
DART recent advances in remote sensing modeling: chlorophyll fluorescence, urban radiative budget,...

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CENSAM, SMART (M.I.T.), Singapore



Cook B., Morton D. NASA, GSFC, USA

Outline

- DART model: objectives and history
- Theory and products:
 - TOA / BOA /In-situ spectro-radiometers
 - Sensors with finite FOV
 - Specular reflectance and polarization
 - LiDAR (waveform, photon counting)
 - Up-scaling chl fluorescence from leaf to canopy
- An application: EO satellite driven urban radiative budget maps
- On-going work

DART: objectives and history

Simulation of satellite, airborne and in-situ remote sensing systems

⇒ **Sensitivity of remote sensing acquisition:**

- Experimental point of view: vegetation phenology, atmosphere,...
- Instrumental point of view: date, spectral/spatial resolution,...

⇒ **Inversion** of remote sensing acquisition: biophysical parameters (LAI, albedo,...)

⇒ **Specification of new sensors**

Simulation of radiative budget

⇒ **Biosphere functioning** (incoming PAR, fluxes of gasses and energy, etc.)

⇒ **Urban meteorology**

Education in physics, remote sensing and radiative budget

The DART model: Objectives and history

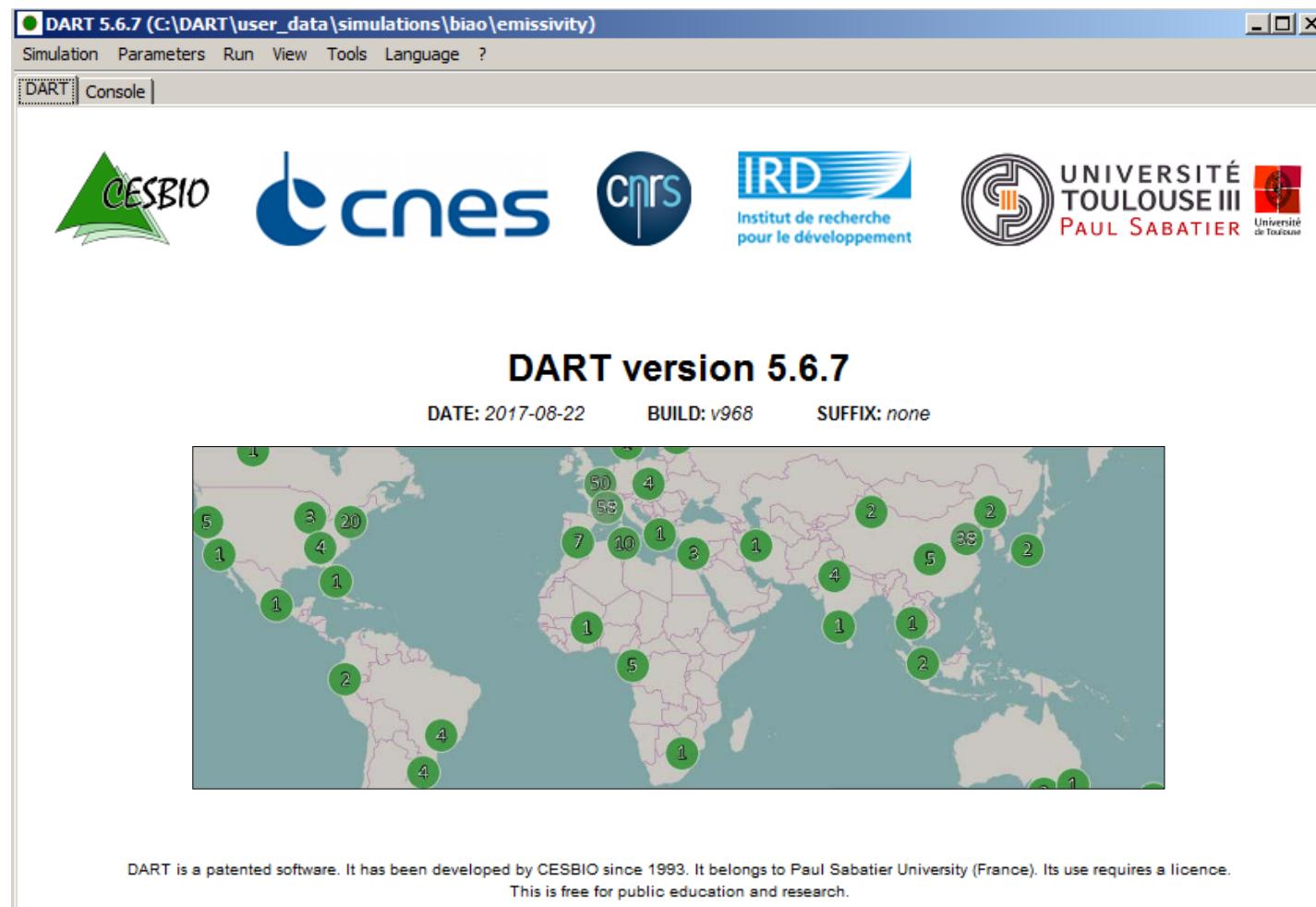
History:

Developed since 1992 at CESBIO by 7-10 physicists / computer scientists.

Patented in 2003.

Users: 281 licenses

NASA, USA: LiDAR, Fluo, RB
ESA, EU: Fire, Hyperspectral
CENSAM-MIT: RB
KCL, GB: Fire,
FORTH, Gr: Urban
CNES, Fr: LiDAR
ONERA, Fr: Hyperspectral
Magellum, Fr: water
IRSTEA, Fr: LiDAR, Hyper.
...



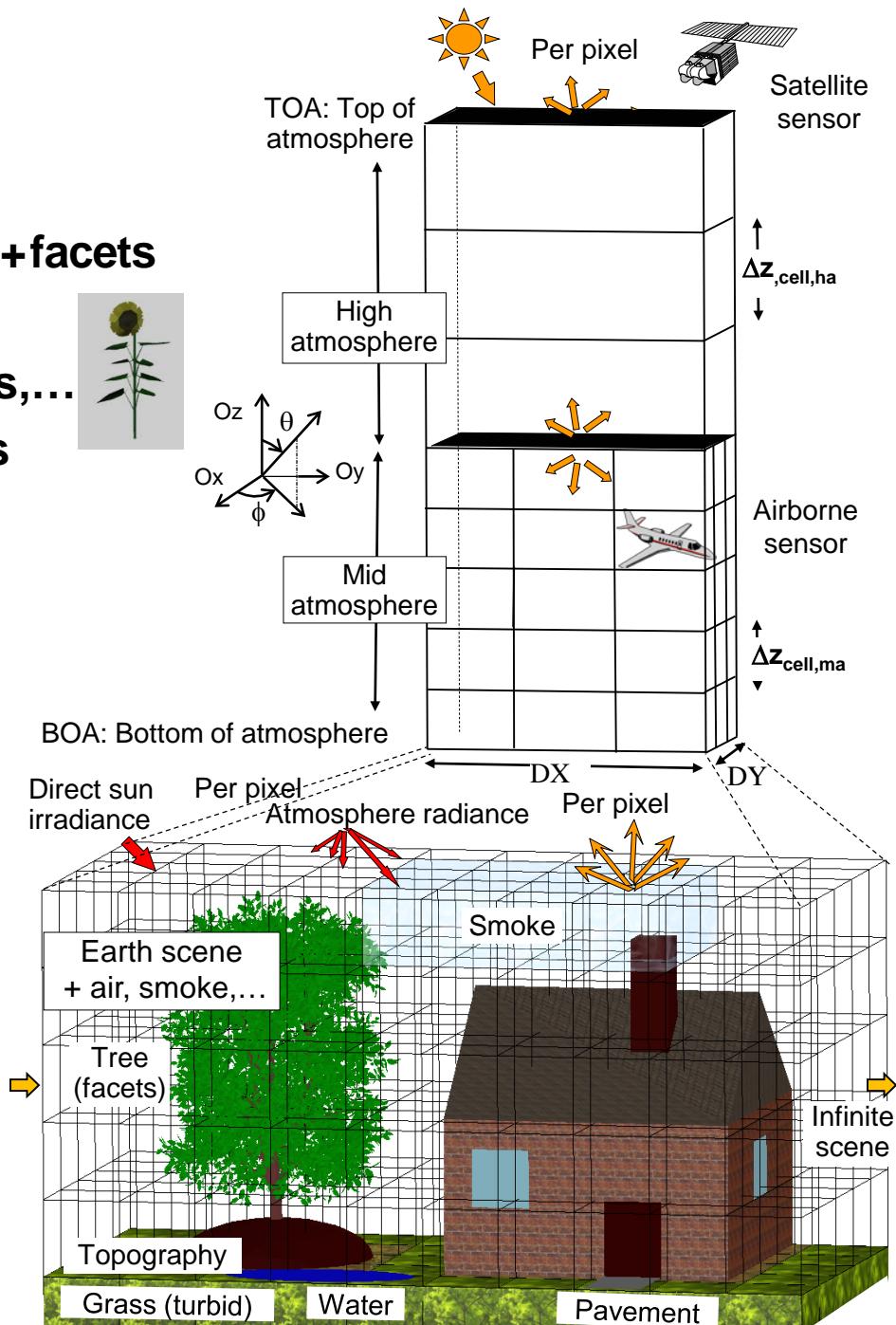
License: free for research and education institutes (<http://www.cesbio.ups-tlse.fr/dart/license/>)

Yearly training courses: France (CNRS), China, Croatia, Lebanon, Tunisia, Cameroun,....

Theory and products

Principles

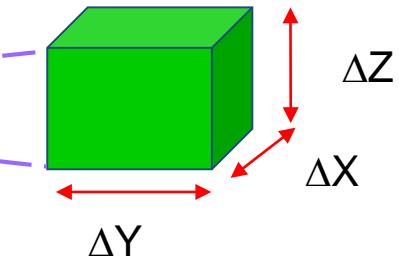
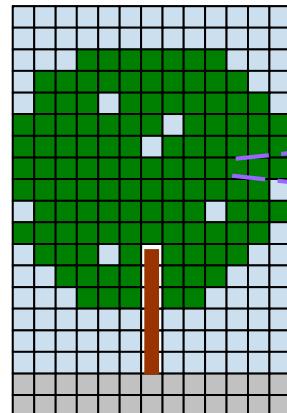
- Discrete ordinates (space, directions)
- Landscape (vegetation, urban, atmosphere)
 - Dual simulating approach: turbid+facets
 - Repetitive or isolated
 - Imported: BD_{atm}, L.C., 3D objects,...
 - PROSPECT & Fluspect leaf models



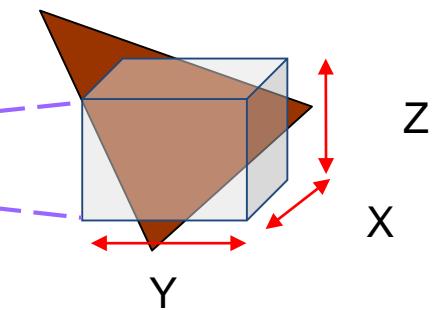
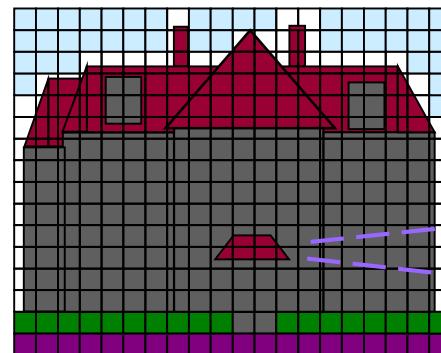
The DART model: Dual approach ("turbid" & "facets") for simulating Earth landscape elements



Turbid cells
(volume)



Facets
(surfaces)

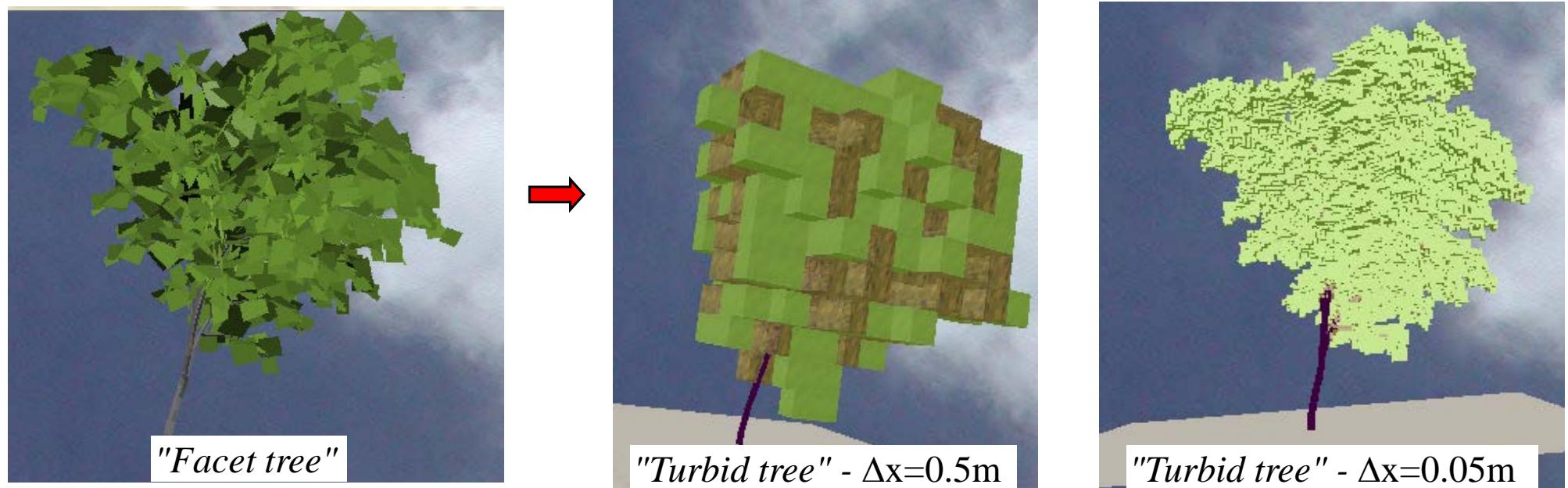
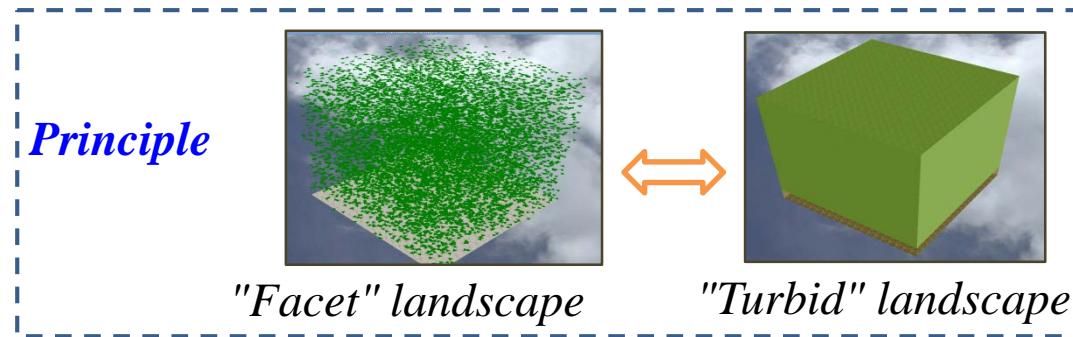


Turbid (Vegetation and/or Fluids) cells and triangles are totally independent

Turbid = medium made of small scatterers that are randomly distributed

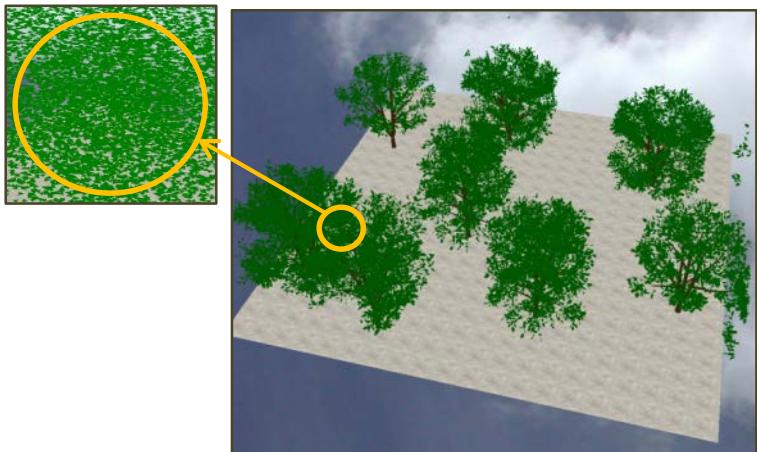
The DART model: Use of facets or turbid volumes for simulating landscapes?

No choice if 3D objects are simulated with too many facets: \Rightarrow Facets \rightarrow Turbid 3D objects

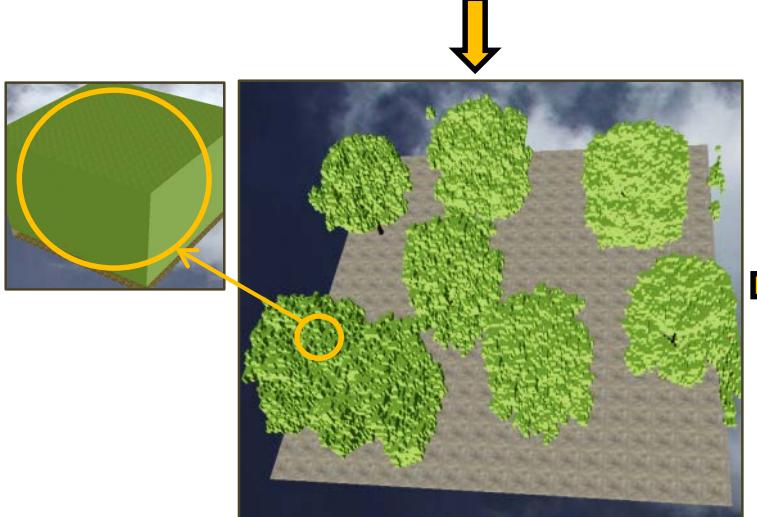


Example: Transforming a "facet tree" (left) into a "turbid tree" with 2 spatial resolutions

The DART model: Transformation of "facet" trees into "turbid" trees



Facet trees



Turbid trees

Turbid trees derived from facet trees

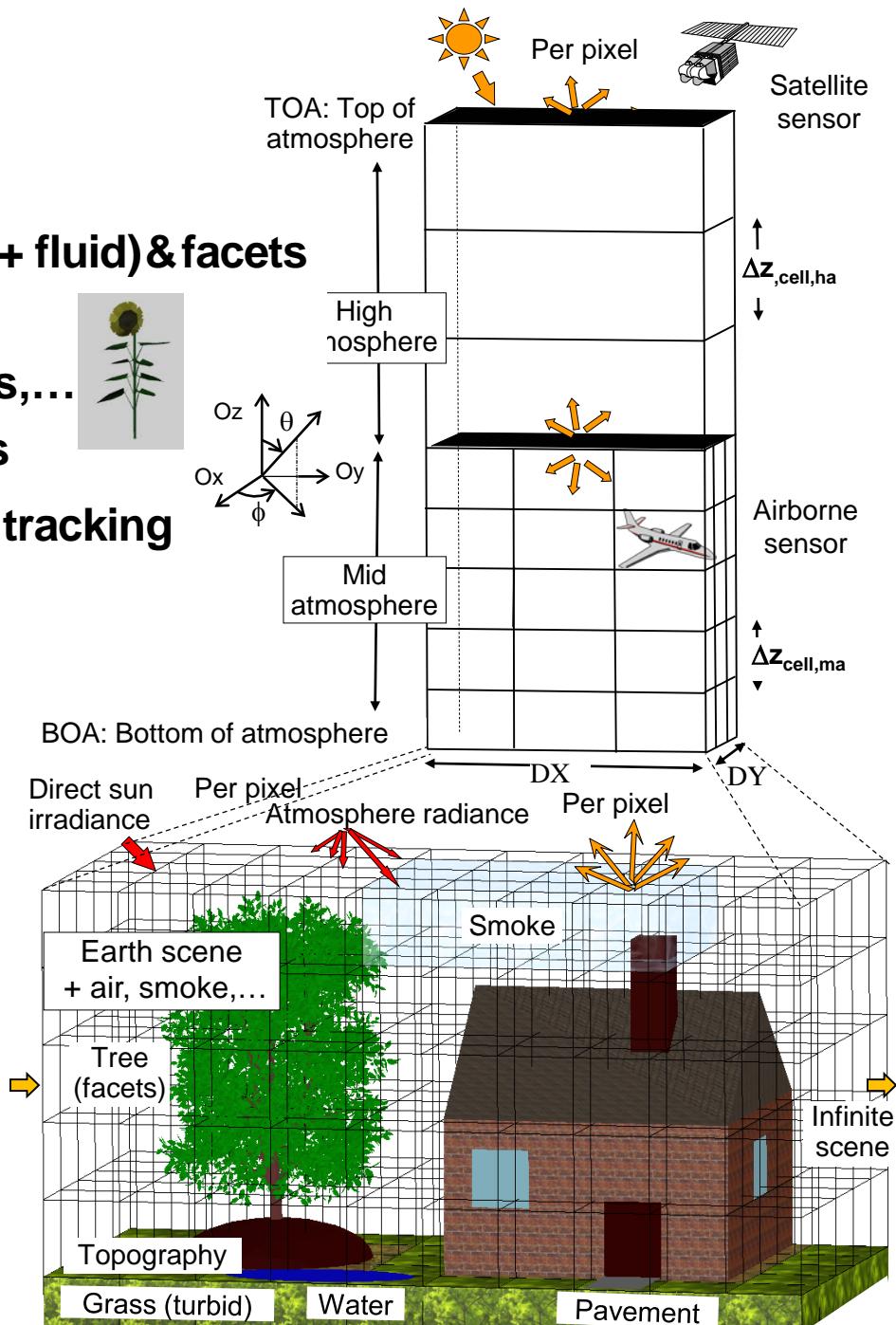
(relative to facet trees, RMSE_{turbid} depends on Δr_{voxel} . RMSE_{turbid}($\Delta r=0.0125\text{m}$)=0.0023)



DART RGB color composite

Principles

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 - PROSPECT & Fluspect leaf models
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The DART model: XS ray tracking in the Earth-Atmosphere system

DART Ray-Tracking method in 5 steps:

