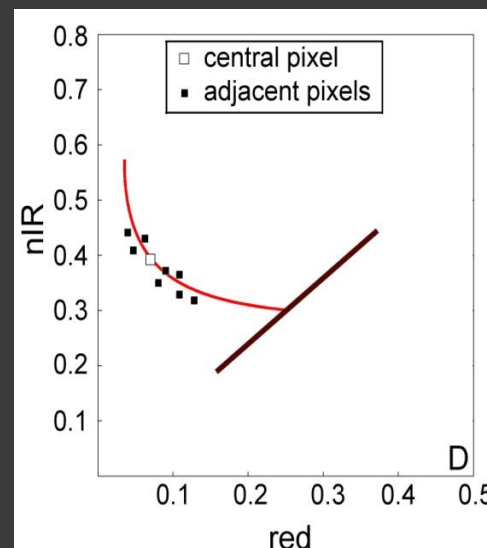
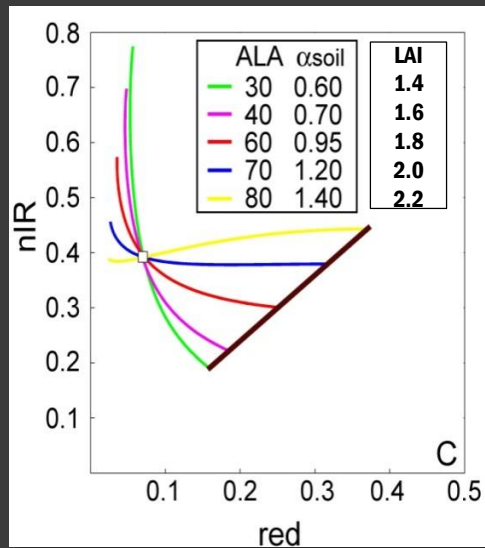
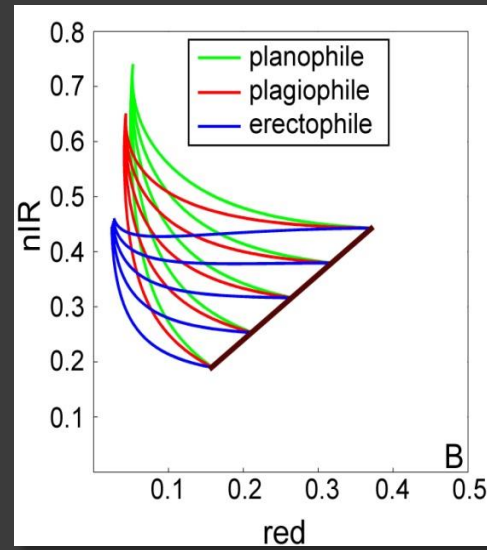
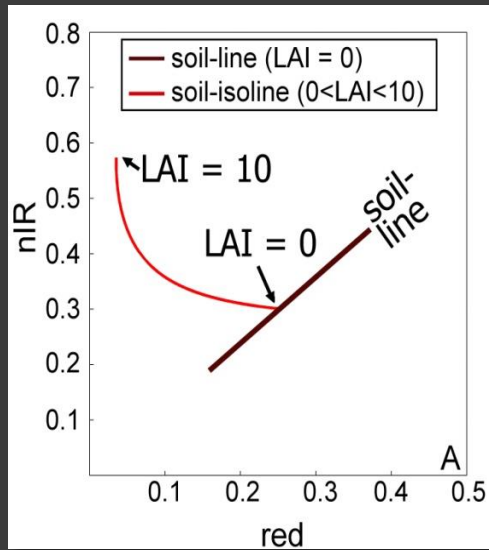