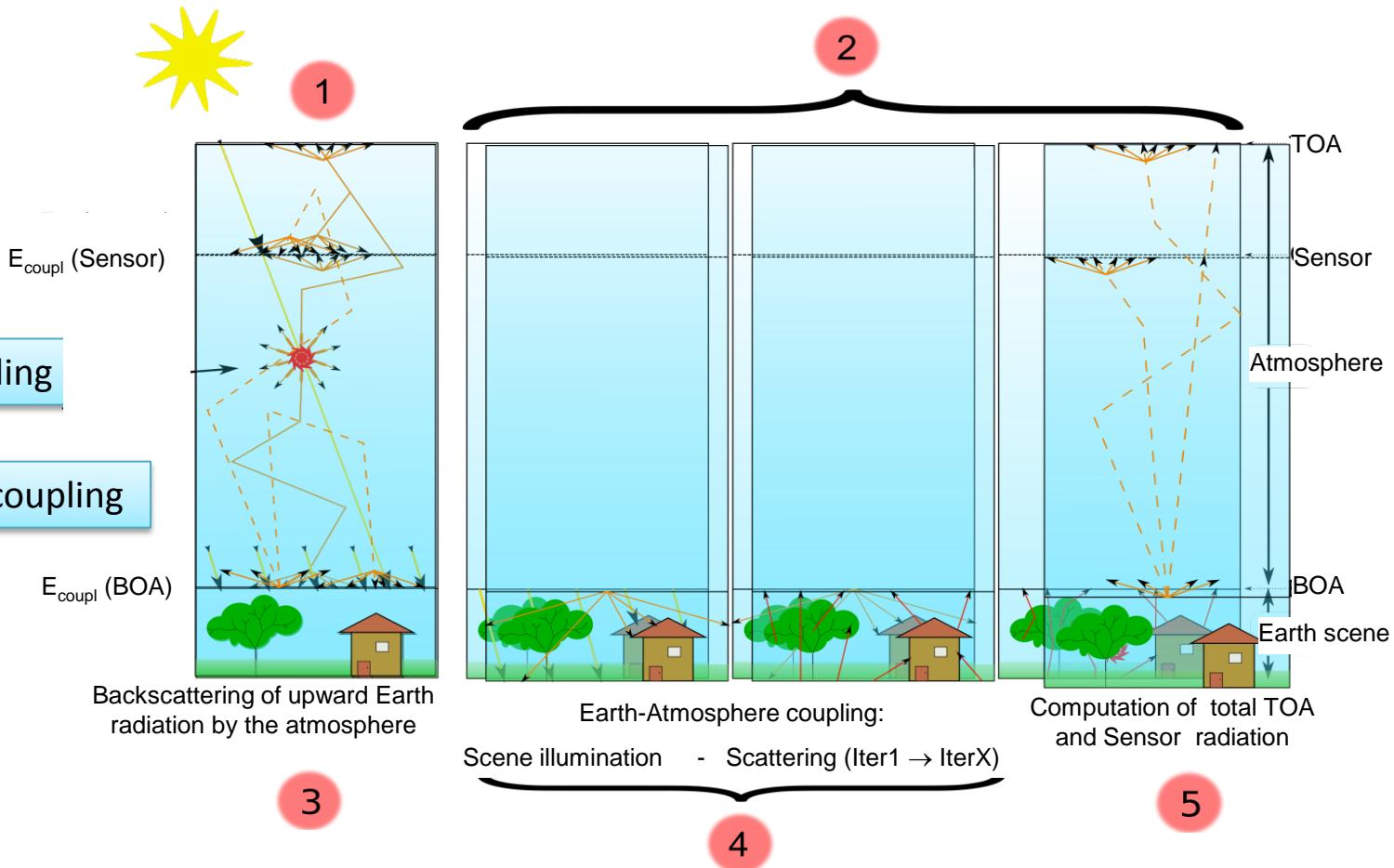
1. Earth scene Irradiance

2. Earth scene R.T.

3. Earth-Atmosphere coupling

4. Earth scene R.T. due to coupling

5. TOA, Sensor



Principles

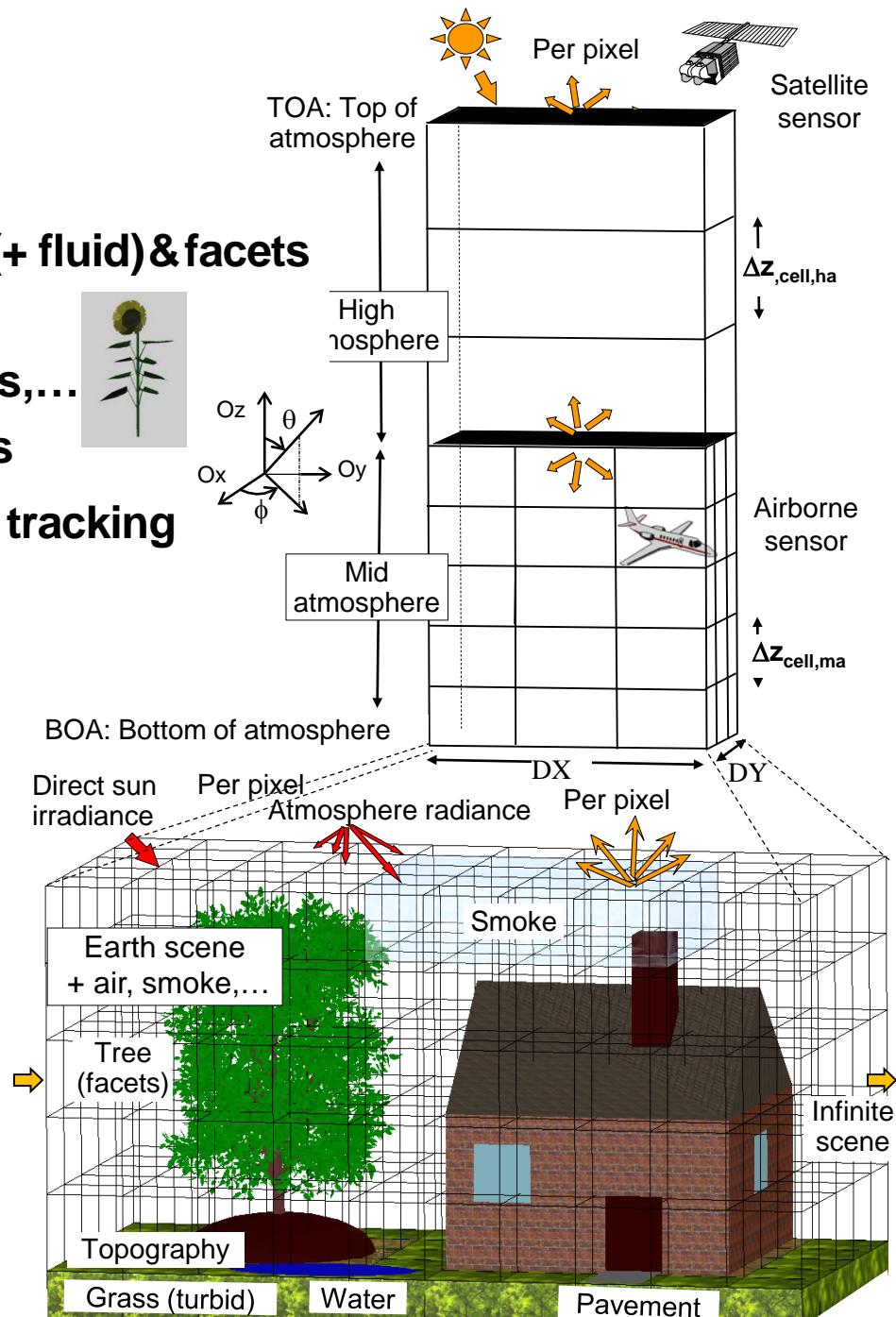
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Operating modes (+ automatic sequences)

- Reflectance (R), Thermal (T) and (R+T)
- LiDAR (RayCarlo: ray tracking + M. C.)

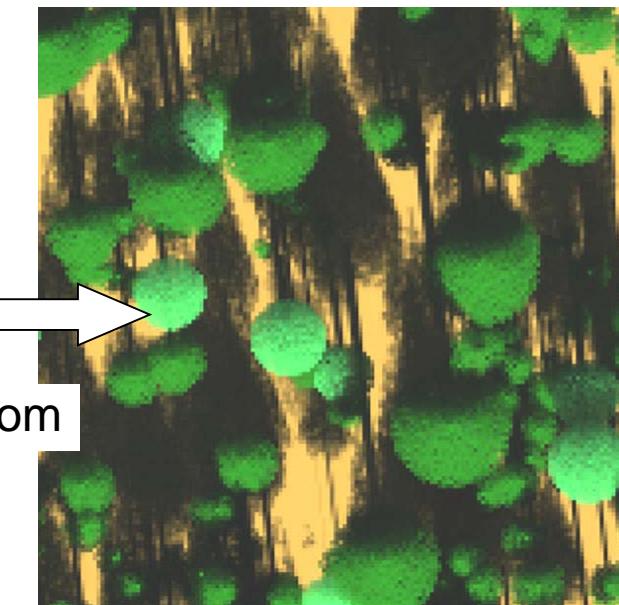
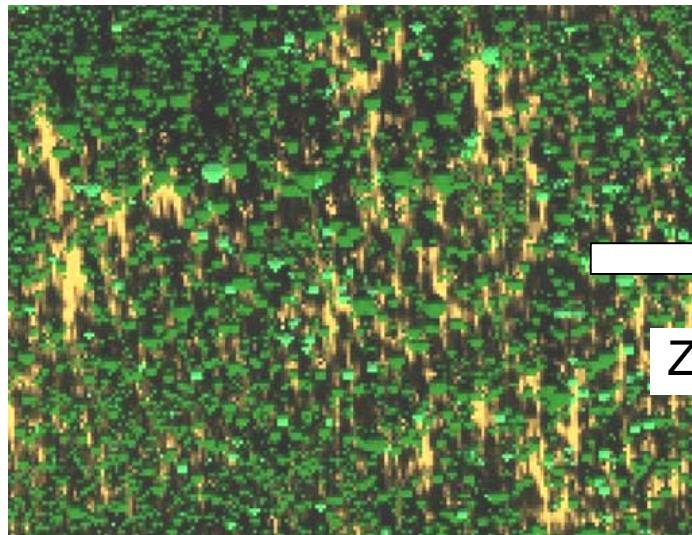
Products (TOA, BOA, in-situ)

- Spectro-images: $L_\lambda(\Omega_s, \Omega_v) \Rightarrow \rho_\lambda, T_B \forall \Omega_s, \Omega_v$

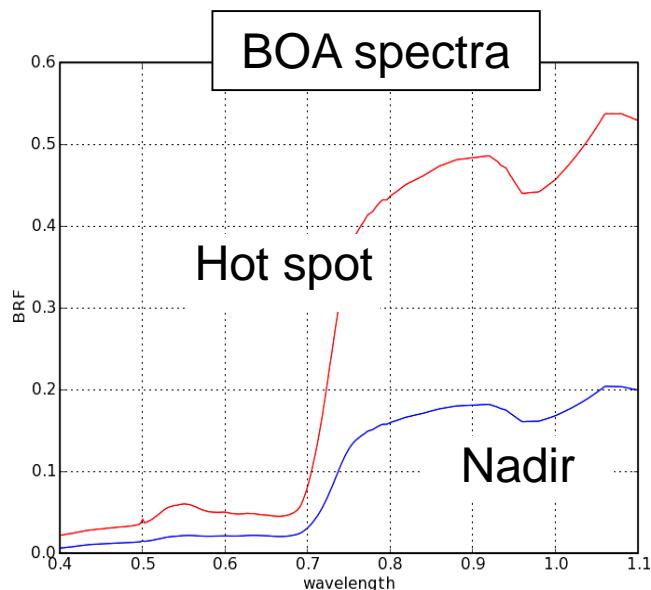


The DART model: **BOA** and **TOA** spectra (NASA project: DESDynI mission)

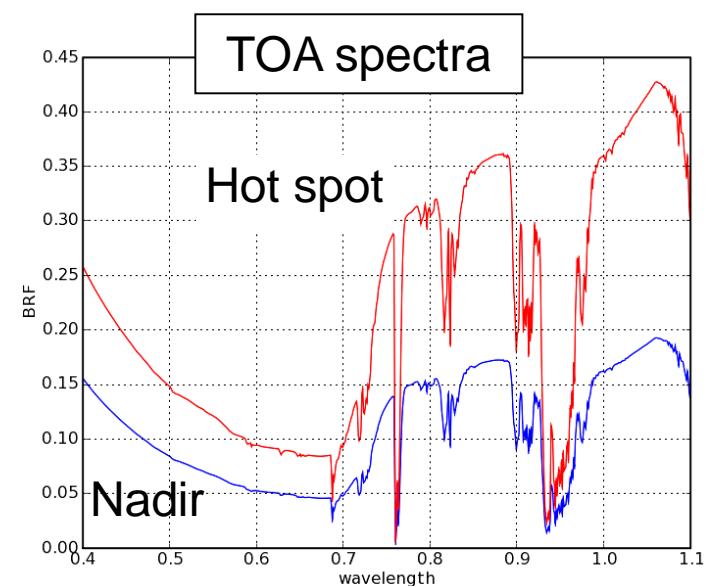
DART Image
Howland forest
(USA)



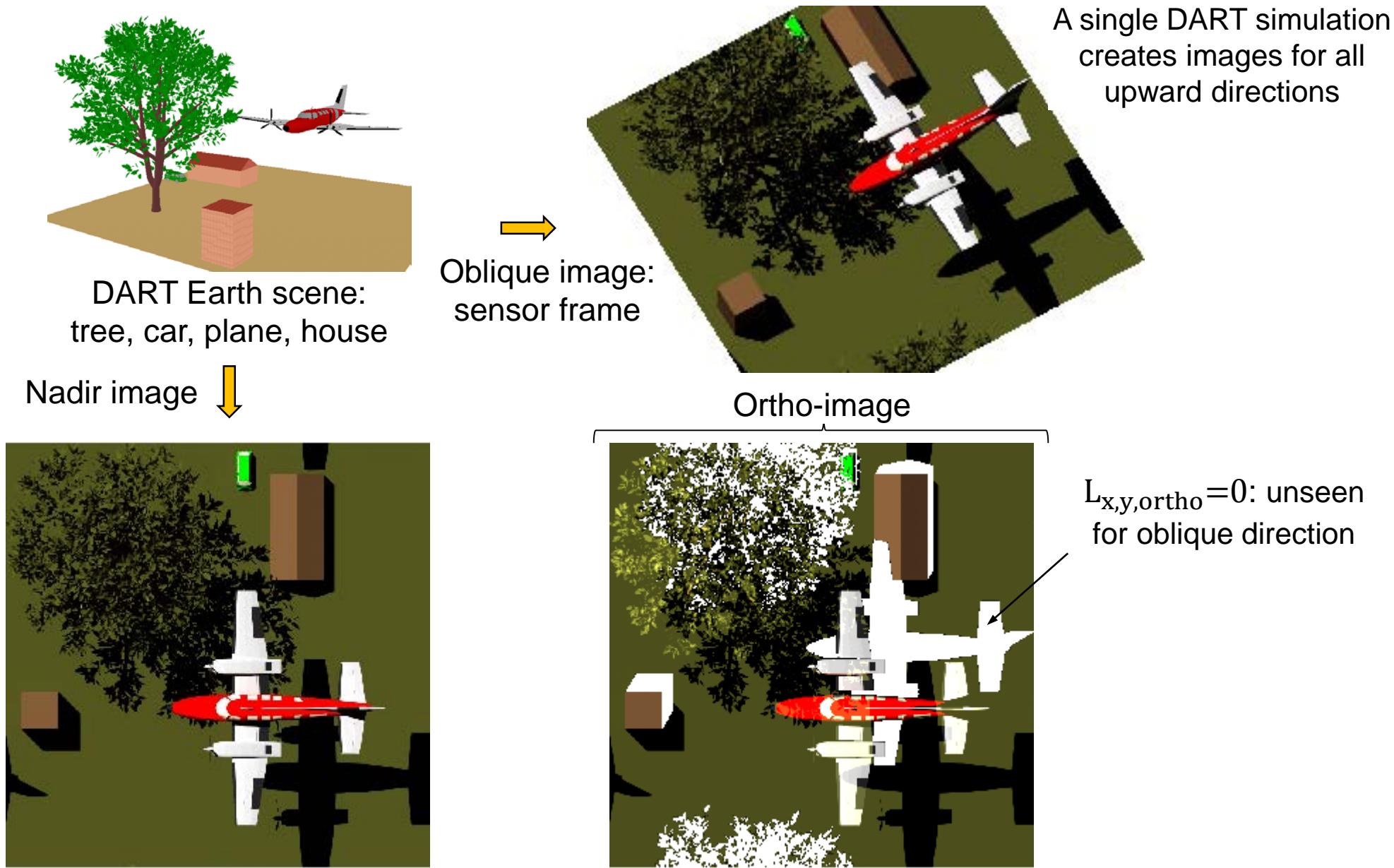
Zoom



**Very strong
angular variability
of TOA & BOA
forest reflectance**



The DART model: Nadir, oblique and ortho-images



1 DART simulation \Rightarrow camera / scanner (satellite, plane, ground) images for all defined view directions

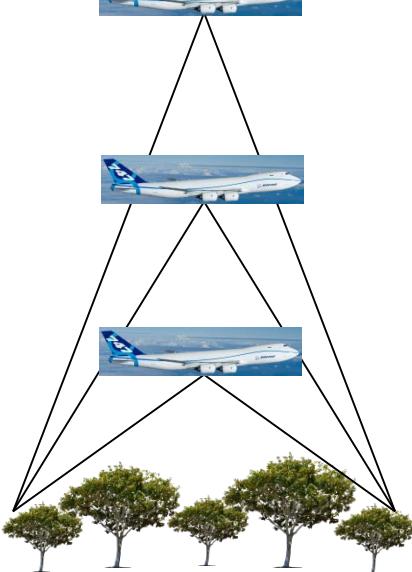
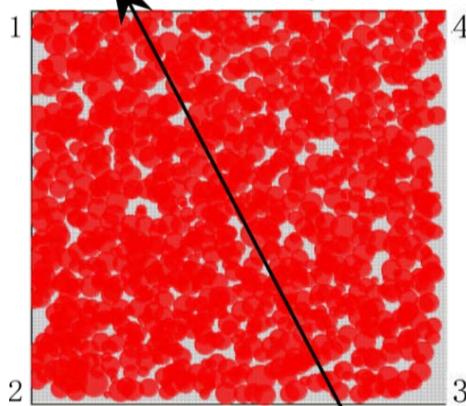
The DART model: Airborne / Satellite sensor (pushbroom, camera) with $\text{FOV} \neq 0$

Järvelja pine stand, Estonia

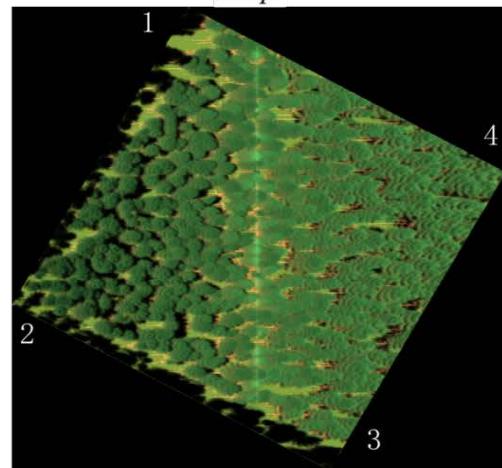
(Ω_{sun} : $\theta_s = 36.6^\circ$, $\phi_s = 299.06^\circ$)

Pushbroom: hot spot observed at 6 altitudes

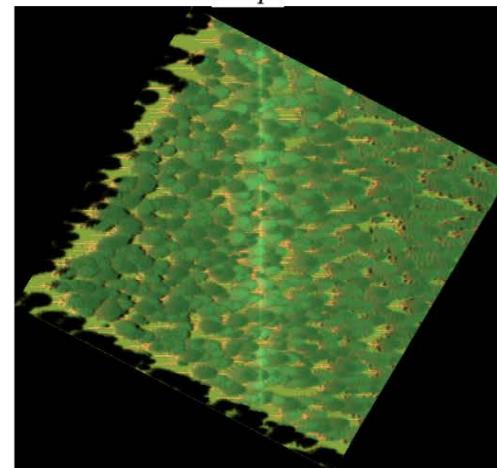
Platform path



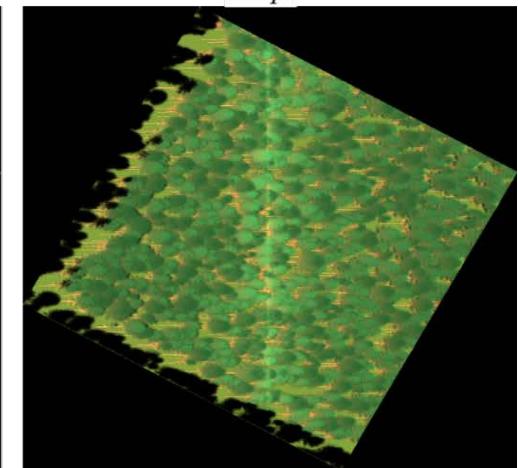
$-12.31^\circ \leftarrow \theta_{vp} \rightarrow -55.44^\circ$



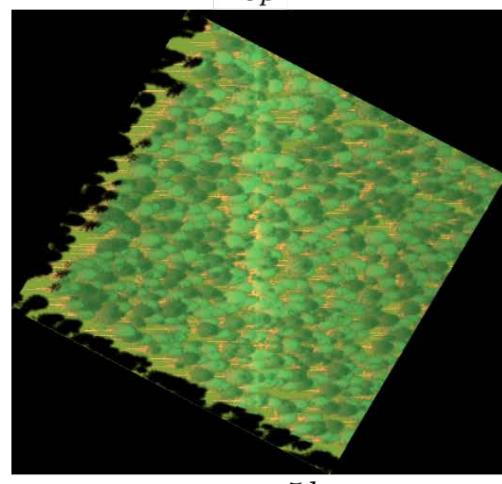
$-31.23^\circ \leftarrow \theta_{vp} \rightarrow -41.44^\circ$



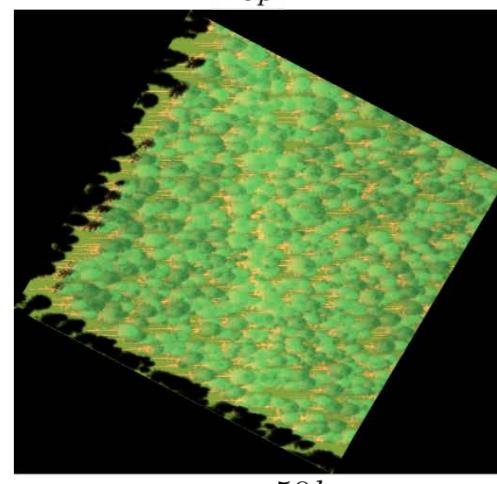
$-33.98^\circ \leftarrow \theta_{vp} \rightarrow -39.10^\circ$



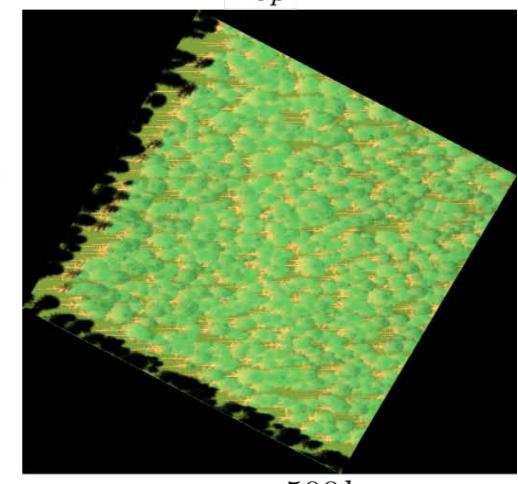
$-36.09^\circ \leftarrow \theta_{vp} \rightarrow -37.11^\circ$



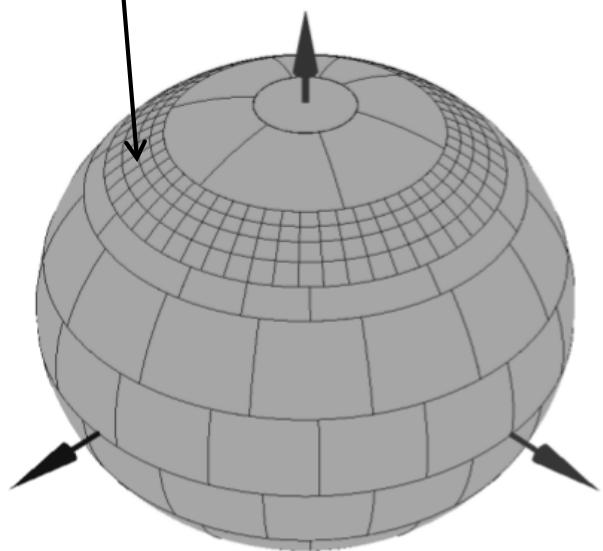
$-36.55^\circ \leftarrow \theta_{vp} \rightarrow -36.65^\circ$



$-36.59^\circ \leftarrow \theta_{vp} \rightarrow -36.61^\circ$



The simulation is achieved with tracking directions that oversample the sensor FOV



3D display of flux tracking directions in the 4π space.

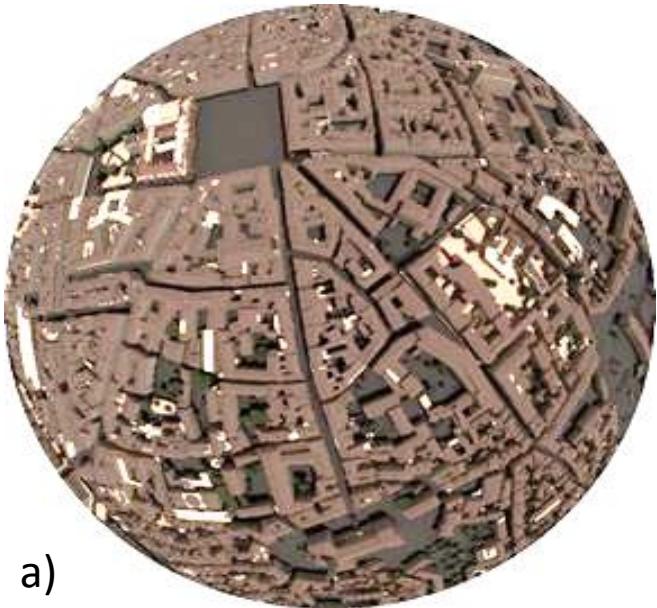
UAV camera - Järvselja pine stand, Estonia

$$(\theta_v = 50^\circ, z_{UAV} = 140m)$$

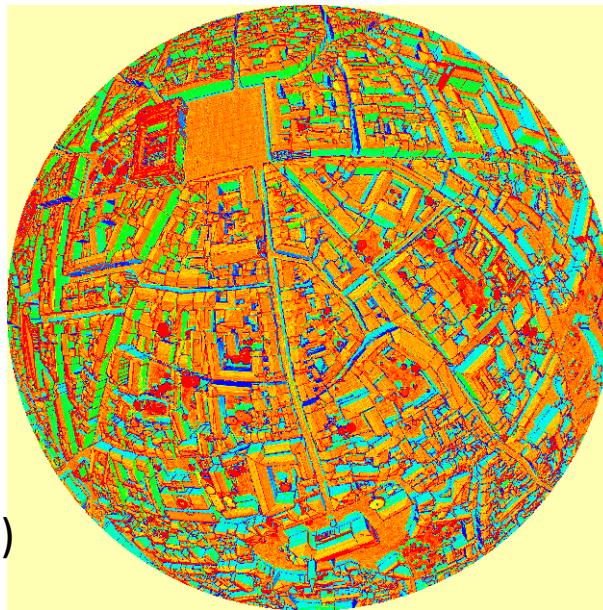


Radiance values of identical objects (tree,...) differ due to sensor FOV (angular effects)

The DART model: In situ sensors



a)



b)

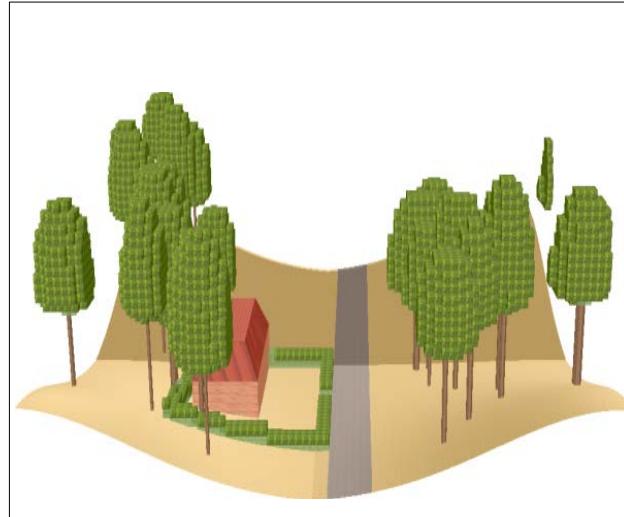
DART: fish-eye camera over an urban canopy.

a) Short waves.

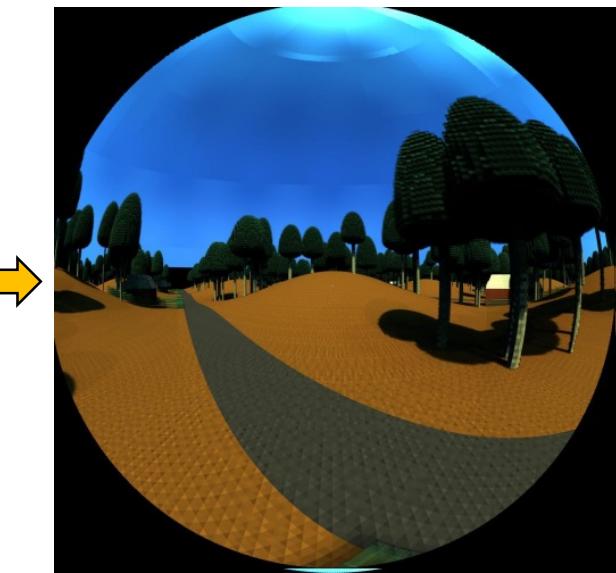
b) Thermal infrared.



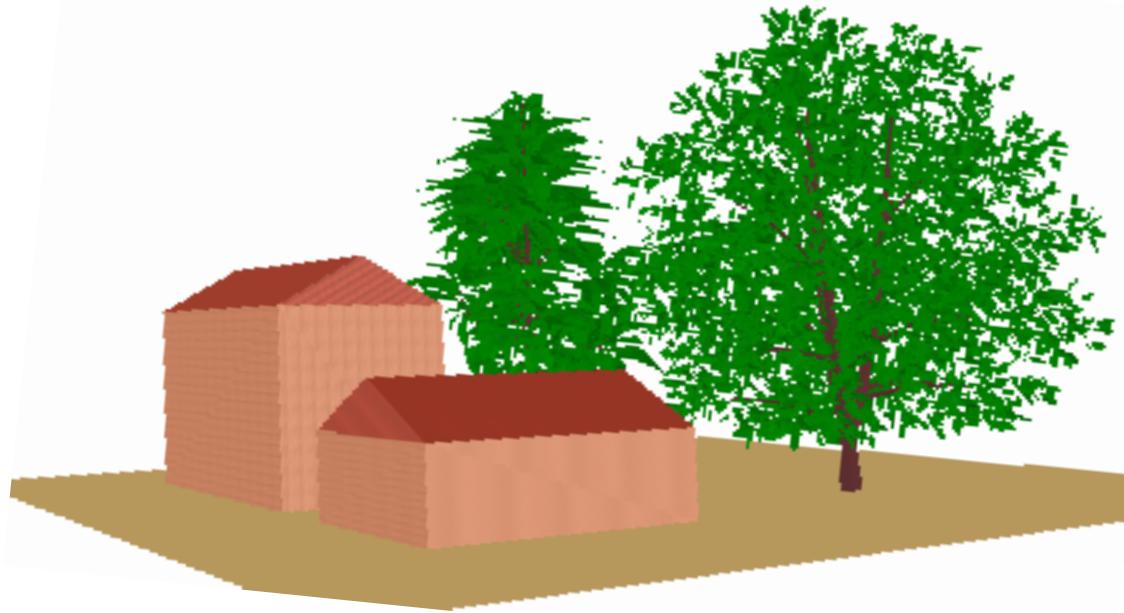
Upward looking sensor



DART mock-up



**Illustration with DART simulated satellite, airborne and in-situ images
for the case of a schematic flooded landscape**



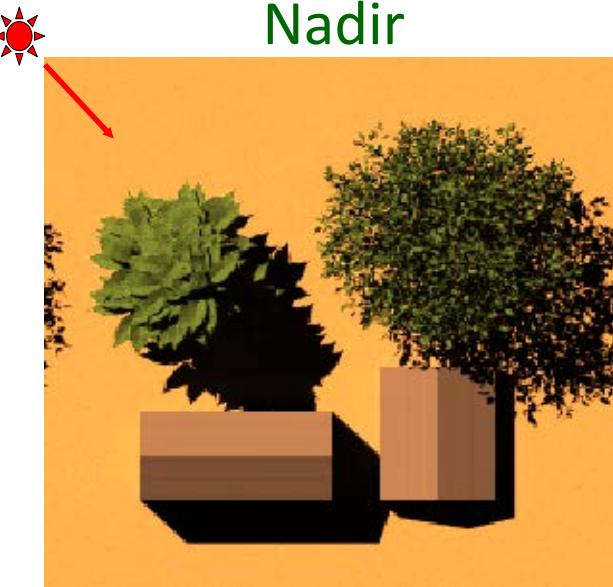
2 houses + 2 trees + flooded ground.

Only the flooded ground (water surface) has a specular behavior.

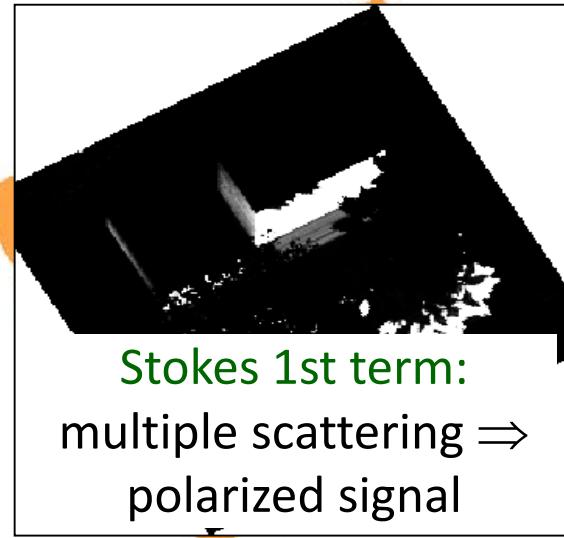
The DART model: Specular reflectance and Polarization

Satellite

Nadir



Oblique



Specular



Stokes 1st term:
multiple scattering \Rightarrow
polarized signal

(FOV=0) \Rightarrow all ground is specular

Hemispheric camera



Airborne



Airborne (FOV \neq 0) \Rightarrow specular zone is local

Principles

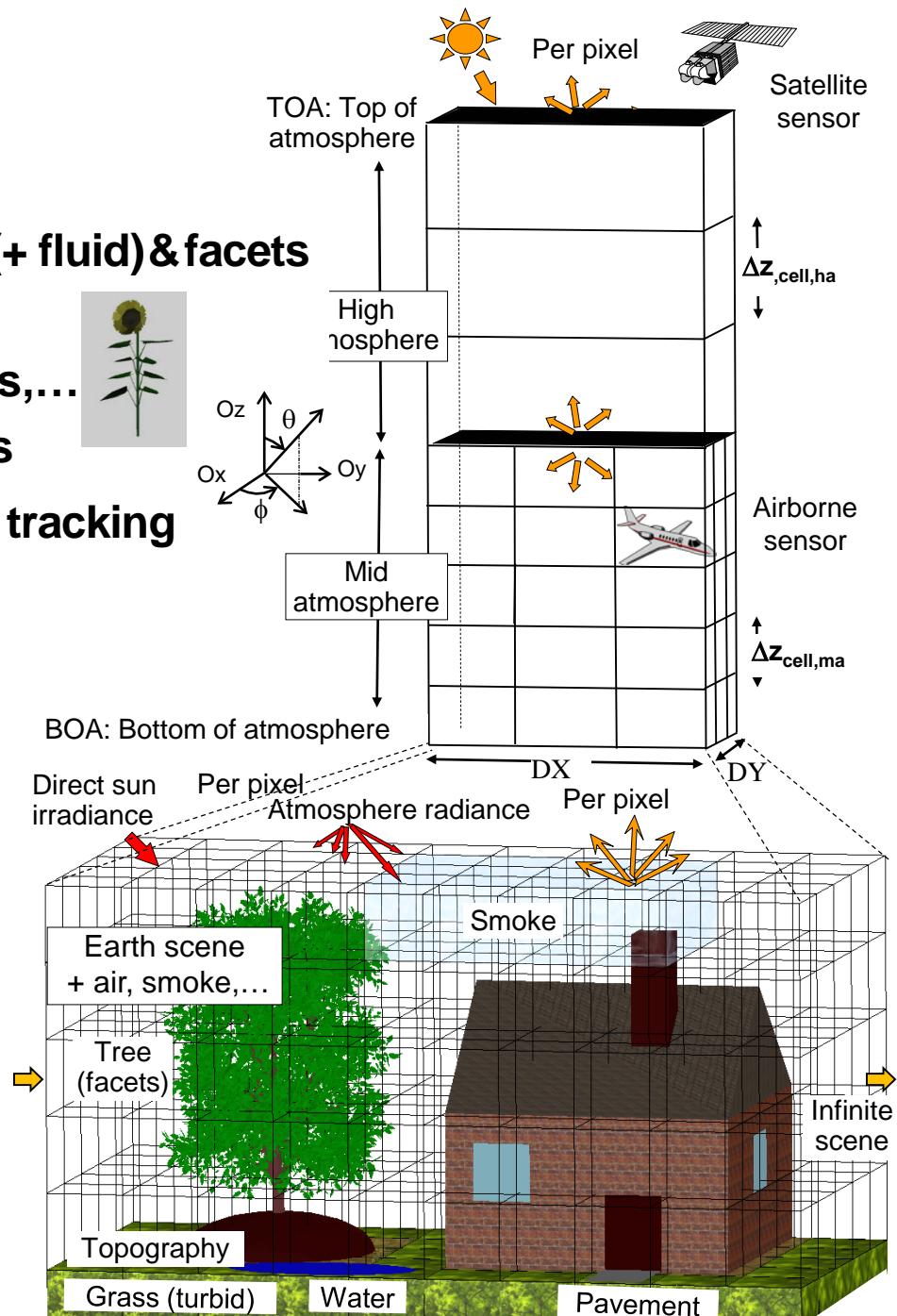
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- Reflectance (R), Thermal (T) and (R+T)
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Products (TOA, BOA, in-situ)

- Spectro-images: $L_\lambda(\Omega_s, \Omega_v) \Rightarrow \rho_\lambda, T_B \forall \Omega_s, \Omega_v$
- LiDAR: waveform, photon counting, TLS



- **Pulse:**

- $\lambda = 1550\text{nm}$
- $8\mu\text{J}$

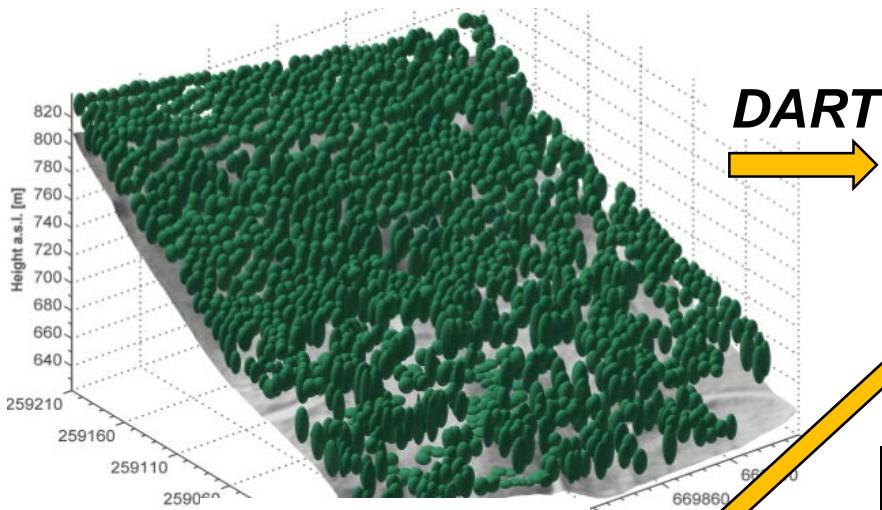
- **Geometry:**

- FOV = 25cm
- Area: 0.04m^2
- Altitude: 500m

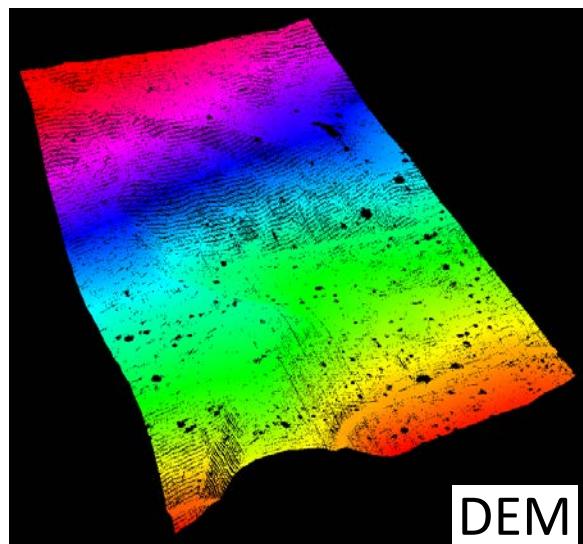
- **Bin rate:** 1ns

- **Swath:**

- 300m x 300m
- 0.4m resolution



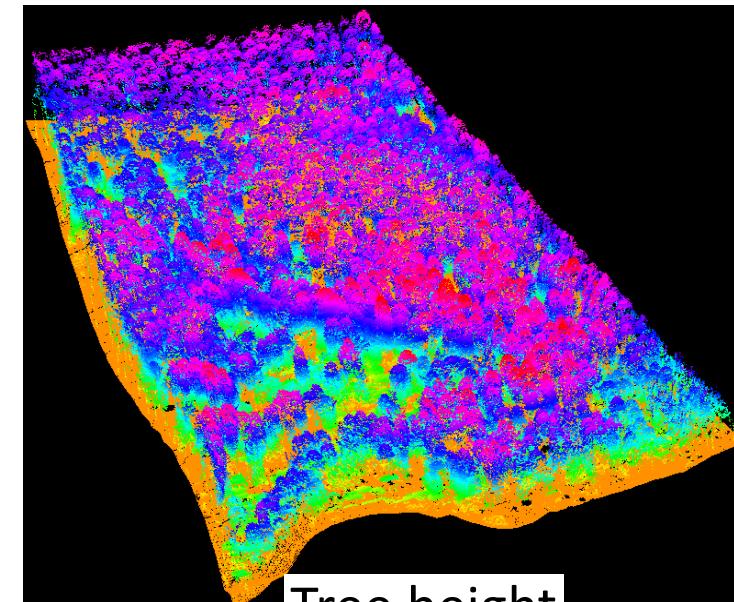
Forest mock-up



DEM

LiDAR 3D point cloud
0.5625 10^6 pulses,
6.25 points/m²

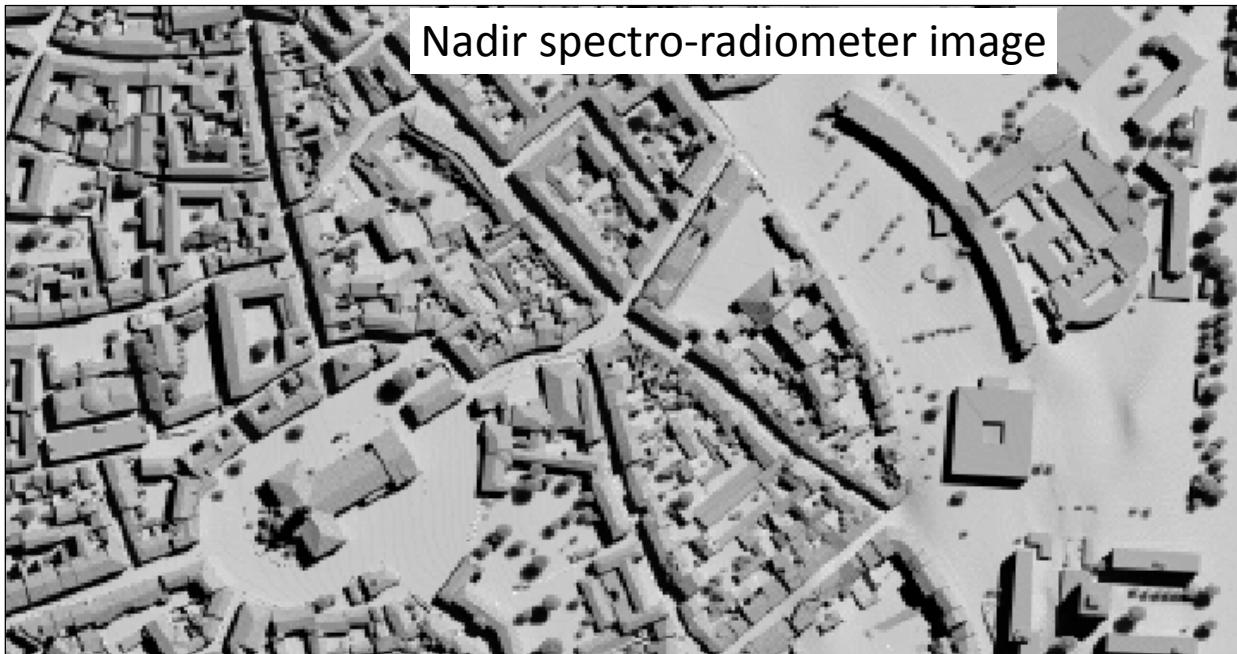
SPDLib: LiDAR
process & display



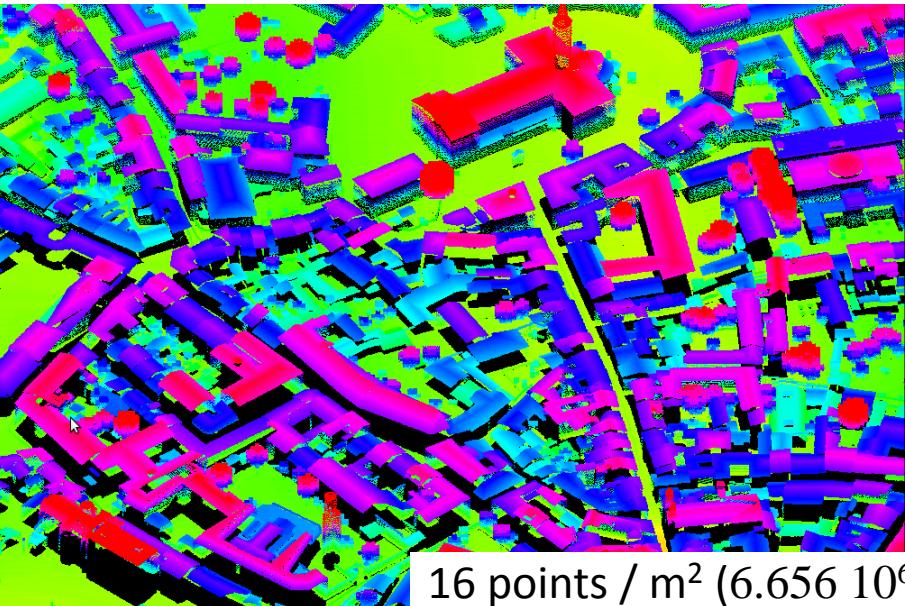
Tree height



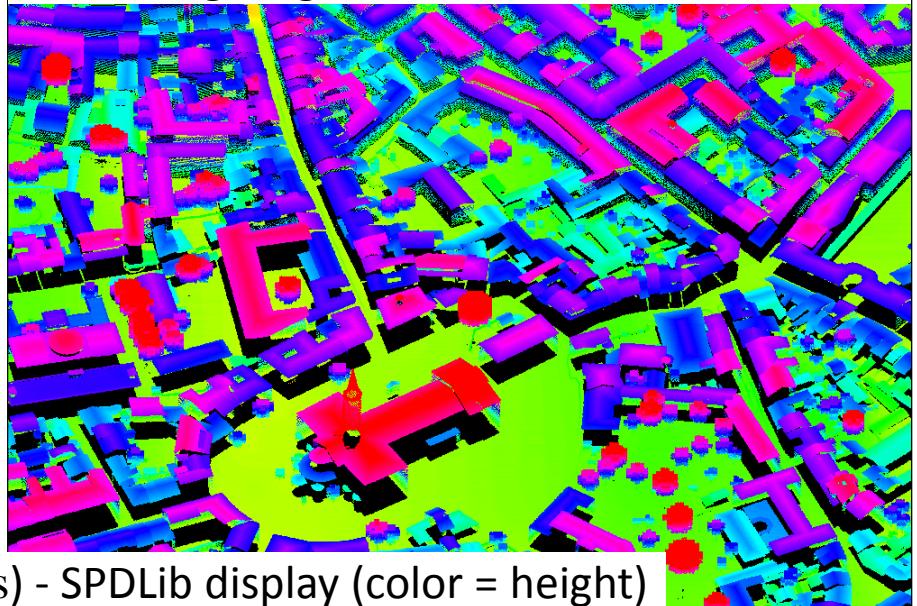
The DART model: *LiDAR (St Sernin Basilica, Toulouse)*