# OBJECT-BASED RTM INVERSION



Exploiting the spatial/context information

# OBJECT-BASED RTM INVERSION: THEORY

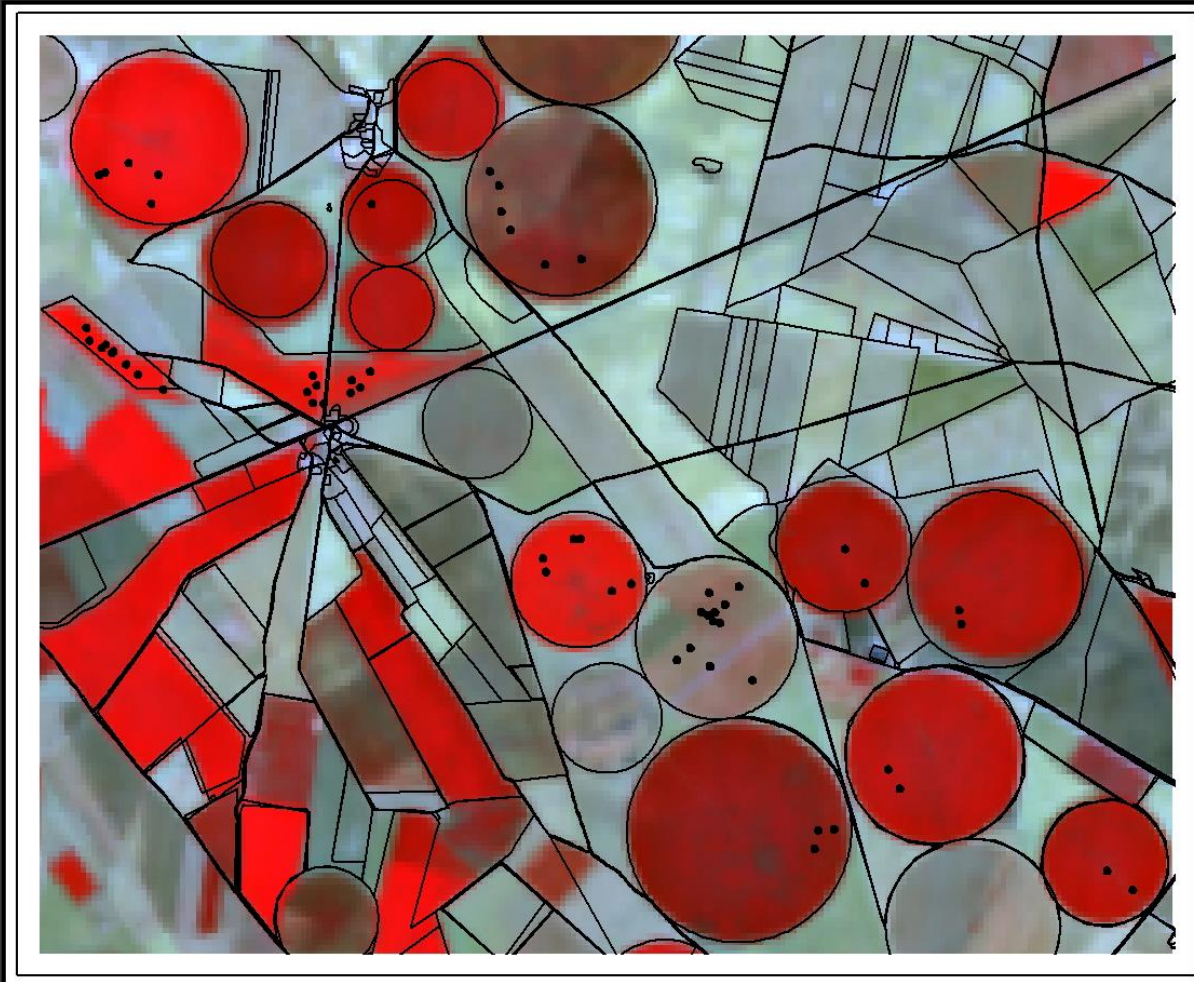


Exploiting spatial auto-correlation

Optimization of „soil-isolines“ for pixels within 3 x 3 gliding windows, assuming that only LAI shows a remarkable variation within  $\pm 1$  pixel