LiDAR image: left side view \Rightarrow Basilica walls



LiDAR image: right side view \Rightarrow no Basilica walls



Principles

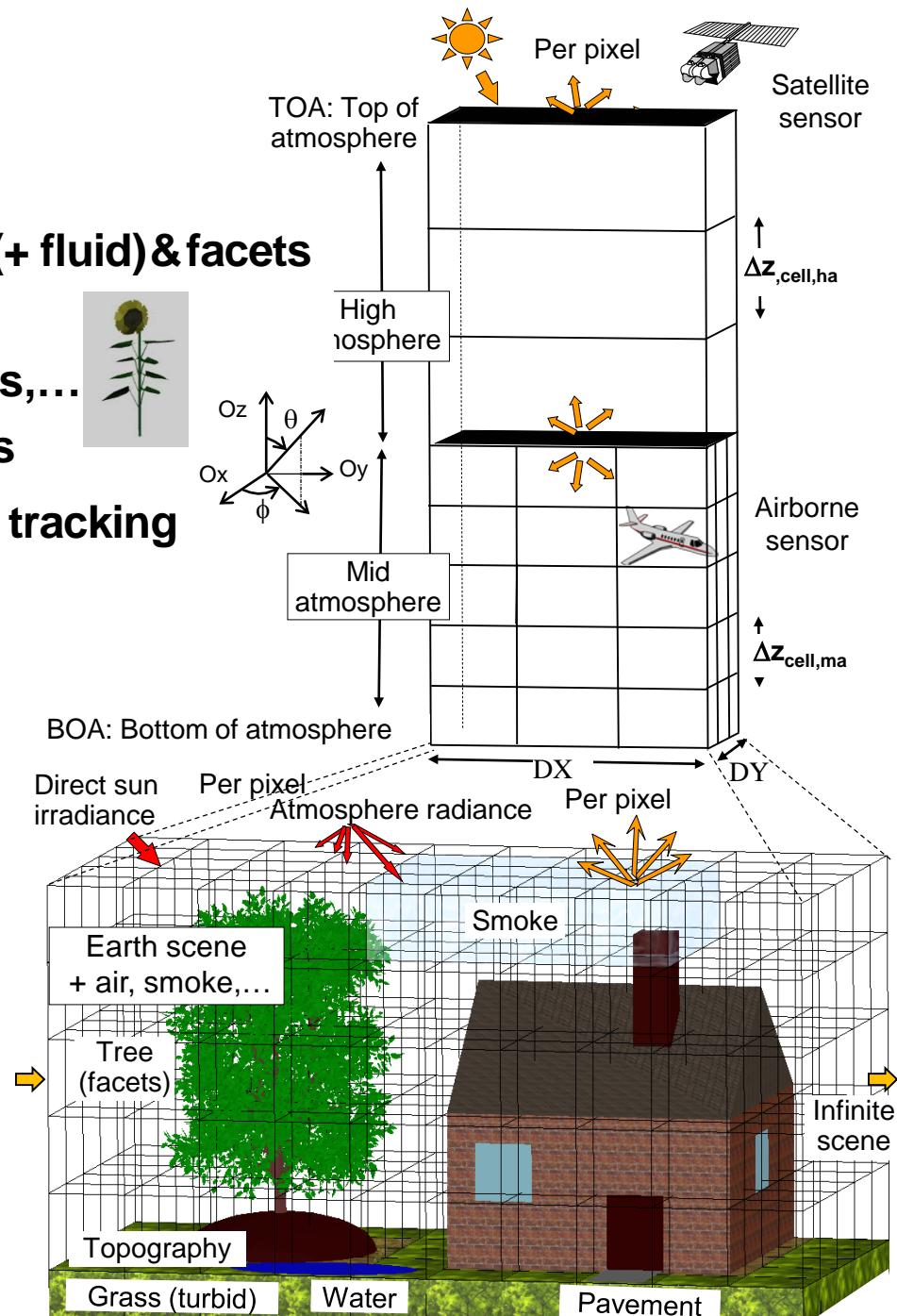
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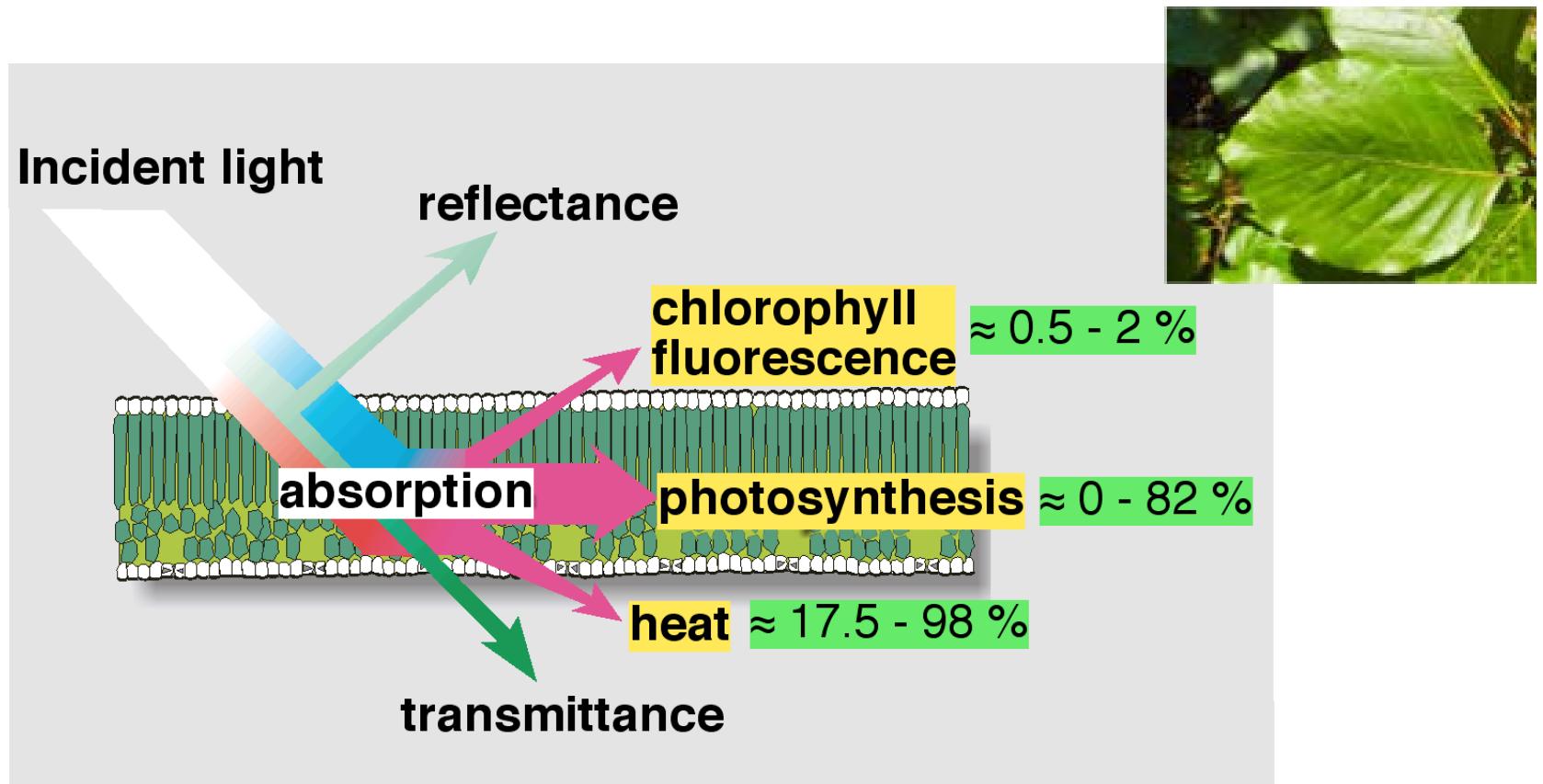
Products (TOA, BOA, in-situ)

- Spectro-images: $L_\lambda(\Omega_s, \Omega_v) \Rightarrow \rho_\lambda, T_B \forall \Omega_s, \Omega_v$
- LiDAR: waveform, photon counting, TLS
- 3D Radiative budget
- Atmosphere terms $\rho_{atm,\lambda}, T_{B,atm,\lambda}, L_{atm,\lambda}$
- Fluorescence: on-going



Fluorescence: usefulness and modeling objective

Sun induced fluorescence (SIF): info on leaf photosynthetic activity (PSI/PSII photosystems)



Future satellite mission (FLEX) to detect fluorescence from space.

Questions: does canopy architecture affect fluorescence and its remote detection? etc.

Modeling objective: to up-scale chlorophyll SIF from leaf up to 3D complex canopies.

Fluorescence: 1D (SCOPE) vs 3D (DART) modeling

Homogeneous turbid landscape

DART (3D RT model)

Canopy: many small triangles



PS fluorescence quantum yield

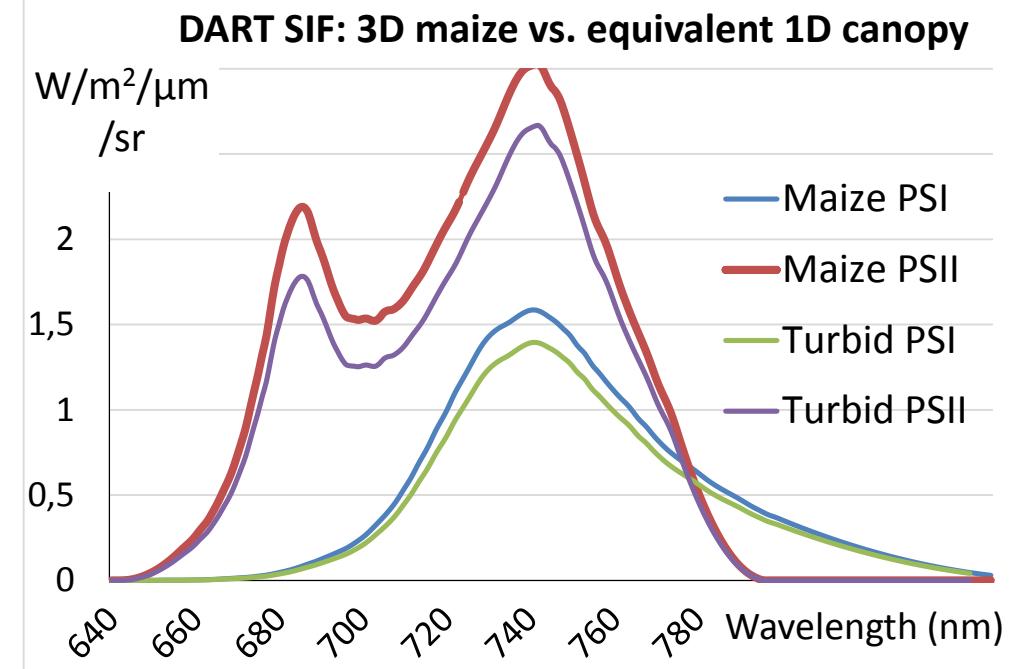
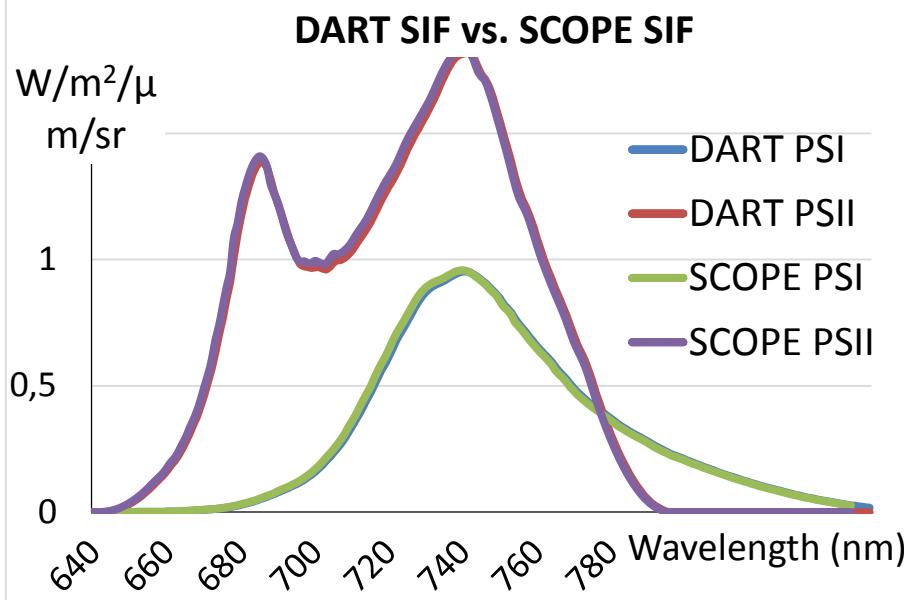
FLUSPECT:
"dark" leaf

SCOPE (1D energy model \Rightarrow reference for 1D canopies)

Canopy climatology (T, \dots):
 $\xi_{sun}(z, \theta_f, \phi_f), \xi_{shadow}(z)$

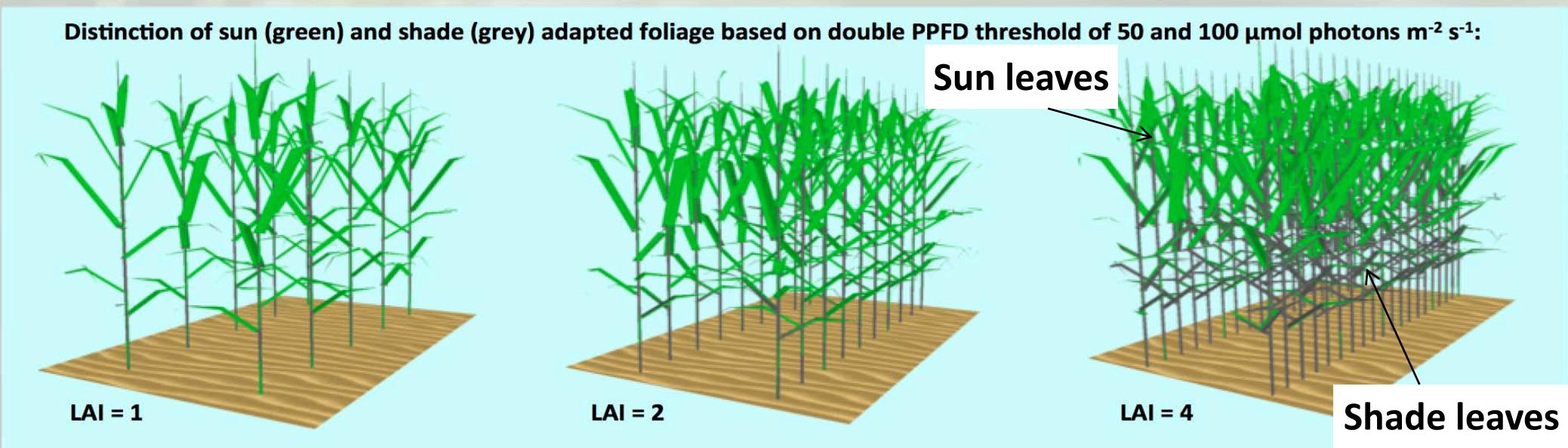
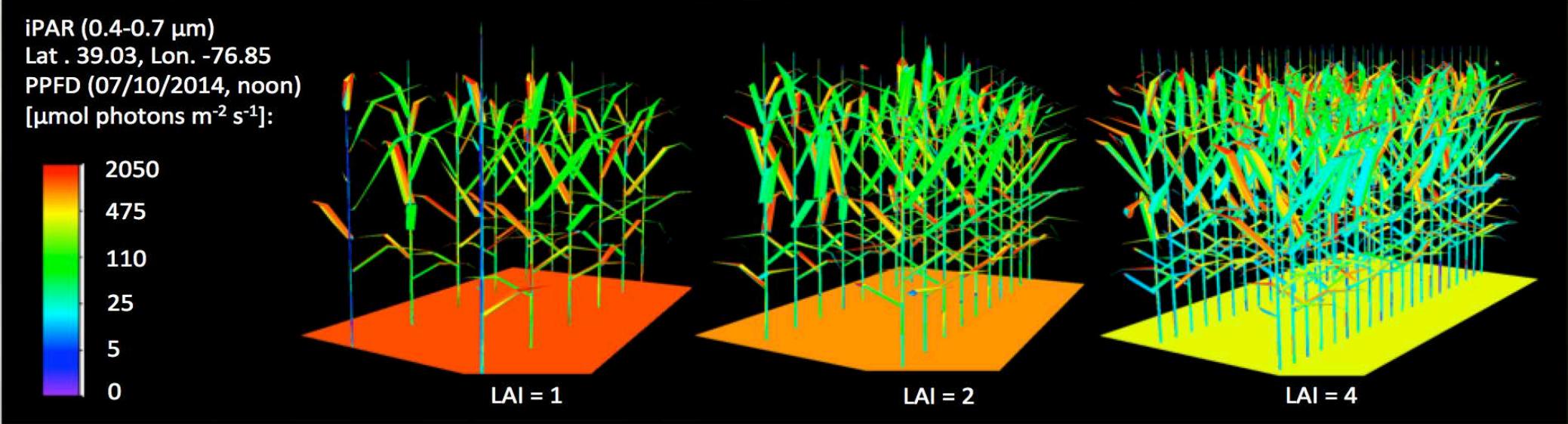
SCOPE canopy SIF

DART canopy SIF



Fluorescence: *Differentiation between sun and shade leaves*

Leaf SIF depends on leaf radiative history \Rightarrow leaves are classified as "sun" & "shade" leaves, using DART time series of leaf radiative budget

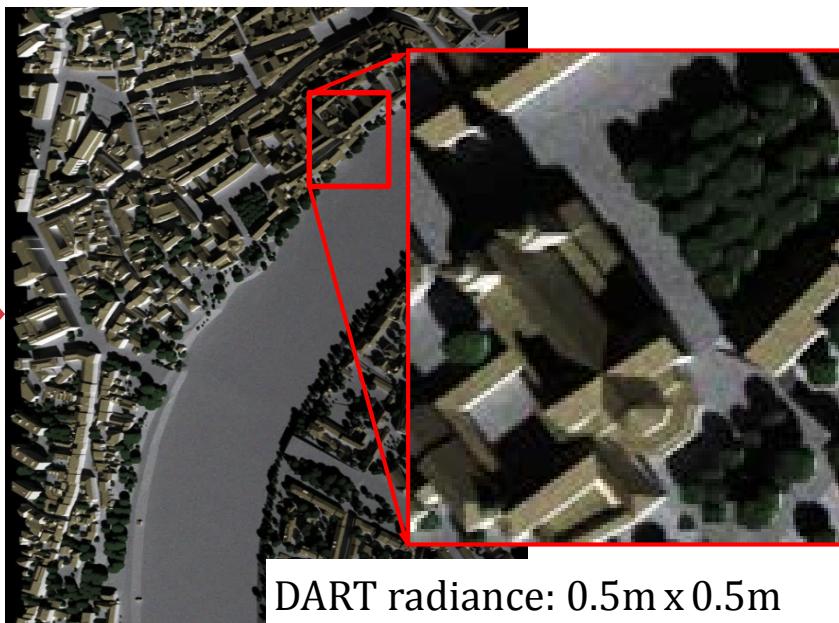


Satellite driven urban radiative budget (H2020 project)

(albedo, thermal exitance)

Objective: to improve our knowledge on anthropogenic heat fluxes in several European cities (London, Basel, Heraklion). (<http://urbanfluxes.eu/>)

Approach: EO satellites + modeling. 3D radiative budget is derived from "EO satellites + 3D radiative transfer model", and then combined with urban energy balance modeling.



**3D model of Basel, with
added trees and Digital
Terrain Model (DTM)**

+

Atmosphere

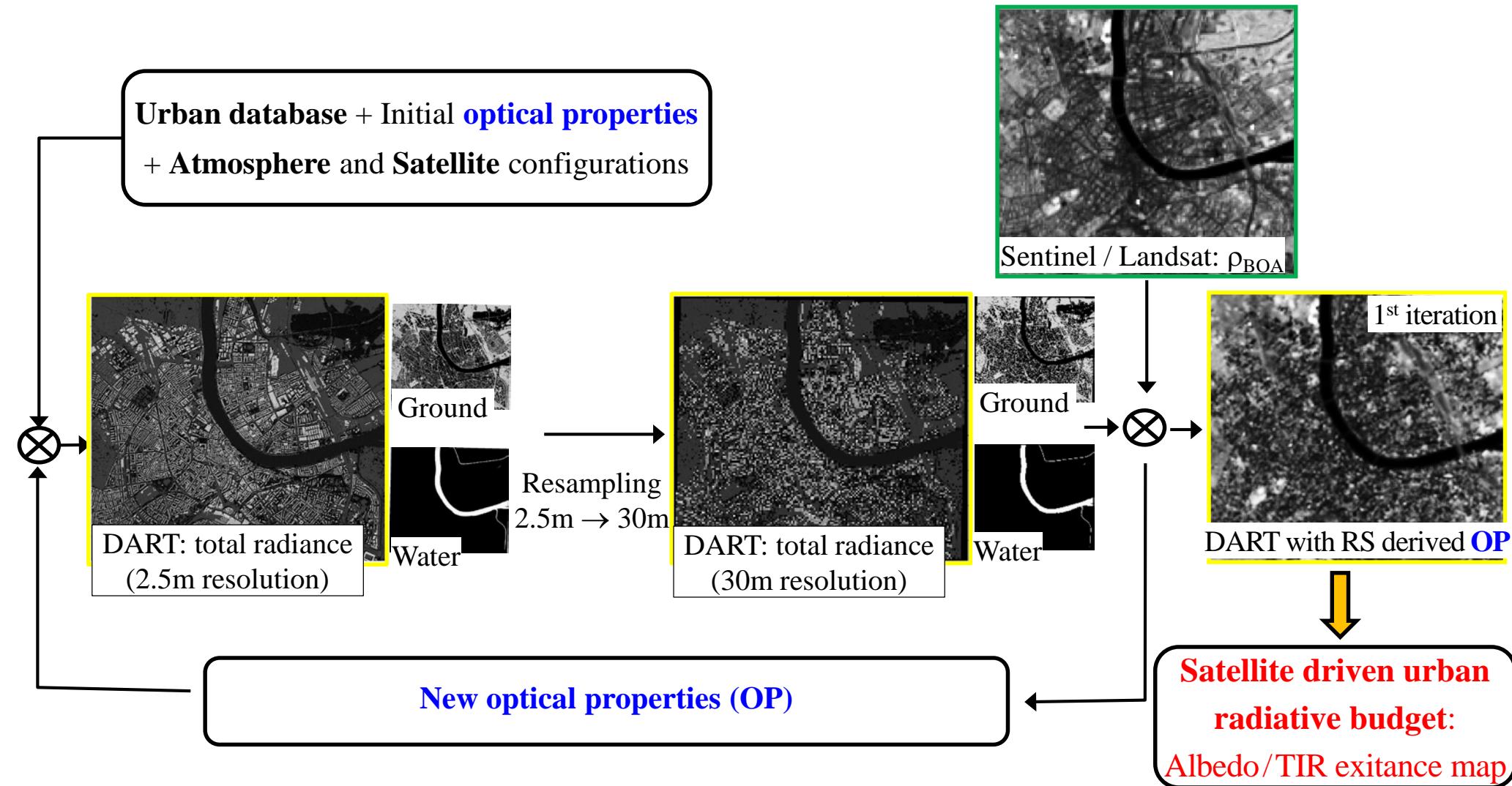
**Satellite, airborne & in-situ camera /
pushbroom images,...3D radiative budget**

✖ All roofs have the same optical property!!!

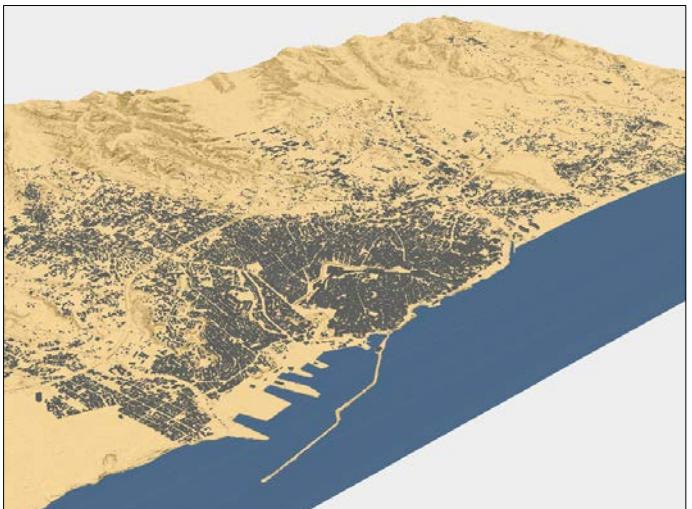
Difficulty: optical properties (OP) of the urban elements are highly spatially variable.

Solution: to derive maps of urban elements OP from satellite at satellite spatial