# OBJECT-BASED RTM INVERSION: TEST

## Experiment

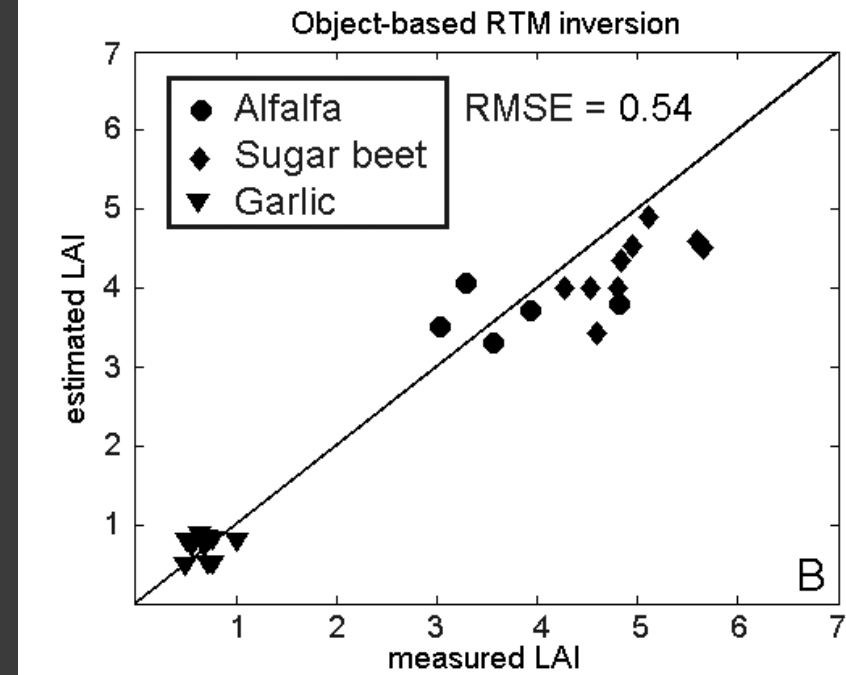
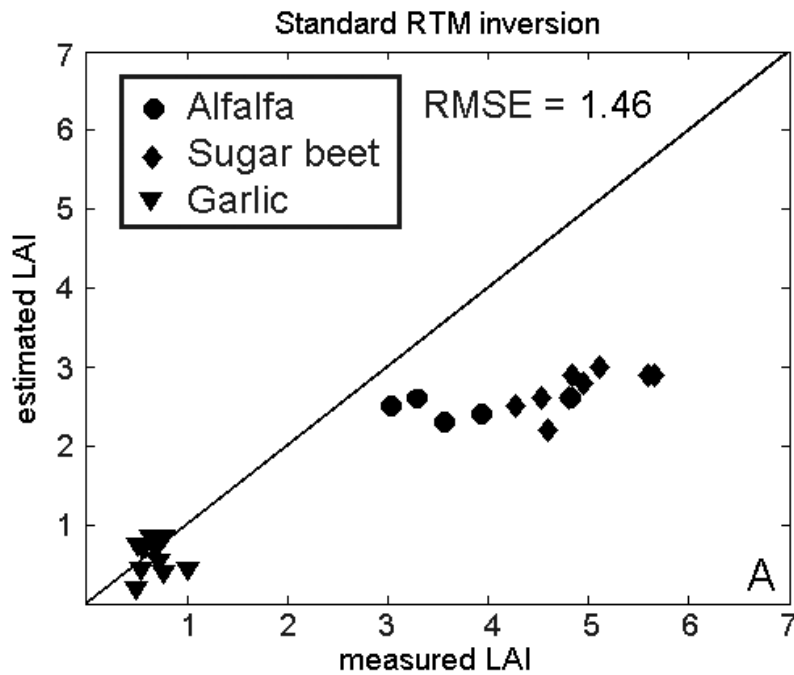