Iterative comparison of DART and satellite images per satellite pixel



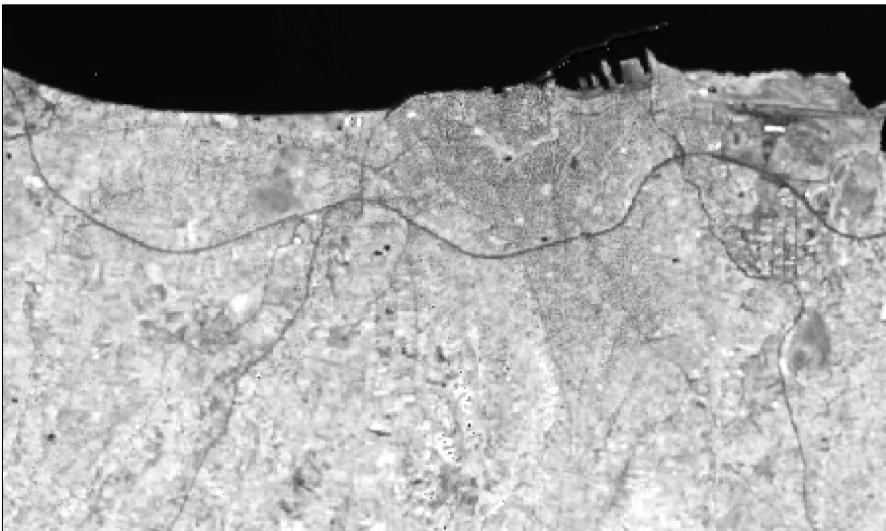
Heraklion



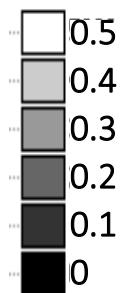
3D urban database

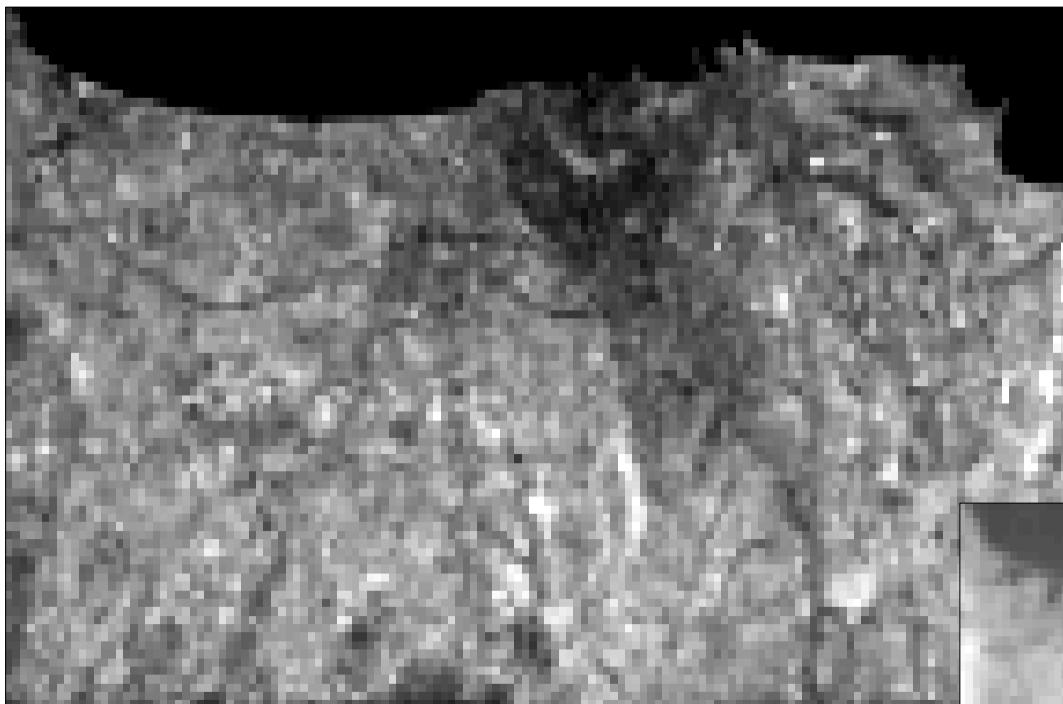


Landsat band 5: 13/07/2016. 30m resolution



DART calibrated reflectance image



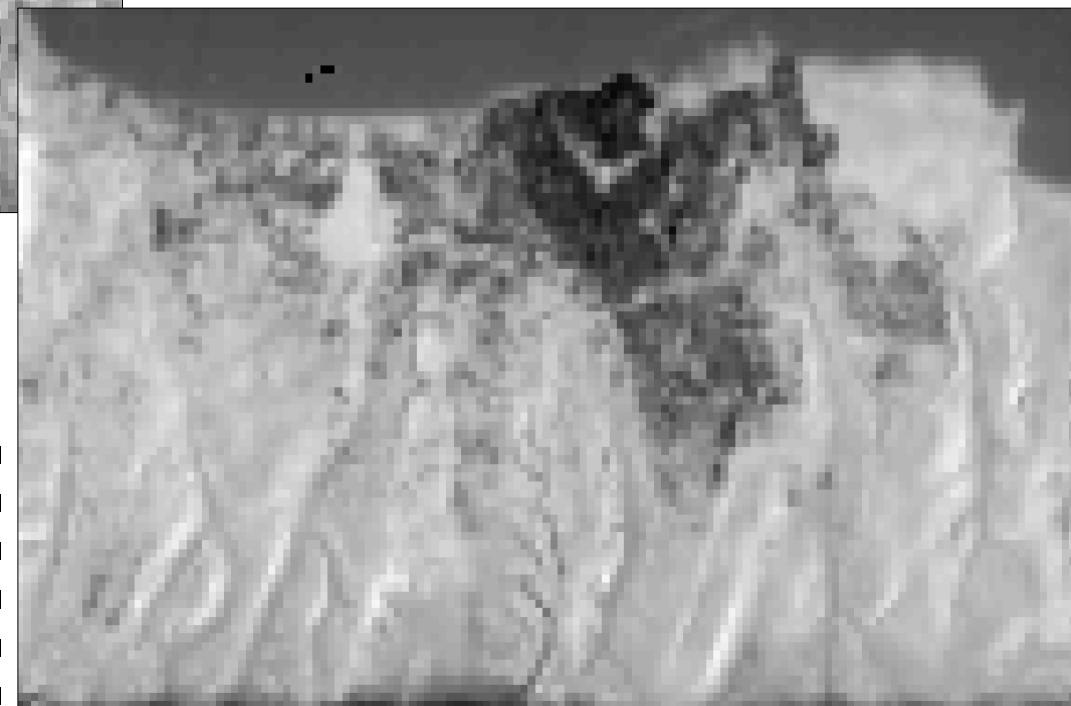


DART albedo image. 100m resolution

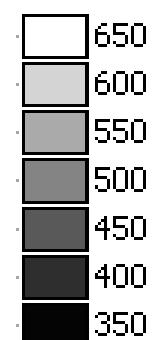


Heraklion

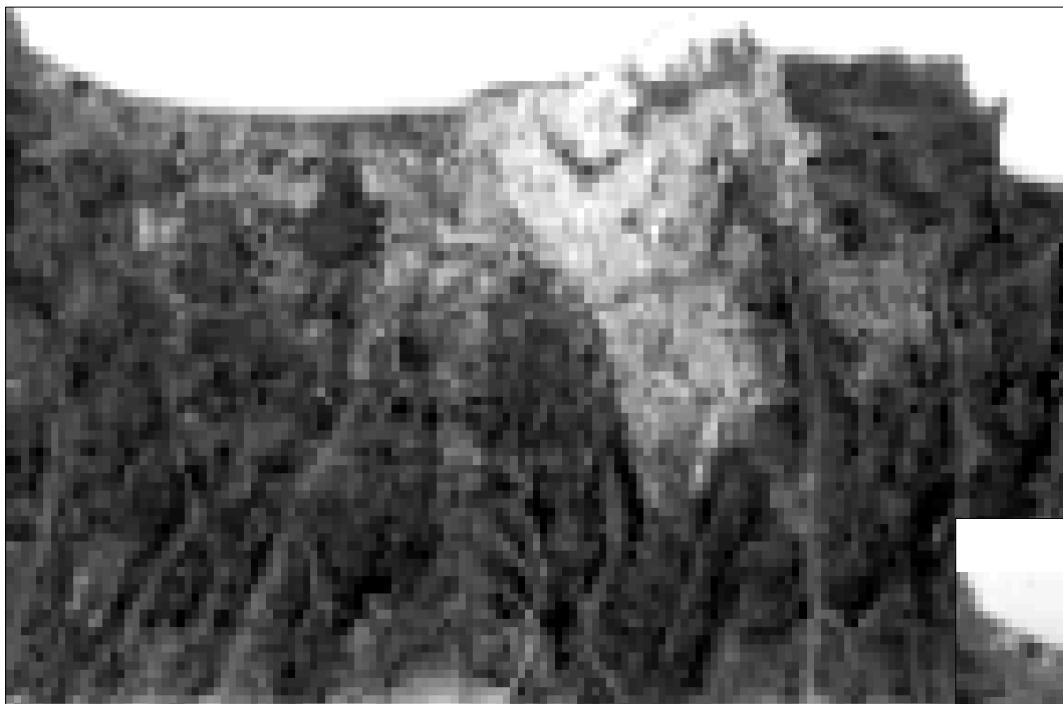
Landsat: 29/07/2016.
30m resolution



W/m^2

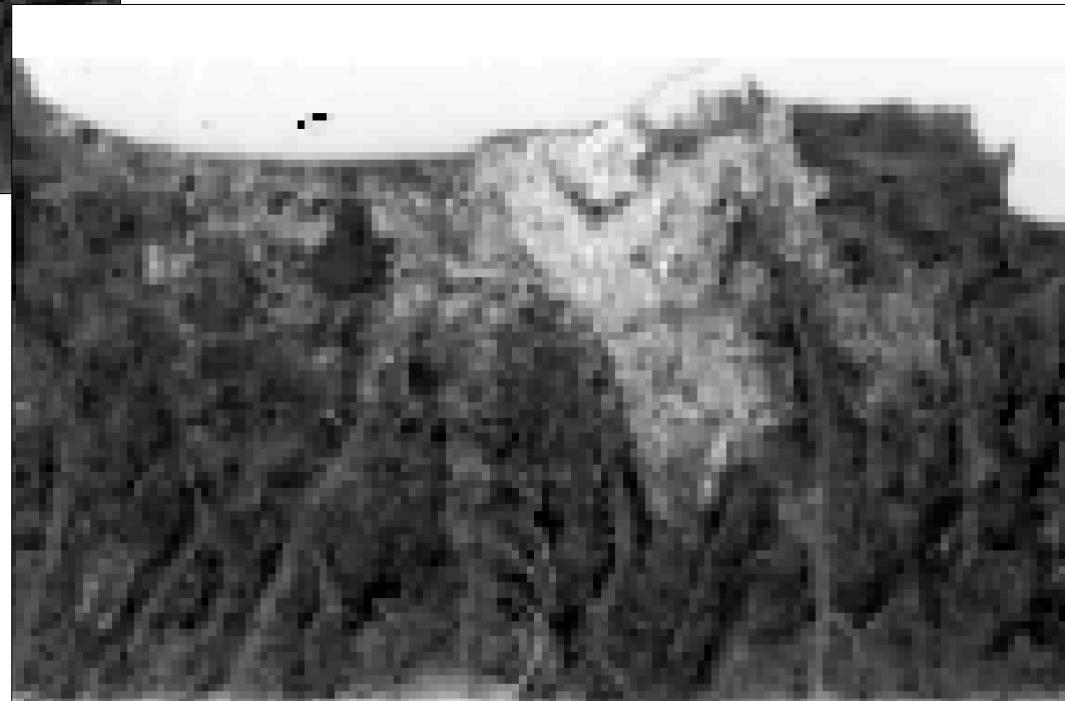
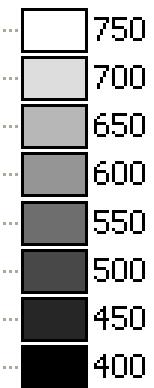


DART thermal exitance image. 100m resolution



13/07/2016

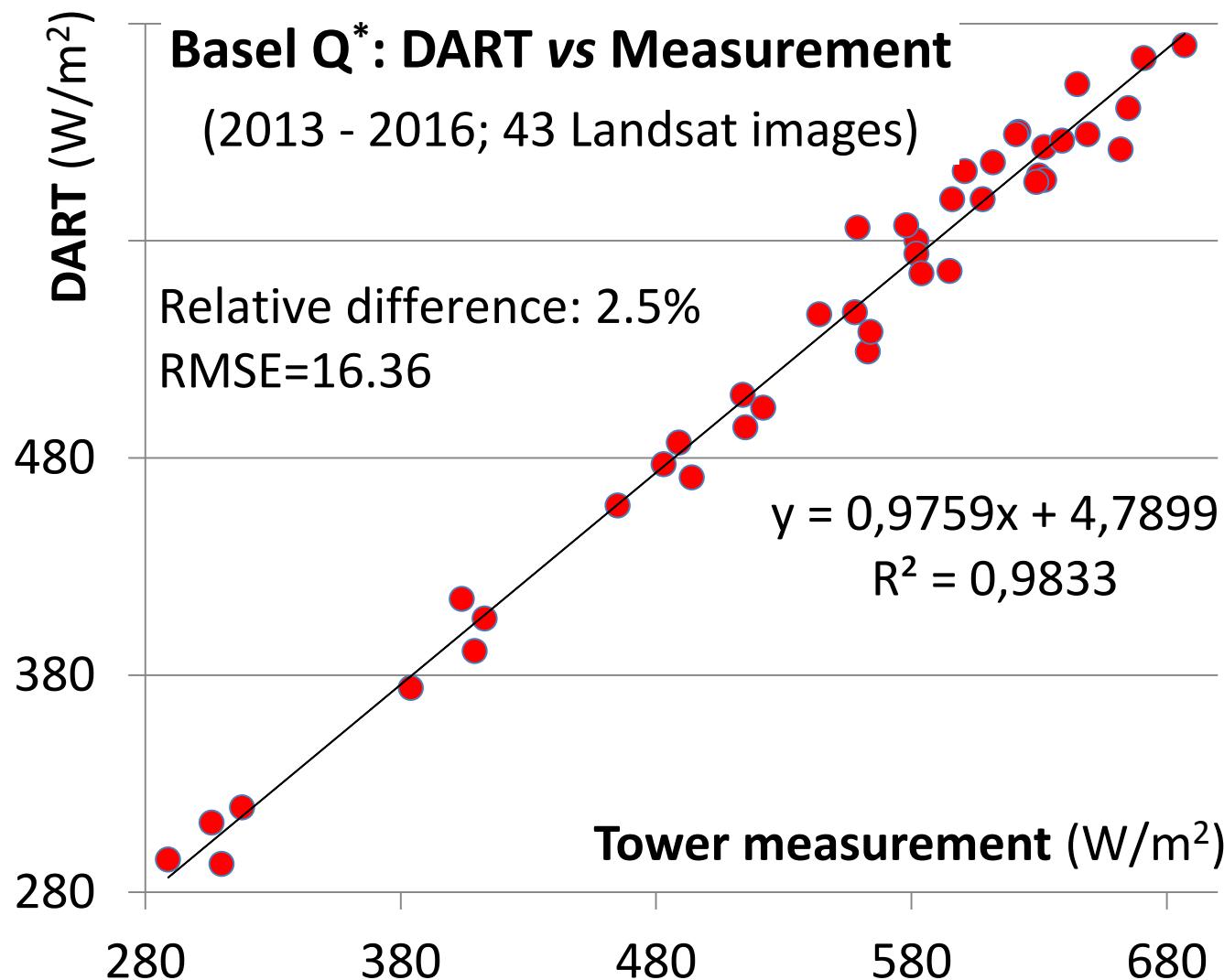
W/m²



29/07/2016

Heraklion

DART Q*
100m resolution



LUT_{black sky} & LUT_{white sky} for date with no satellite image $\Rightarrow Q^*(t)$ with $\Delta t=1h, \dots$

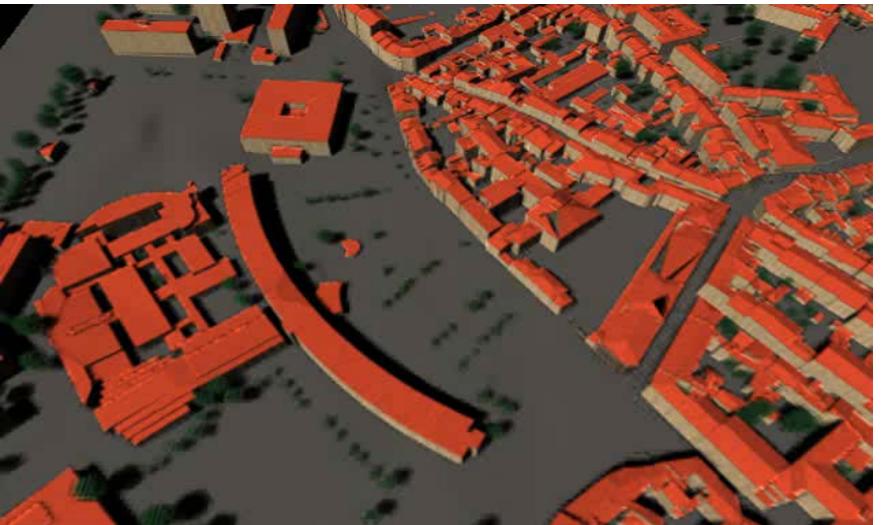
Conclusion and On-going work

Conclusion: thanks to the "DART" team, collaborators and projects (RAMI,...) for making DART more and more accurate , robust , functional and open

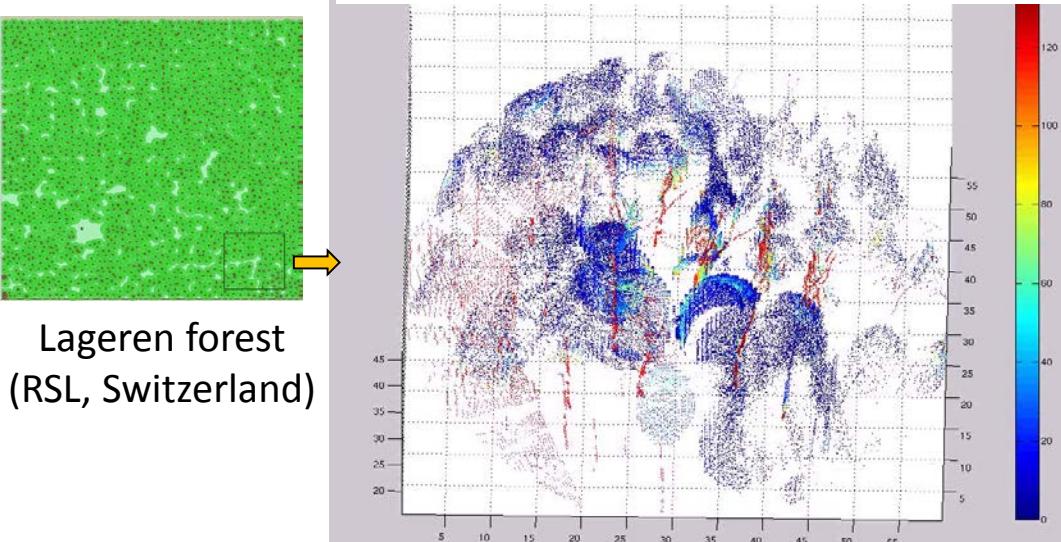
On-going work:

- **Technical:** - New GUI, integration of INTEL embree library, etc.
 - New data format: to reduce computer time and volume,...
- **Water:** partly implemented. To be validated.
- **Polarization:** partly implemented. To be validated.
- **Satellite driven urban albedo:** partly validated. To be more operational.
- **Large landscapes:** voxel size adapted to local complexity, scene segm.,...
- **3D urban and vegetation energy budget:** still an exciting challenge.

Airborne scanner: urban database (Toulouse, France)

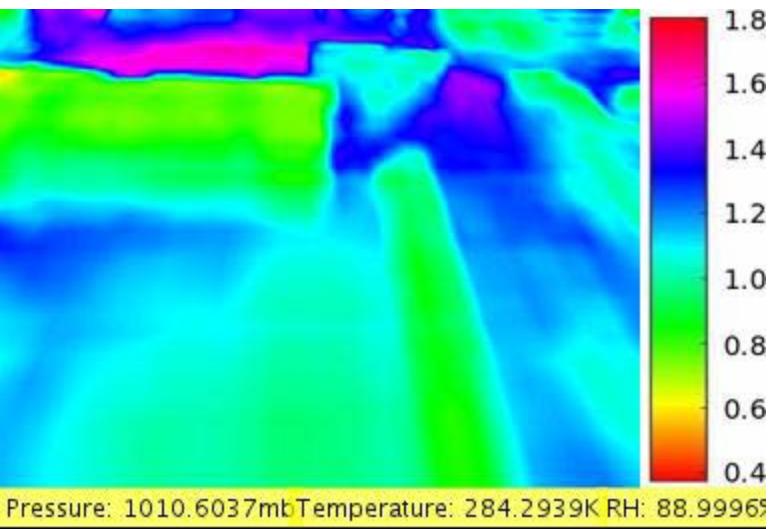


Terrestrial LiDAR: 1st order echo amplitude



Lageren forest
(RSL, Switzerland)

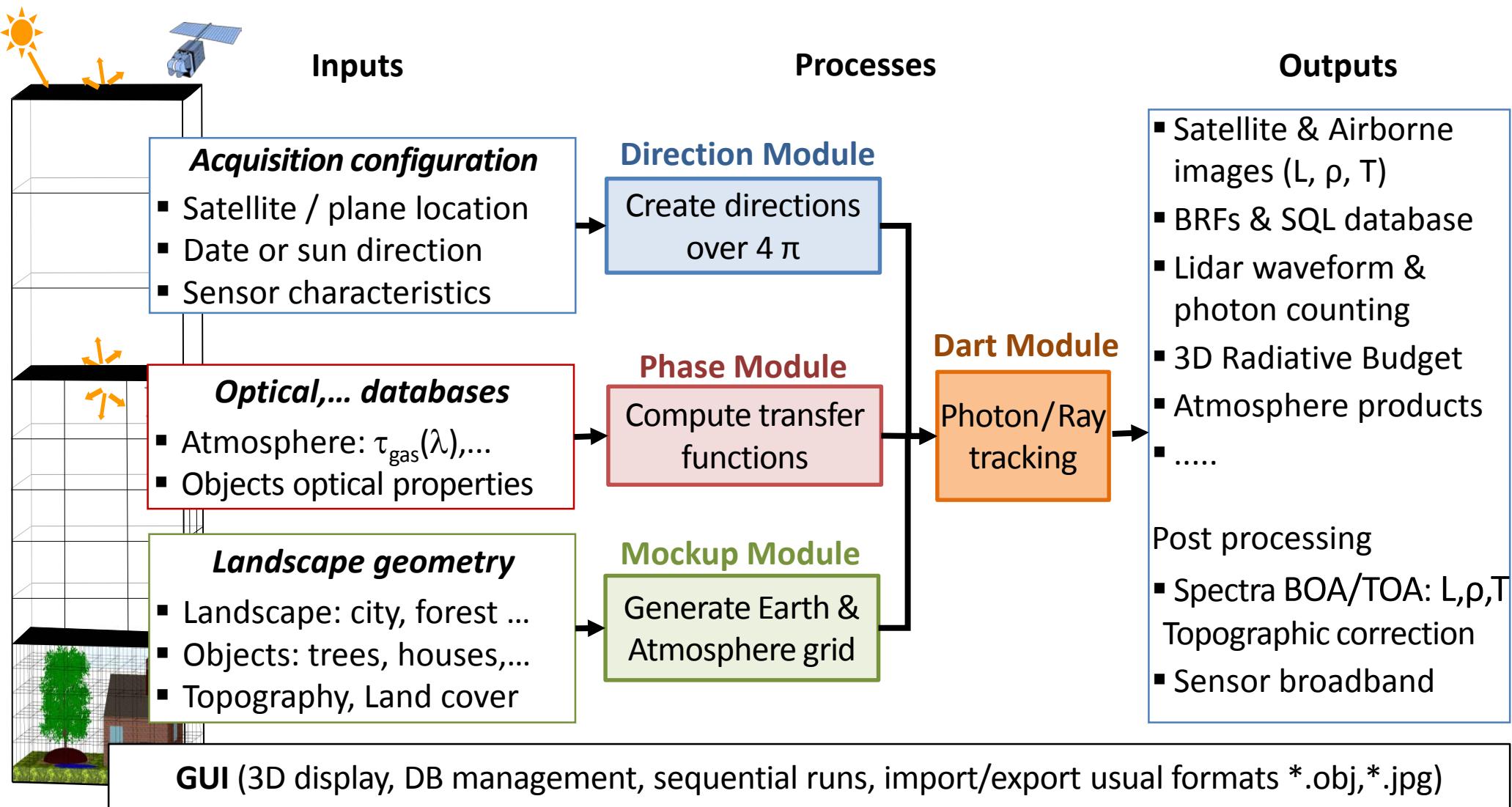
Thank you



Simulation of TIR camera (London)

Thermal radiance varies with atmosphere pressure, relative humidity (RH) and temperature.

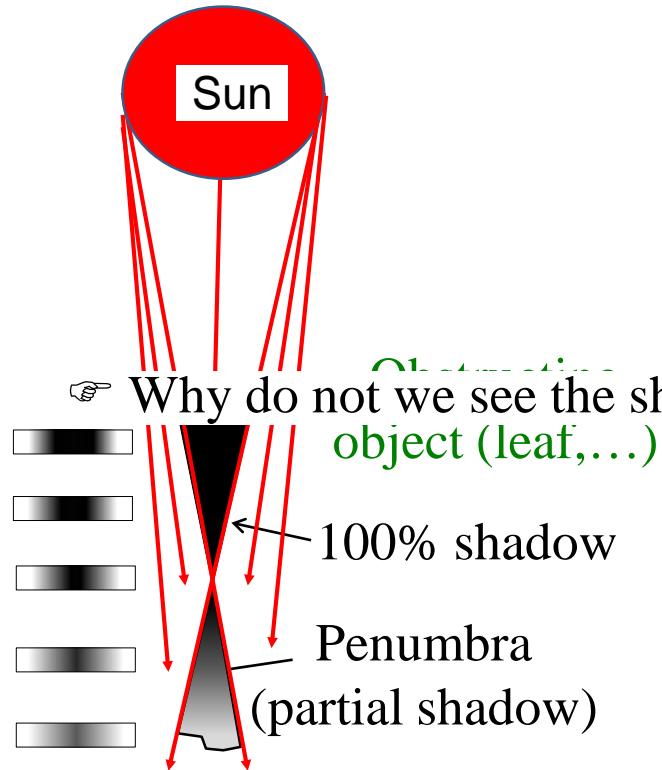
The DART model: *Inputs, Processes and Products*



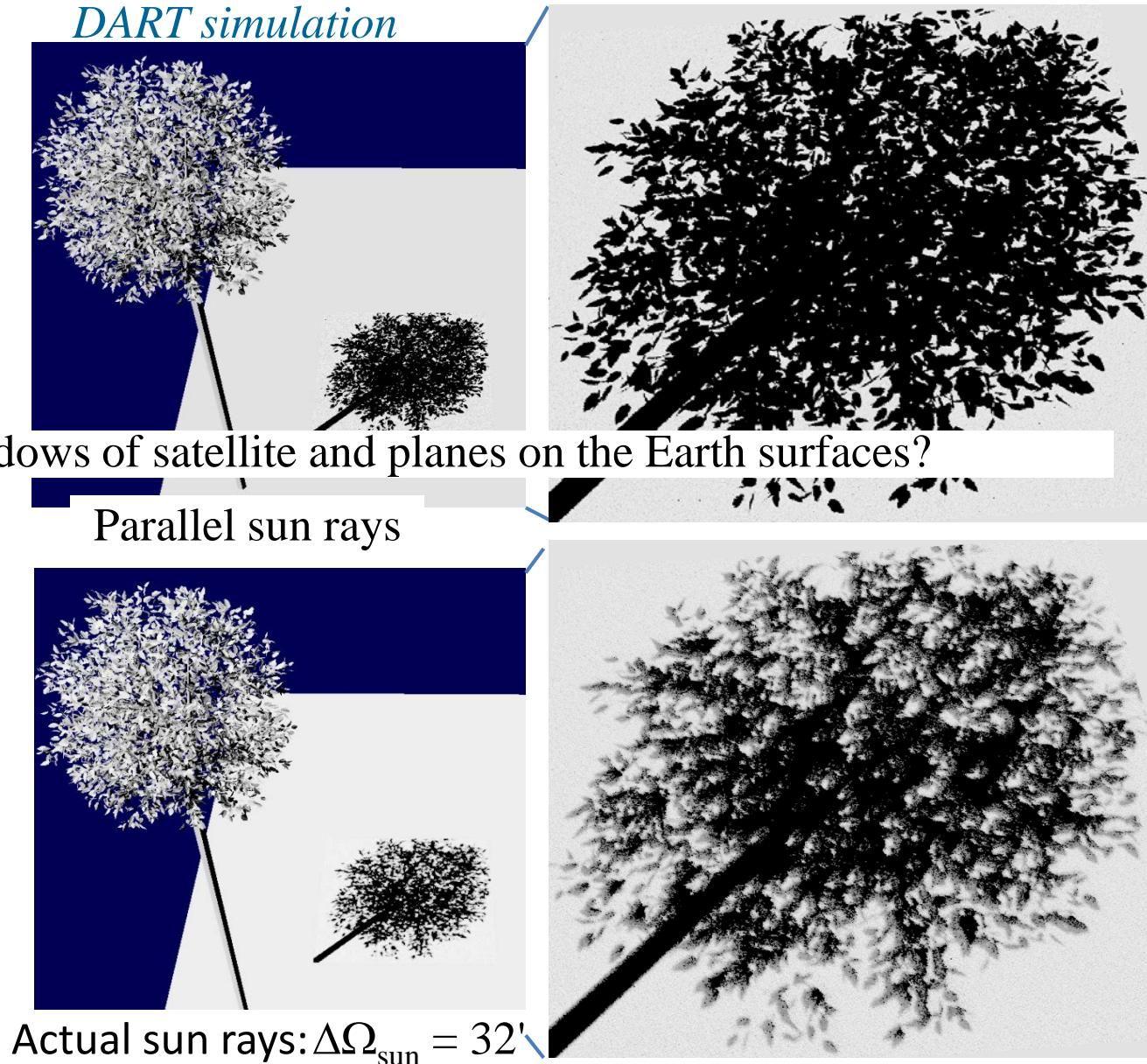
Modules in C++ ($4 \cdot 10^5$ lines), GUI java, binding python (sequencing)



The sun with finite FOV: The penumbra effect



Sun rays are not parallel
 \Rightarrow shadow is total at short distance and more and more partial as distance increases

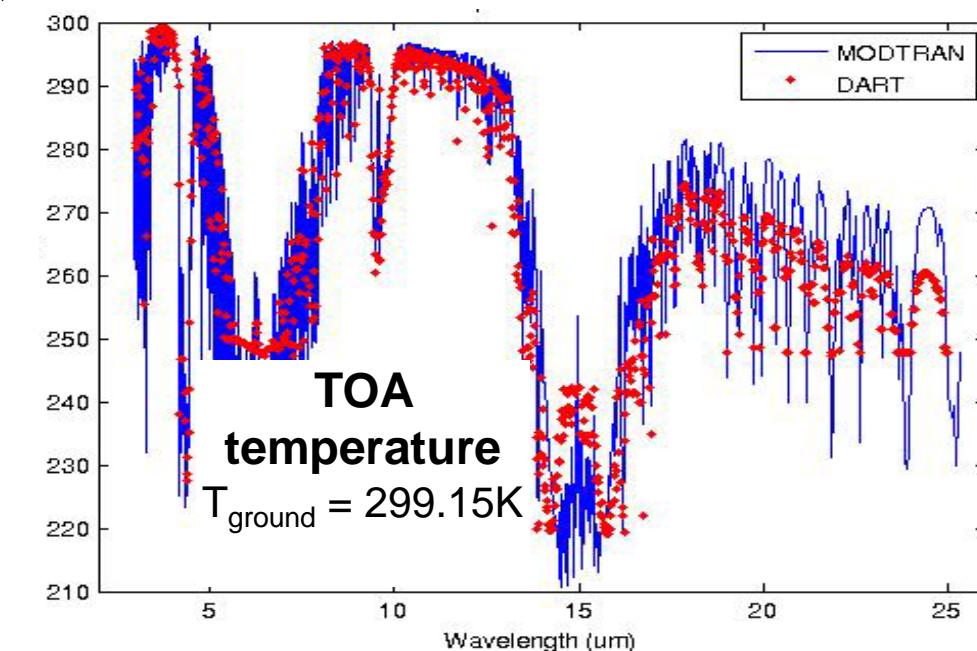
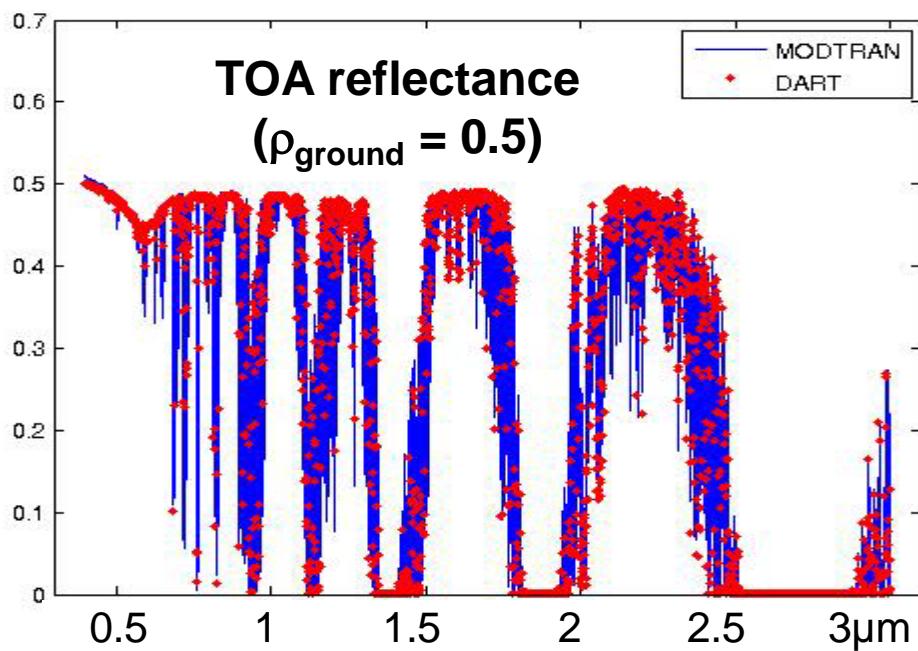
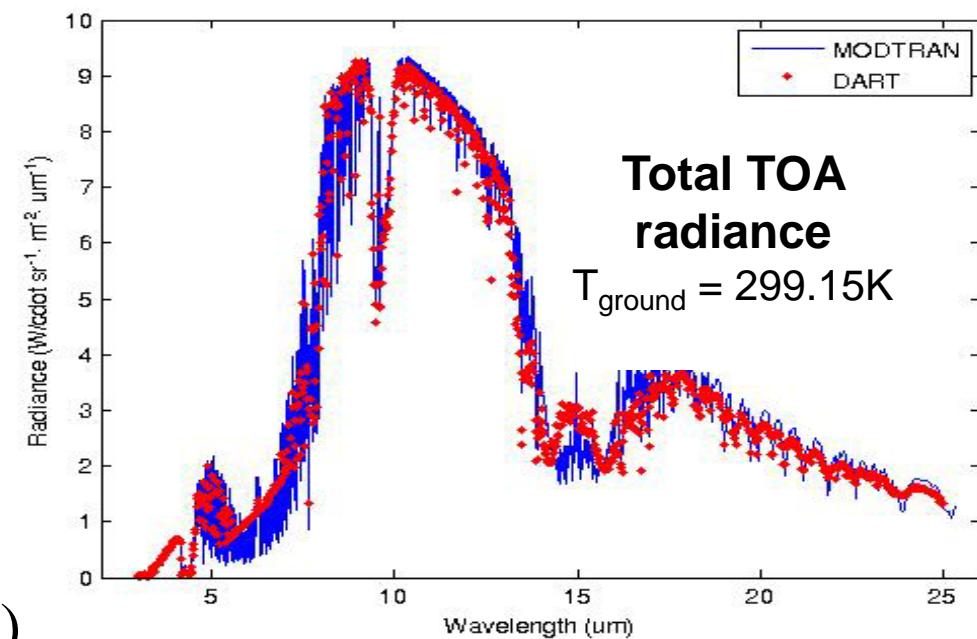
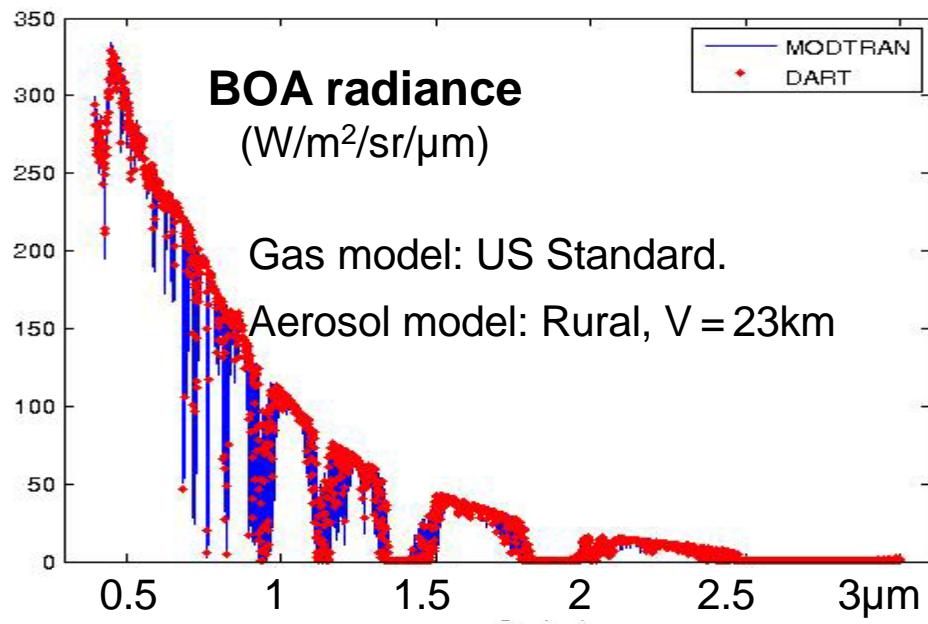


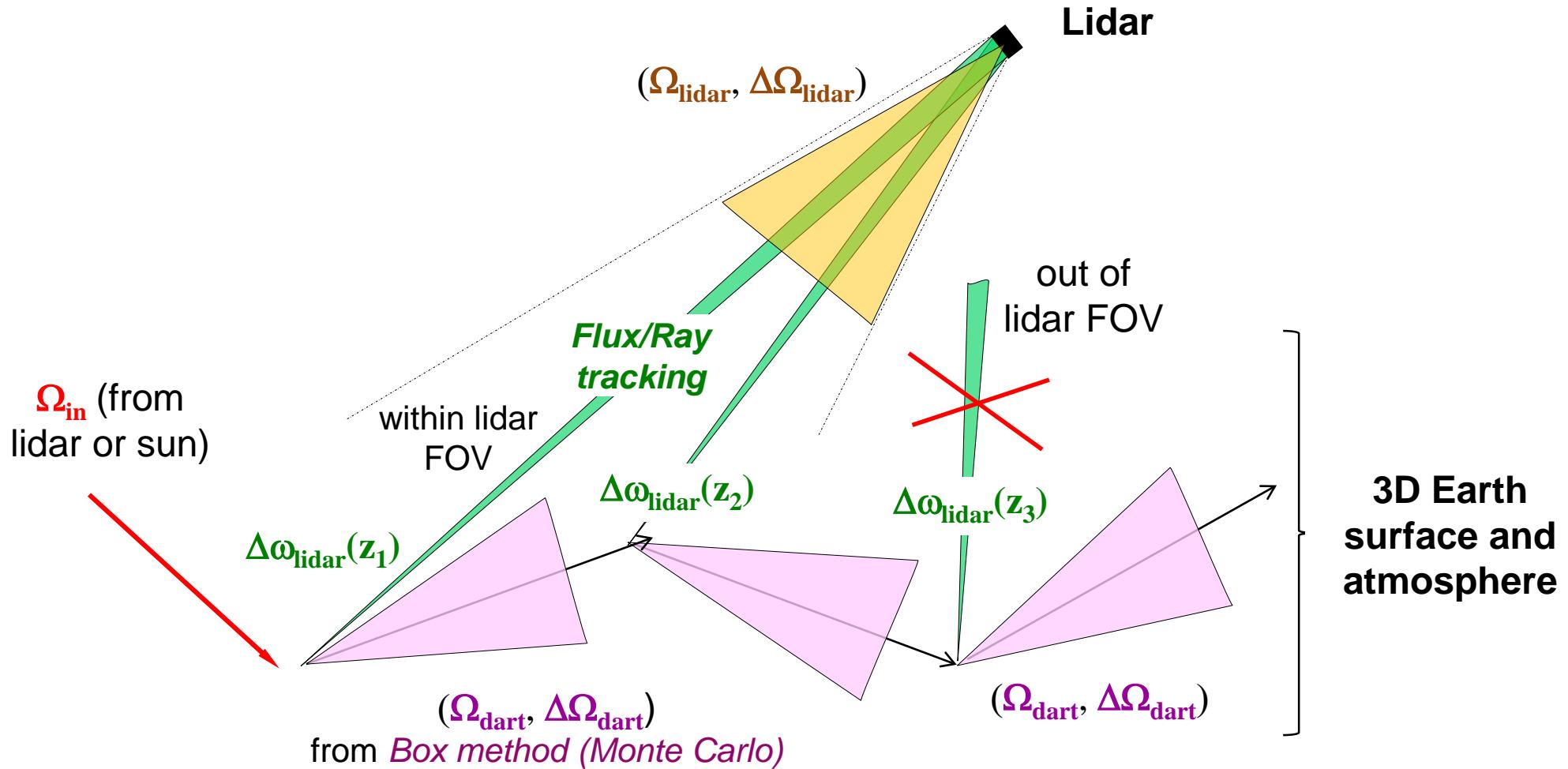
Atmosphere

Gastellu-Etchegorry J.P., Lauret N., Yin T., Landier L., Al Bitar A., Aval J., Guilleux J., Jan C., Chavanon E., (2016) DART: Radiative transfer modeling for simulating Terrain, airborne and satellite spectroradiometer and LIDAR acquisitions and 3D radiative budget of natural and urban landscapes, **IGARSS 2016**.

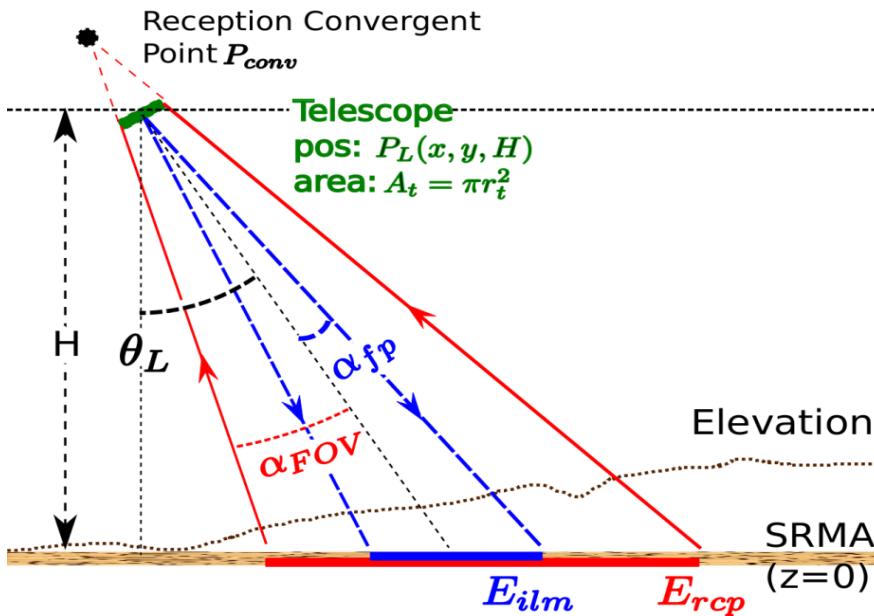
- **Atmosphere**:- Voxel array with gas and aerosol spectral extinction coefficients, scattering albedo & scattering phase function
 - Account of non Beer law behavior
 - Account of Earth sphericity (geostationary satellite,...)
- **Database**: - Derived from Modtran 5 (US Standard,...) and Lowtran. Temperature and gas profiles can be directly managed
 - Possible input of data from Aeronet network, ECMWF,...
- **Air in the terrestrial landscape**: simulation of pollution by adding / removing aerosol & gases (CH_4 , CO_2 , H_2O , HNO_3 , NO_2 , N_2 , NO , O_3 , SO_2)

The Atmosphere : DART (red) vs. Modtran (blue)

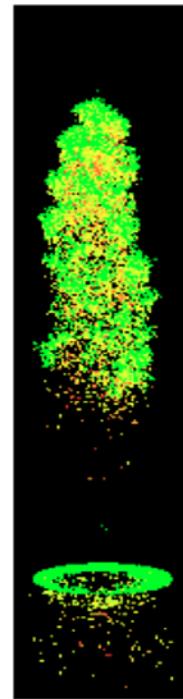




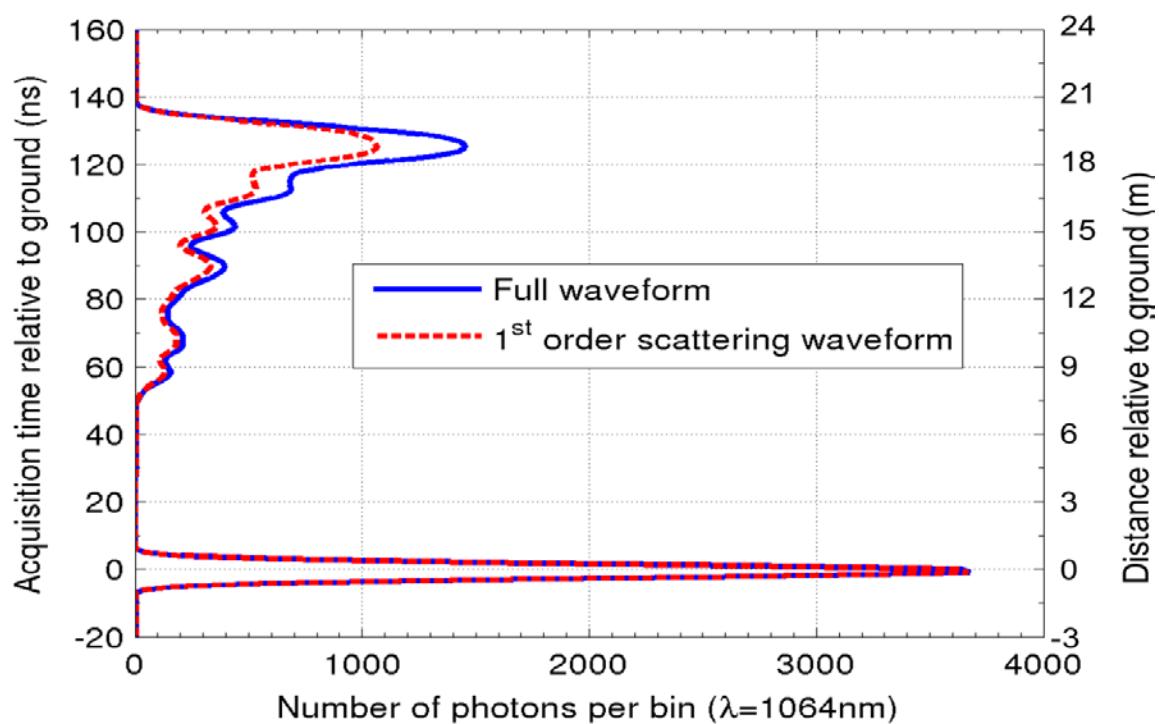
Small footprint waveform simulation: Linden tree from RAMI-4 ($\lambda = 1064\text{nm}$, $H = 10\text{km}$)



DART simulation



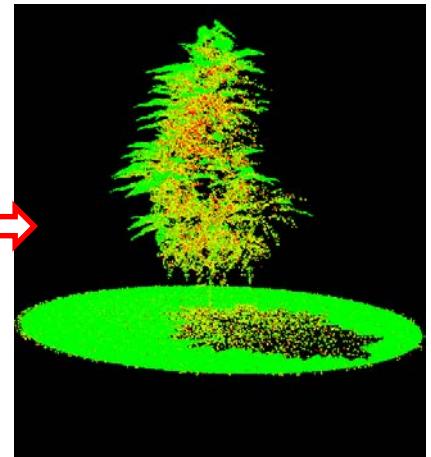
Parameters	Symbols	Values
Sensor area	A_t	0.1m^2
Time step per bin	δt_{bin}	1 ns
Footprint divergence half angle	β_{fp}	0.25 mrad
FOV divergence half angle	β_{FOV}	0.4 mrad



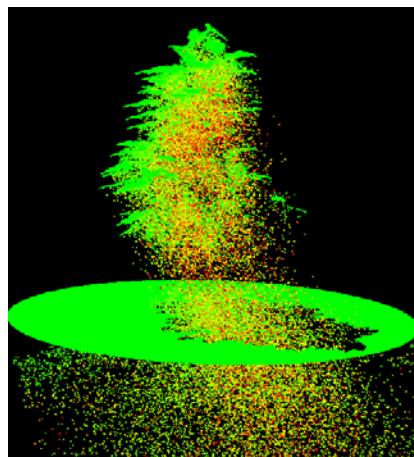
DART LiDAR: "Turbid tree" derived from "Triangle tree"



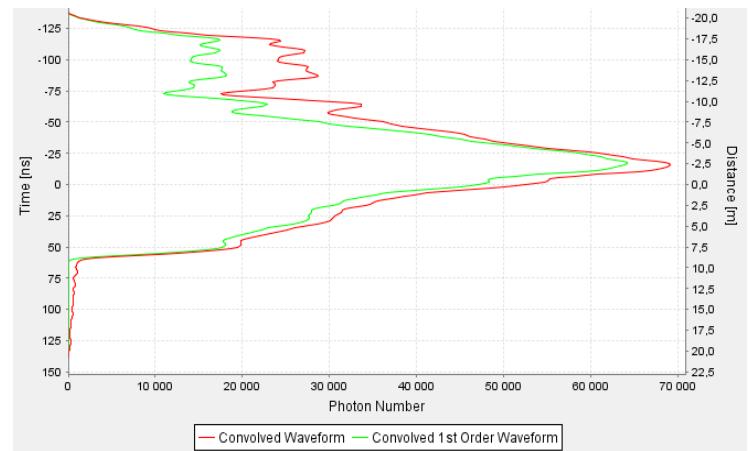
Triangle tree



3D cloud of last returns (echoes)



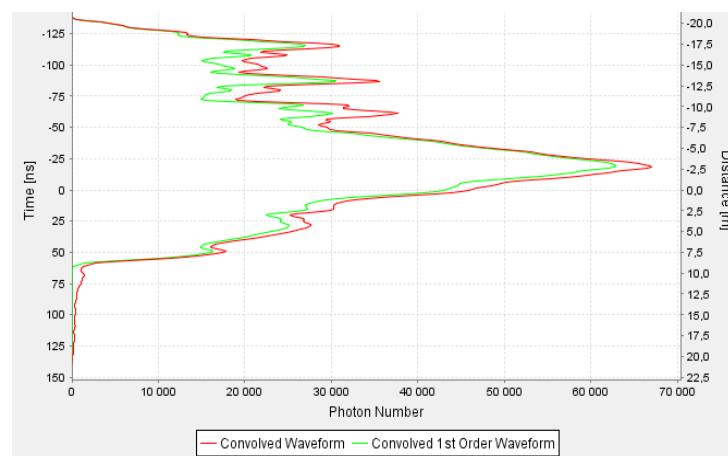
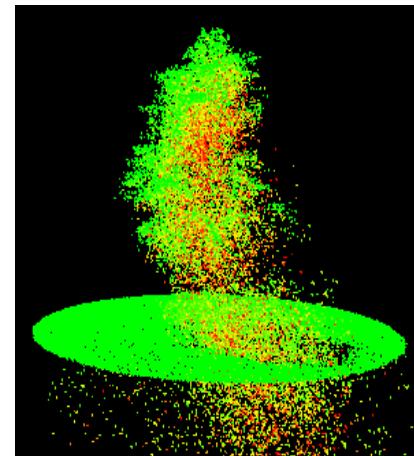
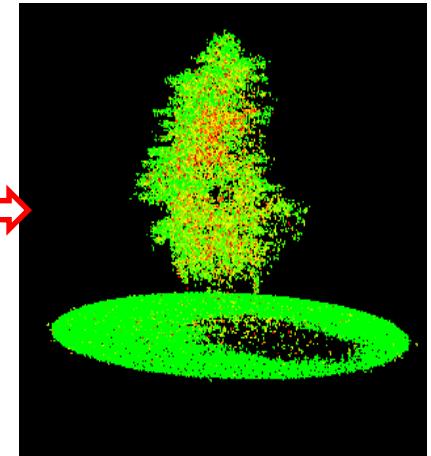
3D waveform

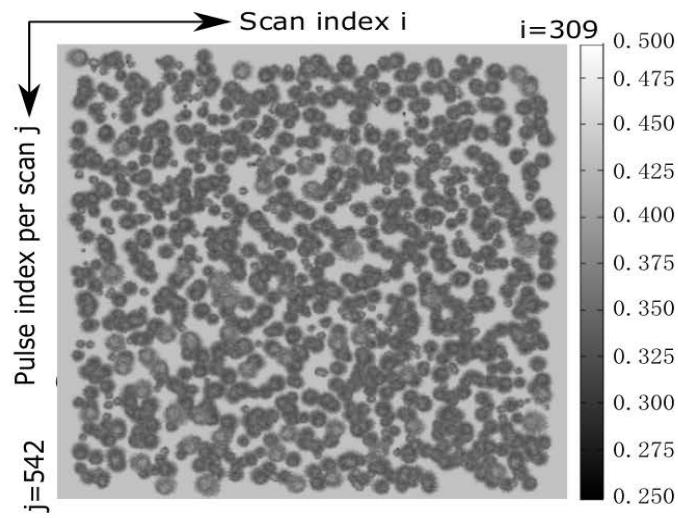


1D waveform: 1st order (green) and total (red)