Barrax test site for testing  
the proposed model regularization

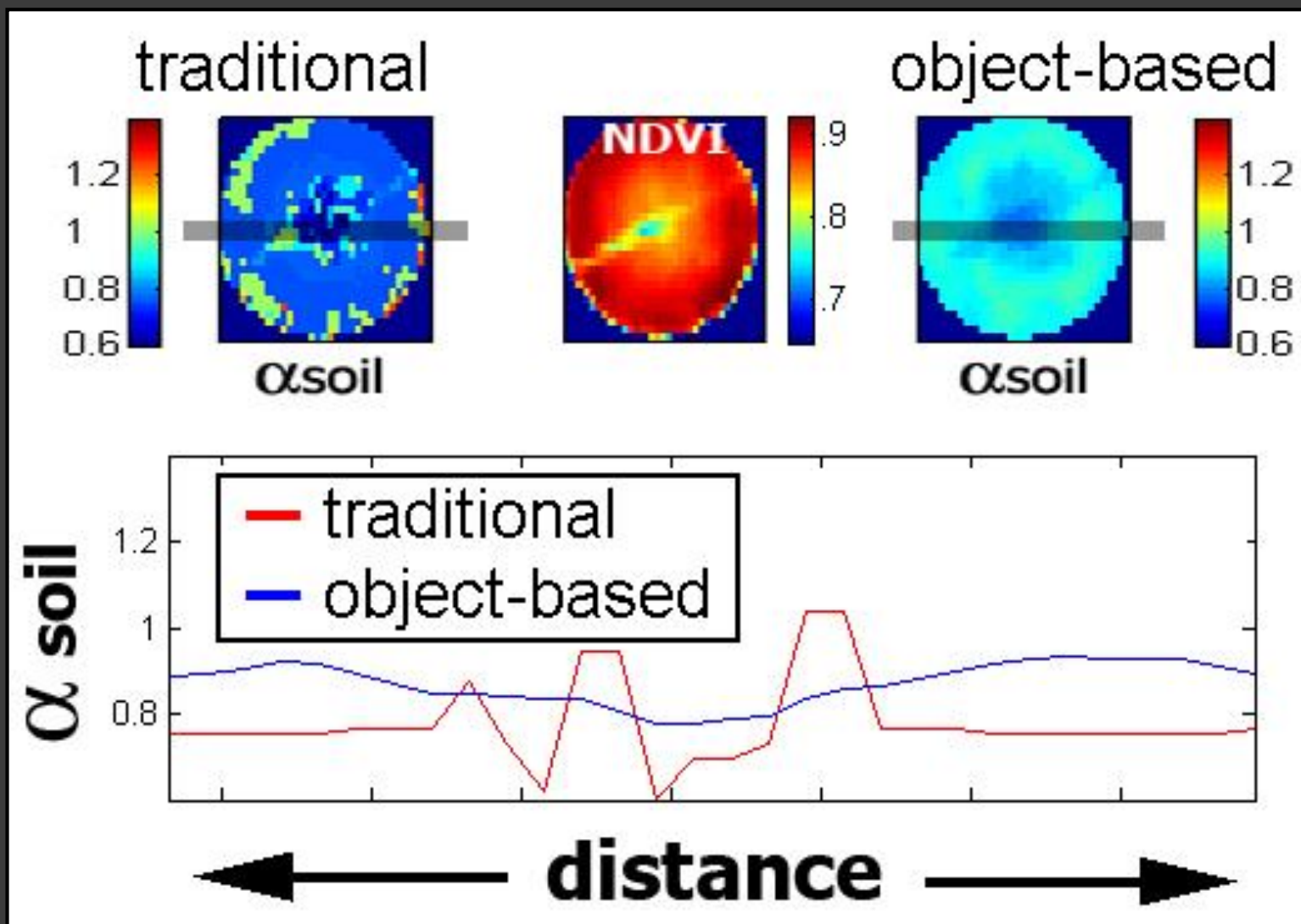


# OBJECT-BASED RTM INVERSION: TEST

Exploiting spatial information



# OBJECT-BASED RTM INVERSION: TEST

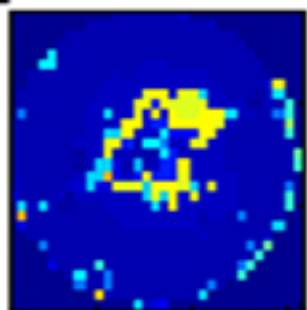


Estimated variation of the soil brightness factor along a horizontal line in the Alfalfa test field for the traditional approach and the proposed object-based inversion

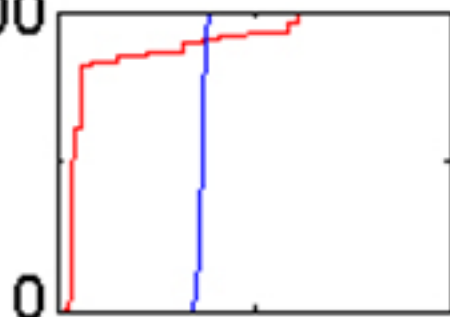
# OBJECT-BASED RTM INVERSION: TEST

traditional

**C<sub>m</sub>**



100

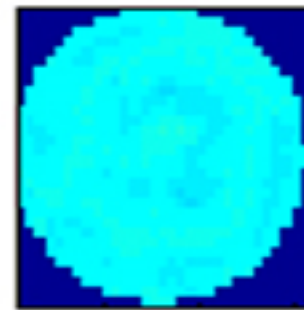
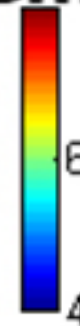


min

max

object-based

**C<sub>m</sub>**



— traditional  
— object-based

... THE ARRIVAL OF A GAME CHANGER ...

*IMPROVED EXPLOITATION OF SPATIAL CONTEXT*



# CONCLUSION

- 1.** RTM are very powerful tools for retrieving vegetation characteristics !
- 2.** Ill-posedness is a serious problem, ..., although not only for RTM inversion !
- 3.** All dimensions & a priori information should be used (wavelength, direction, polarization, time ...) !
- 4.** Exploitation of spatial dimension deserves more attention !



## **CONTACT**

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