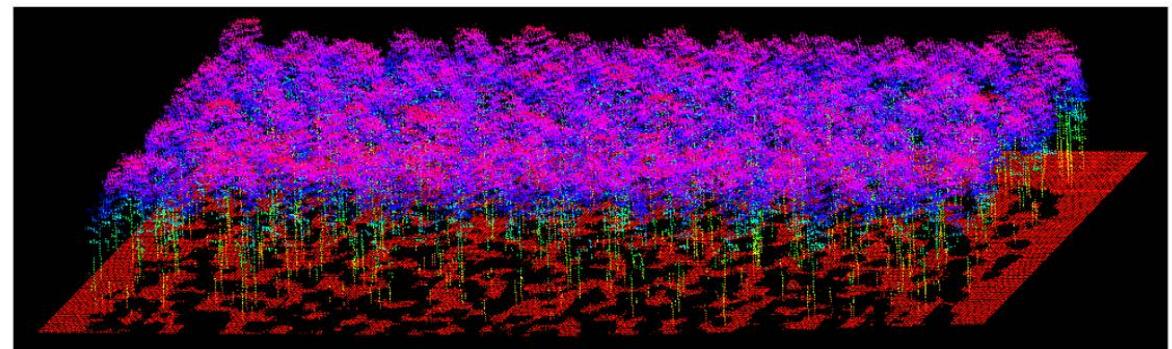
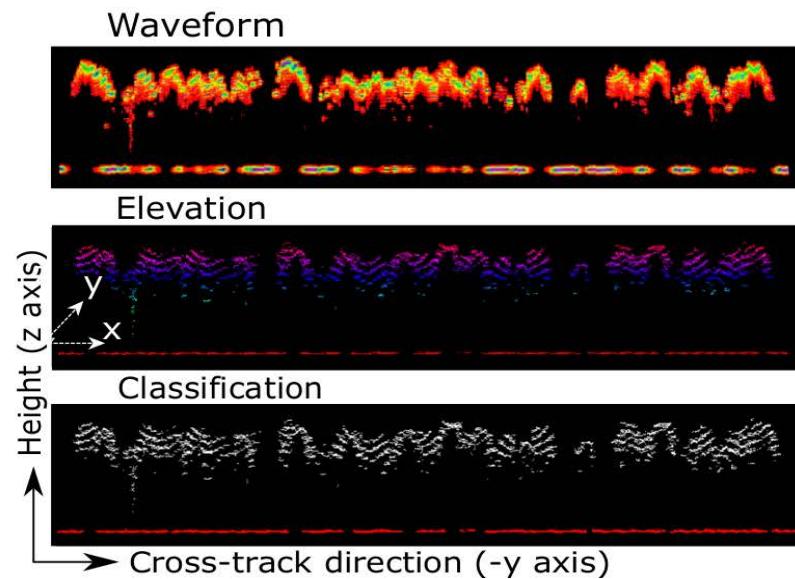
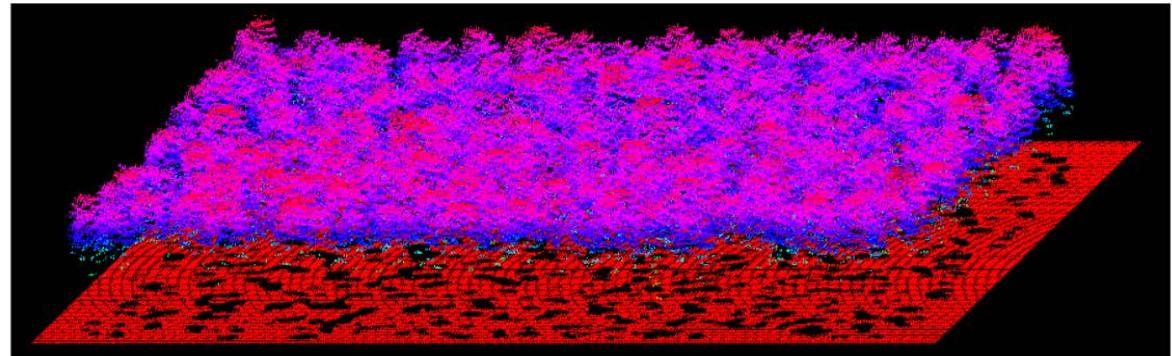


Turbid tree





*Display of DART simulations with SpDLib code
(colour = height)*

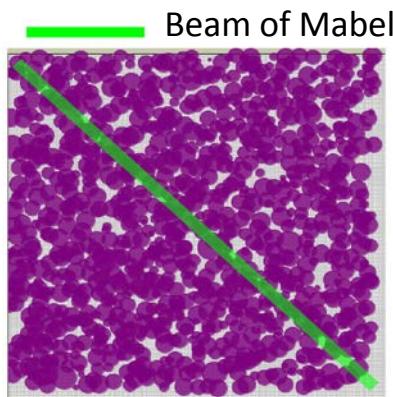


$\theta_L = 45^\circ$ at the center of swath

DART LiDAR: Photon counting in the night (no solar noise)



Jarselja pine stand
(Summer), Estonia



Atmosphere:

- Aerosol: rural, 23km
- Gas: US Std76

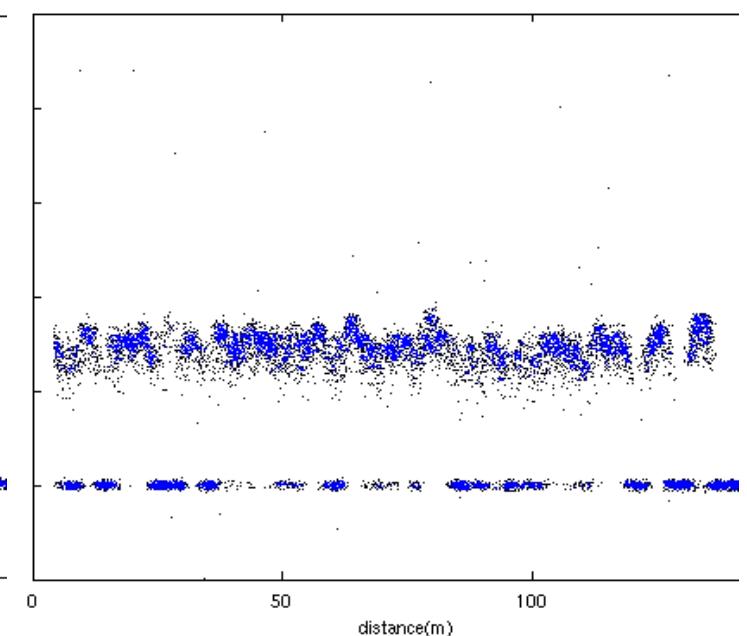
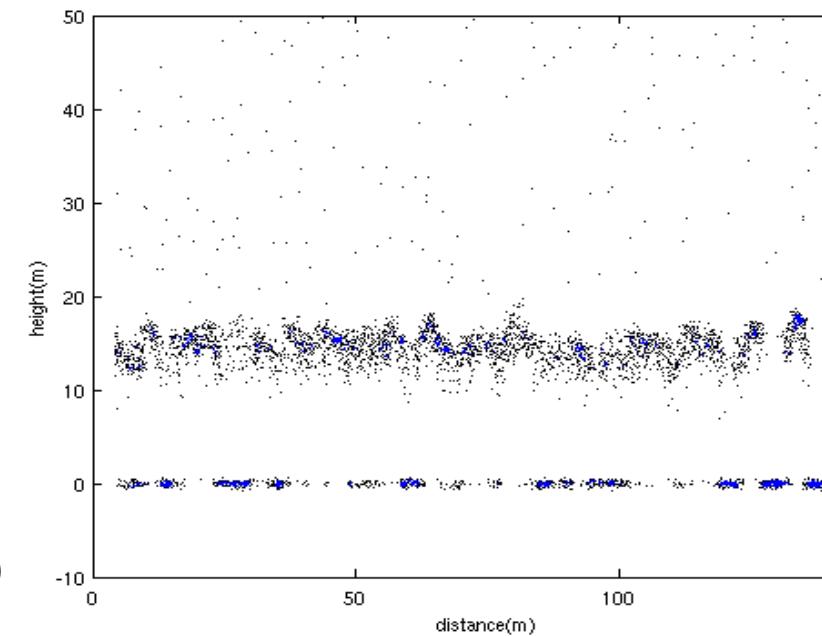
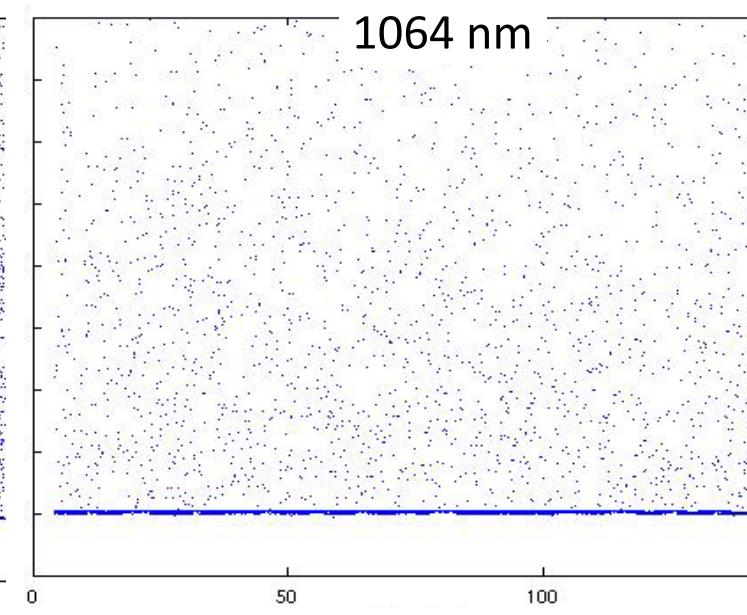
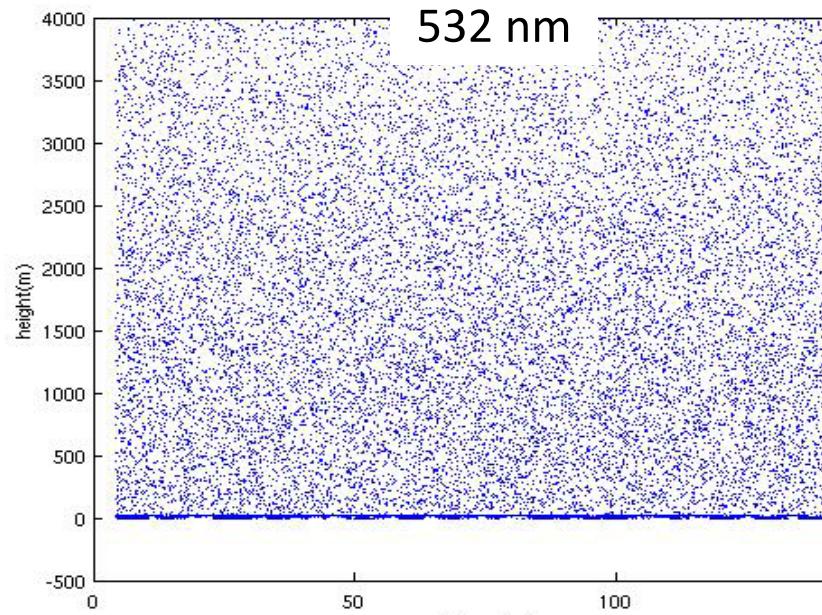
Stored range: [0 4km]

Beam distance: 137.2m

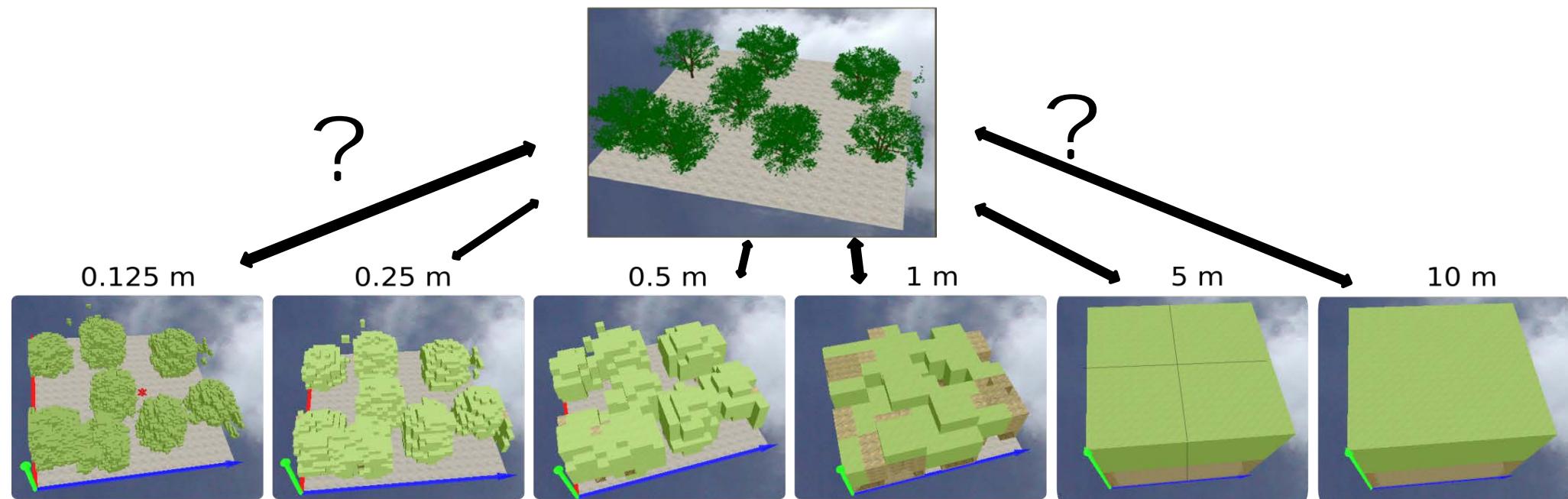
Pulse separation: 0.02m

Number of pulses: 6859

Look angle: nadir

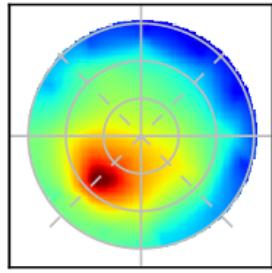


DART presentation: *To simulate a landscape with which spatial resolution?*

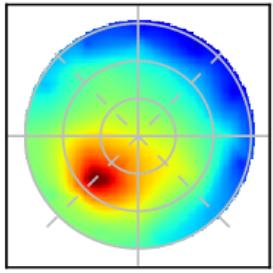


2D plots of directional reflectance

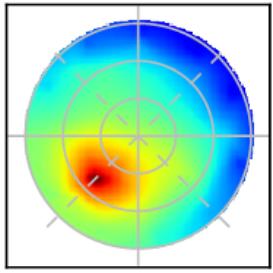
Turbide : $\Delta X = 0.125$



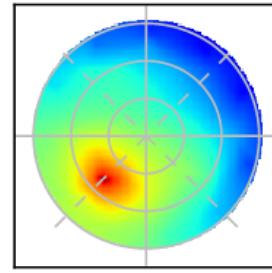
Turbide : $\Delta X = 0.25$



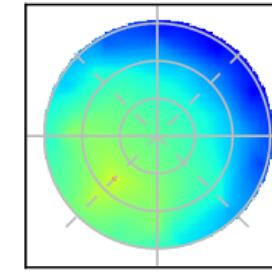
Turbide : $\Delta X = 0.5$



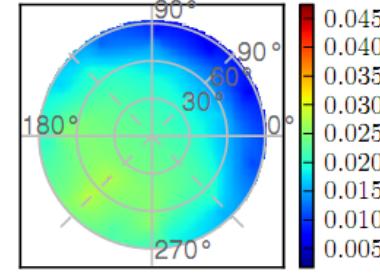
Turbide : $\Delta X = 1$



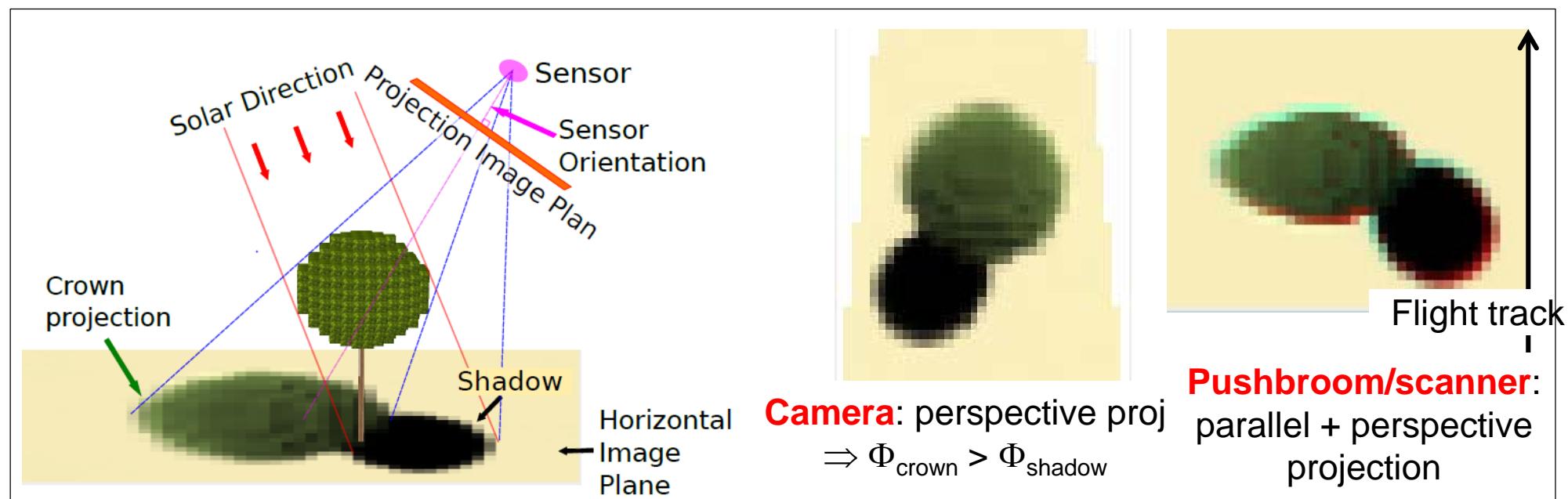
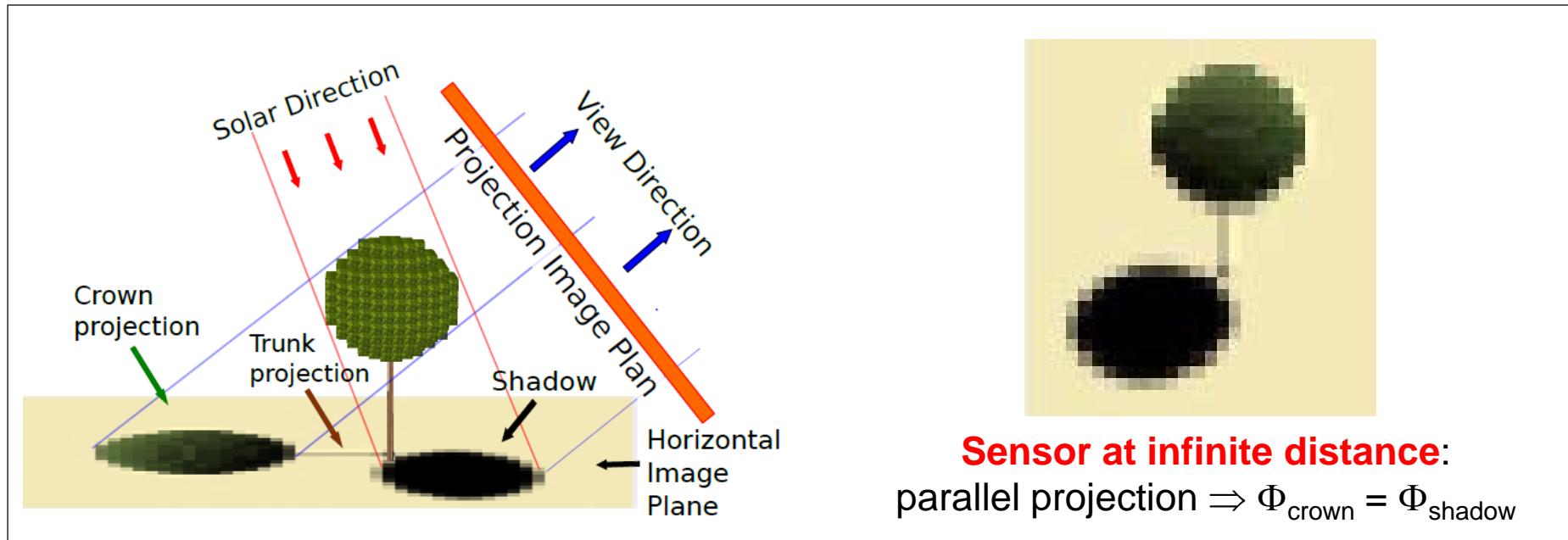
Turbide : $\Delta X = 5$



Turbide : $\Delta X = 10$



Spectro-radiometers with finite FOV: Perspective / Parallel projection



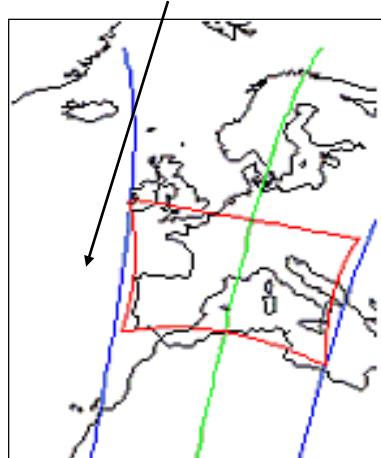
Specular reflectance and polarization

- Modeling in DART -

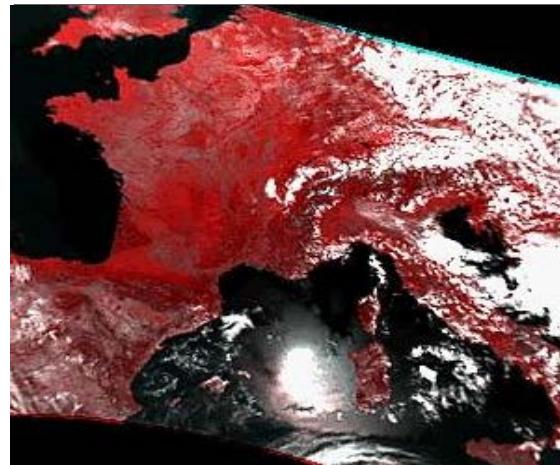
Atmospherically corrected POLDER acquisitions

Sun glint

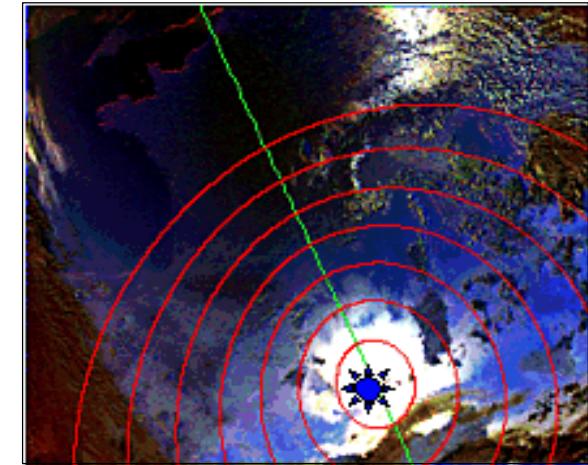
Mediterranean



I: 1st term of Stokes vector

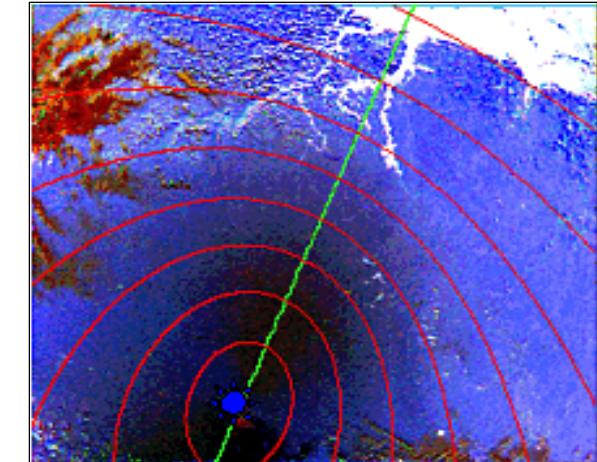
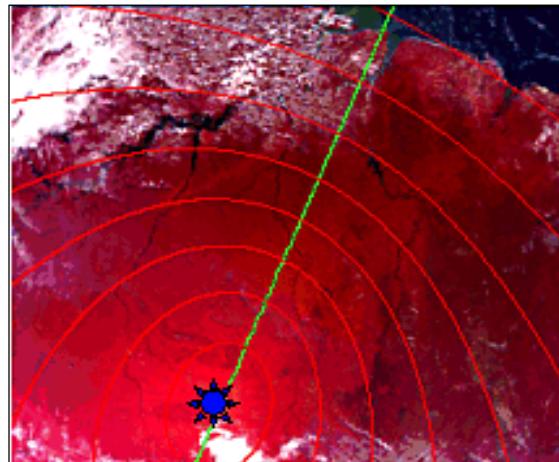
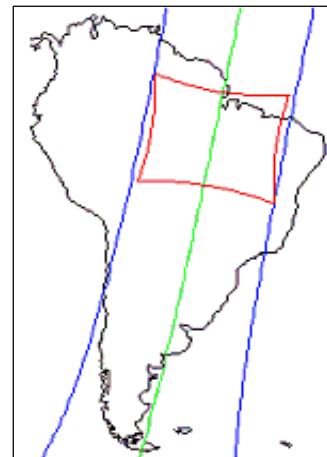


Q: 2nd term of Stokes vector



Hot spot

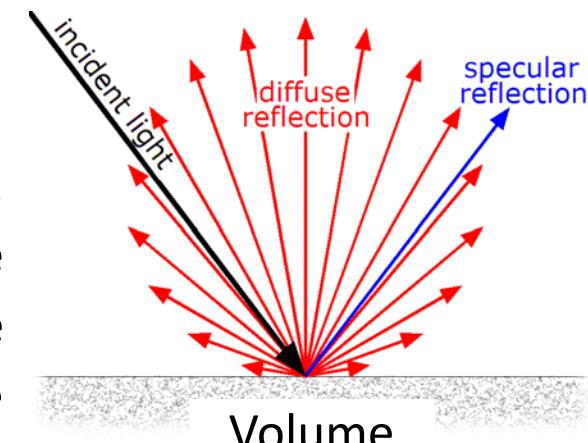
Amazonia



Reflection = Diffuse + Specular reflection

Diffuse: mostly volume multiple scattering beneath the surface

Specular: mirror-like reflection of light from smooth surface. Light from a single incoming direction is reflected into a single outgoing direction (specular). Its polarized component can be useful to discriminate volume (leaf biochemistry) and surface (leaf surface roughness, refractive index,...) information.



Specular reflectance

- Large increase of reflectance in specular configuration \Rightarrow white flecks on leaves
- Altered color perception, if large enough relative to diffuse reflection. It can be 35% of total light reflected by a wheat canopy with $\theta_{\text{view}} = 60^\circ$ in blue region.

Specular configuration



Non specular config.



Schrub



Corn



Notations: specular reflectance on horizontal surface.

incident direction zenith angle θ_{in} = Incidence angle $\theta_{in-local}$

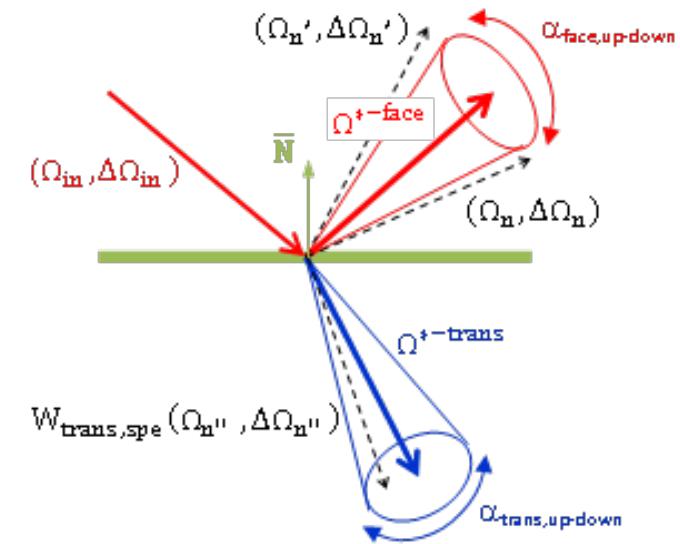
Specular reflection modeling

- **Fresnel law** with weight A
⇒ **Mueller matrices** for considering polarization
- **Radiation propagation**
 - Specular signal distributed in an angular cone".
 - Account of the angular cone of incident radiation

$$\bullet \text{ Stokes vector } S_P = \begin{pmatrix} I_P \\ Q_P \\ U_P \\ V_P \end{pmatrix}_{\text{reference } P}$$

: 4 terms that describe the wave polarization state

- Convenient alternative to the description of incoherent or partially polarized wave with total intensity I, degree of polarization p and shape parameters of the polarization ellipse.



The impact of any "wave - matter" interaction on wave polarization is determined as:

Output Stokes vector = Input Stokes vector x Mueller matrix M of interacting medium

In DART, it is a 3 steps procedure that transforms $S_{P,(\Omega_{in}, \Delta\Omega_{in})}$:

$$S_{P,(\Omega_{out}, \Delta\Omega_{out})} = R_{P,(\Omega_{out}, \Delta\Omega_{out})-\text{scat}} \rightarrow P,(\Omega_{out}, \Delta\Omega_{out}) \cdot M \cdot R_{P,(\Omega_{in}, \Delta\Omega_{in}) \rightarrow P,(\Omega_{in}, \Delta\Omega_{in})-\text{scat}} \cdot S_{P,(\Omega_{in}, \Delta\Omega_{in})}$$

Step 1: $S_{P,(\Omega_{in}, \Delta\Omega_{in})-\text{scat}} = \text{Rotation matrix } R_{P,(\Omega_{in}, \Delta\Omega_{in}) \rightarrow P,(\Omega_{in}, \Delta\Omega_{in})-\text{scat}} \times S_{P,(\Omega_{in}, \Delta\Omega_{in})}$

Step 2: $S_{P,(\Omega_{out}, \Delta\Omega_{out})-\text{scat}} = M \cdot S_{P,(\Omega_{in}, \Delta\Omega_{in})-\text{scat}}$

Step 3: $S_{P,(\Omega_{out}, \Delta\Omega_{out})} = \text{Rotation matrix } R_{P,(\Omega_{out}, \Delta\Omega_{out}) \rightarrow P,(\Omega_{out}, \Delta\Omega_{out})-\text{scat}} \times S_{P,(\Omega_{out}, \Delta\Omega_{out})-\text{scat}}$

General expressions of Mueller matrices

- Volumes: gasses, aerosols, turbid vegetation, and fluids (water, soot,...)

$$M_r = \begin{pmatrix} 1 & \frac{1 - \cos^2 \Psi}{1 + \cos^2 \Psi} & 0 & 0 \\ \frac{1 - \cos^2 \Psi}{1 + \cos^2 \Psi} & 1 & 0 & 0 \\ 0 & 0 & \frac{2 \cos \Psi}{1 + \cos^2 \Psi} & 0 \\ 0 & 0 & 0 & \frac{2 \cos \Psi}{1 + \cos^2 \Psi} \end{pmatrix}$$

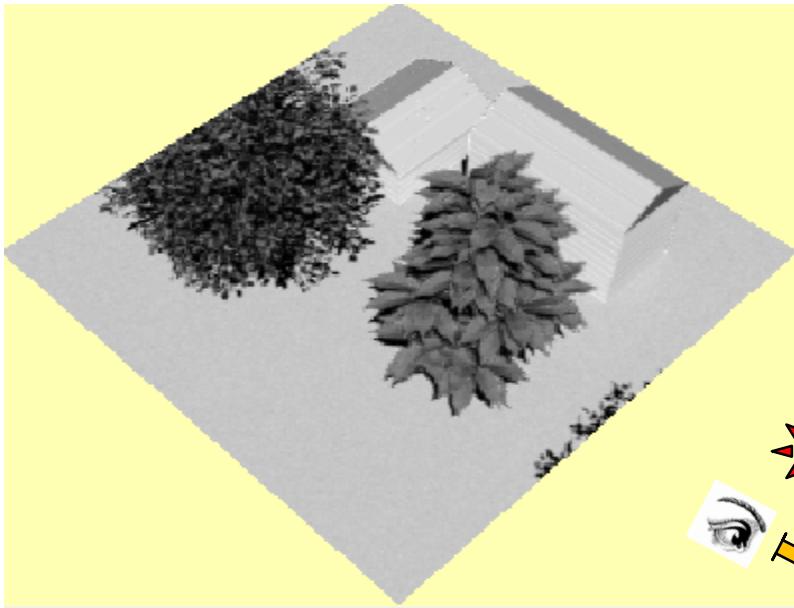
Rayleigh scattering

$$M_r = \begin{pmatrix} 1 & M_{12}(\Psi) & 0 & 0 \\ M_{12}(\Psi) & 1 & 0 & 0 \\ 0 & 0 & M_{33}(\Psi) & 0 \\ 0 & 0 & 0 & M_{33}(\Psi) \end{pmatrix}$$

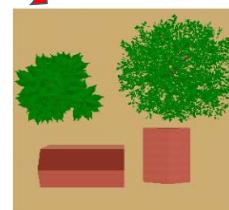
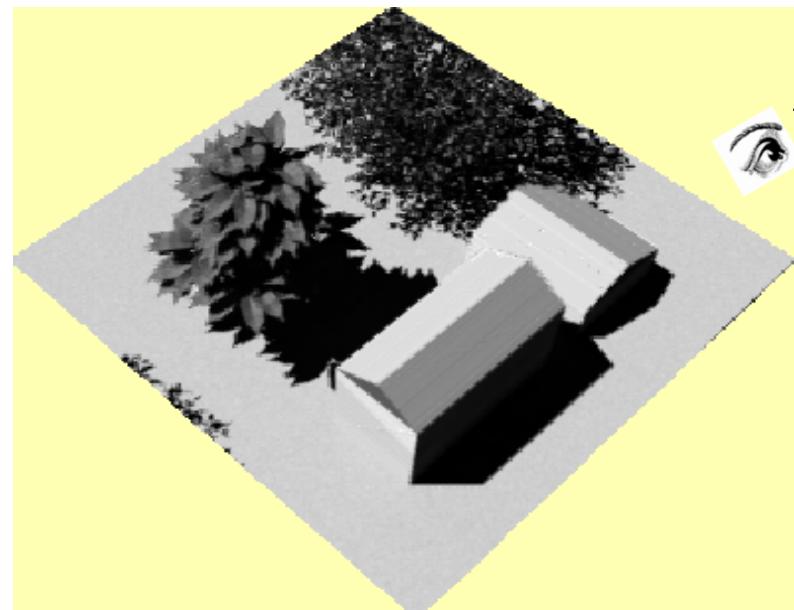
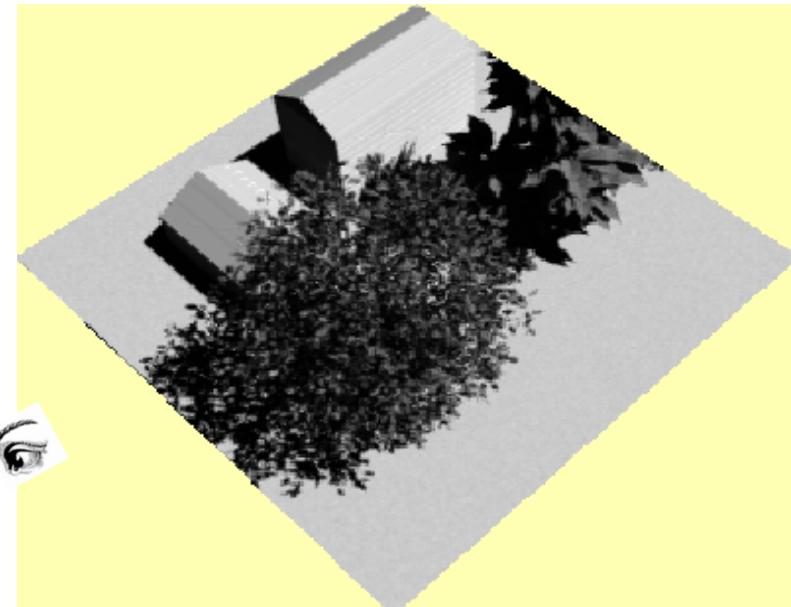
Mie scattering

- Surfaces with any isotropic and anisotropic reflectance property (special case for water)

$$M_r = \begin{pmatrix} 1 & \frac{r_{x_p, (\Omega_{in}, \Delta\Omega_{in}) - scat}^2 - r_{y_p, (\Omega_{in}, \Delta\Omega_{in}) - scat}^2}{r_{x_p, (\Omega_{in}, \Delta\Omega_{in}) - scat}^2 + r_{y_p, (\Omega_{in}, \Delta\Omega_{in}) - scat}^2} & 0 & 0 \\ \frac{r_{x_p, (\Omega_{in}, \Delta\Omega_{in}) - scat}^2 - r_{y_p, (\Omega_{in}, \Delta\Omega_{in}) - scat}^2}{r_{x_p, (\Omega_{in}, \Delta\Omega_{in}) - scat}^2 + r_{y_p, (\Omega_{in}, \Delta\Omega_{in}) - scat}^2} & 1 & 0 & 0 \\ 0 & 0 & \frac{2 \cdot r_{x_p, (\Omega_{in}, \Delta\Omega_{in}) - scat}^2 \cdot r_{y_p, (\Omega_{in}, \Delta\Omega_{in}) - scat}^2}{r_{x_p, (\Omega_{in}, \Delta\Omega_{in}) - scat}^2 + r_{y_p, (\Omega_{in}, \Delta\Omega_{in}) - scat}^2} & 0 \\ 0 & 0 & 0 & \frac{2 \cdot r_x^2 \cdot r_{y_p, (\Omega_{in}, \Delta\Omega_{in}) - scat}^2}{r_{x_p, (\Omega_{in}, \Delta\Omega_{in}) - scat}^2 + r_{y_p, (\Omega_{in}, \Delta\Omega_{in}) - scat}^2} \end{pmatrix}$$



Total
radiance



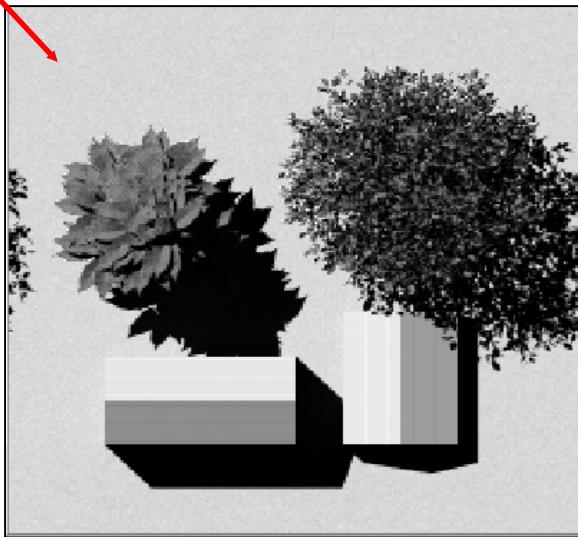
Airborne specular configuration:
⇒ specular zone is local

Specular reflection and polarization: Satellite sensor (FOV=0), no atmosphere, 400nm



Radiance

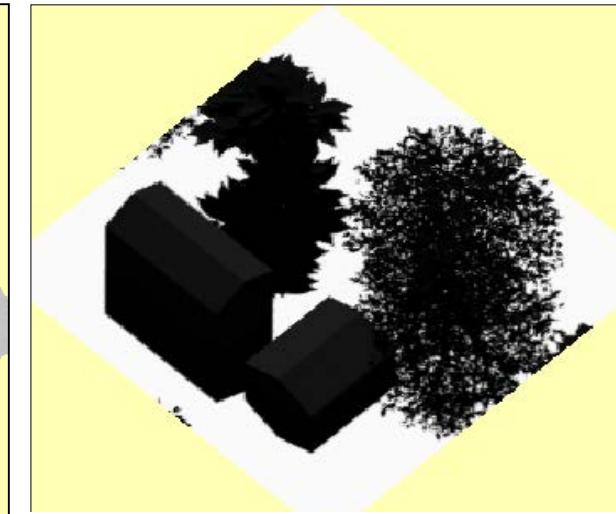
Nadir



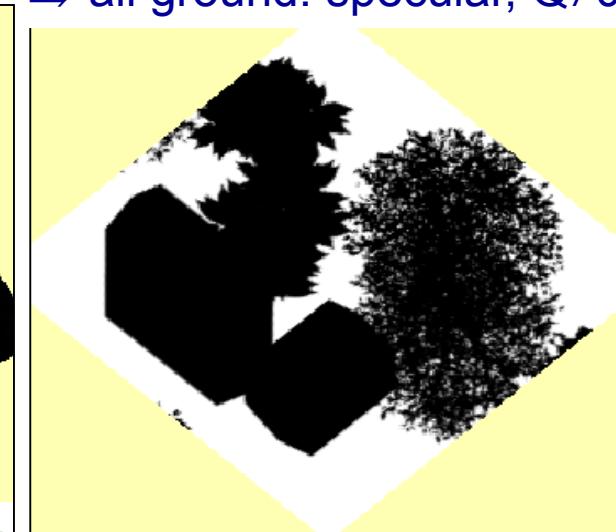
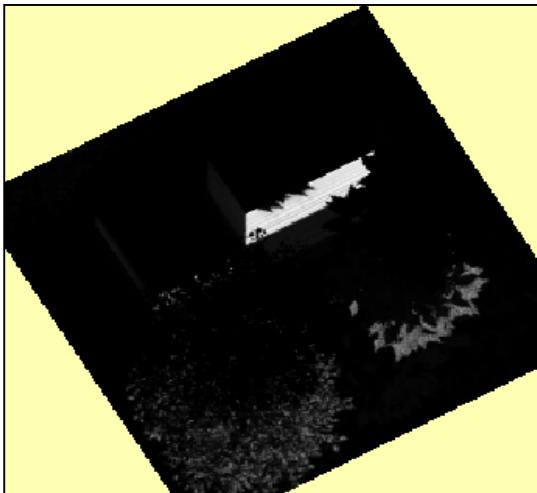
Oblique



Specular configuration



Polarized
radiance
(Q: 2nd term
of Stokes
vector)



Satellite sensor (FOV=0):
⇒ all ground: specular, $Q \neq 0$

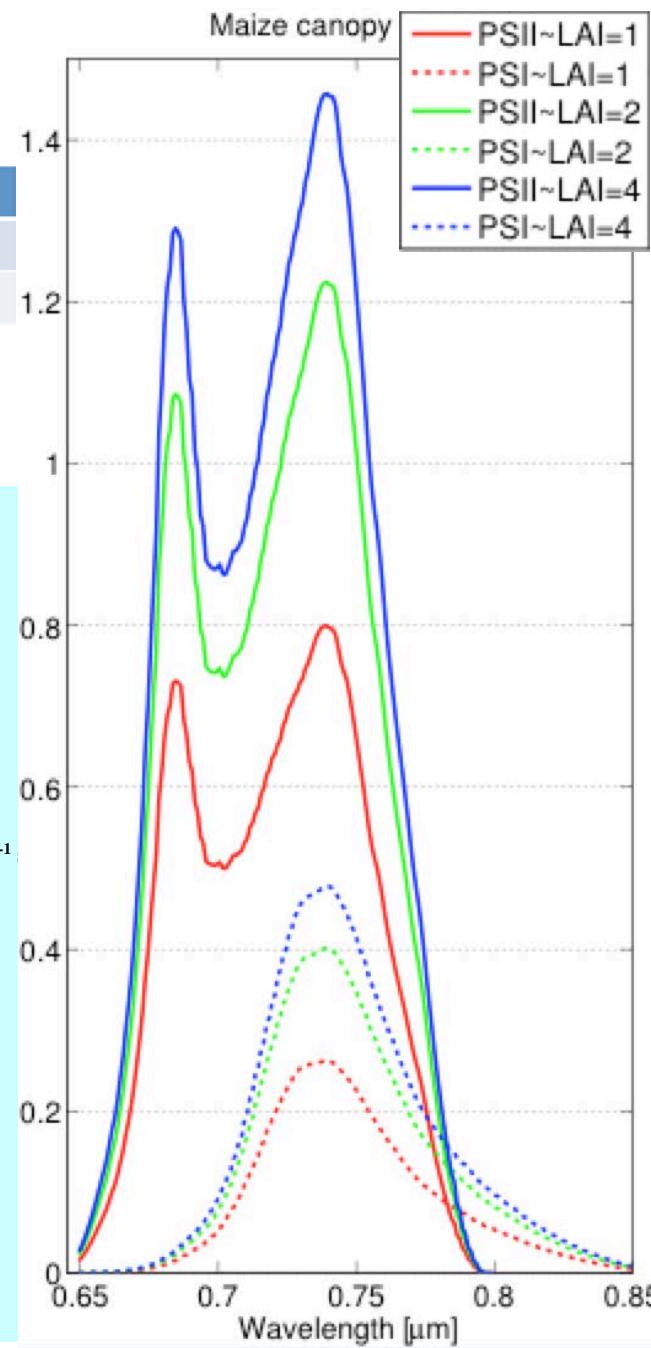
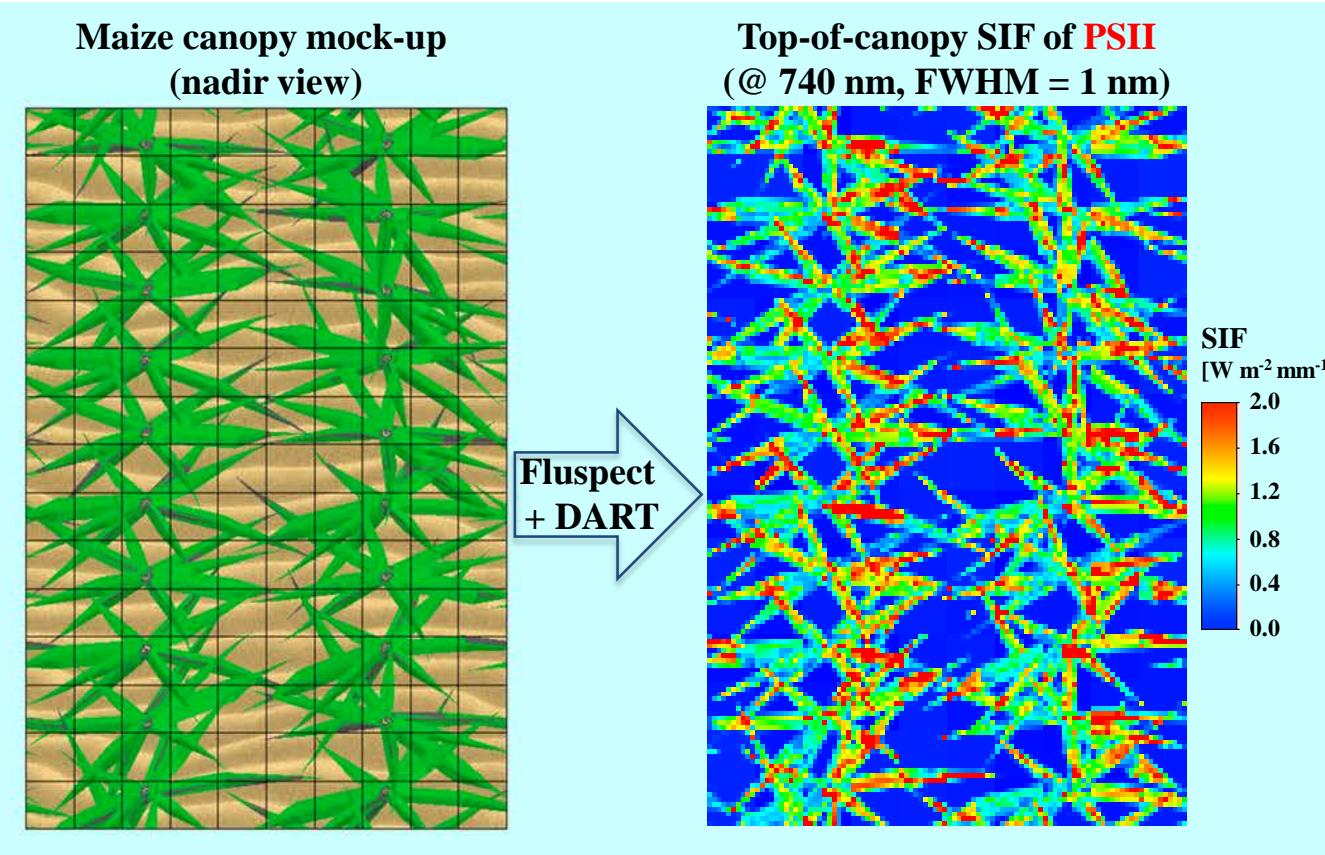
Non specular configuration: $Q \neq 0$ due to multiple scattering

DART chlorophyll fluorescence: maize canopy

FLUSPECT inputs:

Fluspect inputs	Cab	Car	Cw	Cdm	N	PSI fqe	PSII fqe
Sun adapted leaves	50	15	0.009	0.0021	1.5	0.002	0.016
Shade adapted leaves	75	20	0.012	0.0028	2.0	0.002	0.022

DART upscaling:



Objective: *Time series of Q_{short}^* maps* \Rightarrow Computation of $LUT_{A_{\Delta\lambda}}$

Sampling the space of sun directions for the period of interest (e.g., 1 year).
DART simulations will be run for these directions for creating the $LUT_{A_{\Delta\lambda}}$.

