

**KOLMEMÕÕTMELINE KIIRGUSLEVI VÕRRAND  
TAIMKATTE KAUGSEIRE TEOREETLISE  
ALUSENA**

***3D RADIATIVE TRANSFER EQUATION AS THEORETICAL BASIS FOR  
VEGETATION REMOTE SENSING***

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TARTU OBSERVATOORIUM , TÕRAVERE, EESTIMAA  
AUGUST-25-2017**

# 3D RADIATIVE TRANSFER IN VEGETATION CANOPY

$$\Omega \nabla I_\lambda + \sigma(r, \Omega) I_\lambda(r, \Omega) = \int_{4\pi} \sigma_{s,\lambda}(r, \Omega' \rightarrow \Omega) I_\lambda(r, \Omega') d\Omega'$$

$$\sigma(r, \Omega) = u_L(r) G(r, \Omega)$$

$$\frac{1}{2\pi} \int_{2\pi^+} g_L(r, \Omega_L) |\Omega \cdot \Omega_L| d\Omega_L = G(r, \Omega)$$

$$\sigma_s(r, \Omega' \rightarrow \Omega) = u_L(r) \frac{1}{\pi} \Gamma_\lambda(r, \Omega' \rightarrow \Omega)$$

$$\frac{1}{2\pi} \int_{2\pi^+} g_L(r, \Omega_L) |\Omega \cdot \Omega_L| |\Omega' \cdot \Omega_L| \gamma_{L,\lambda}(r, \Omega_L) d\Omega_L = \frac{1}{\pi} \Gamma_\lambda$$

## Ross' team revolutionary results

- validity of RTE to describe photon-canopy interaction had been clearly demonstrated
- parameterization of its coefficients in terms of foliage area density,  $u_L(r)$ , leaf normal distribution function,  $g_L(r, \Omega_L)$ , and leaf scattering phase function,  $\gamma_L(r, \Omega_L)$

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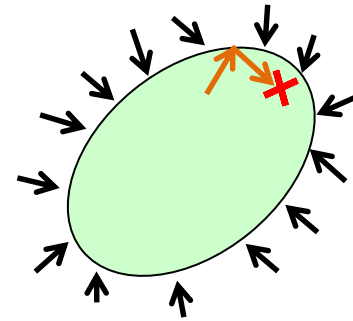
Forward Problem: *given coefficients, find solution*

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Discuss methodologies and techniques developed in other scientific areas, motivated by other sciences and applications that can be applied in optical remote sensing of vegetation

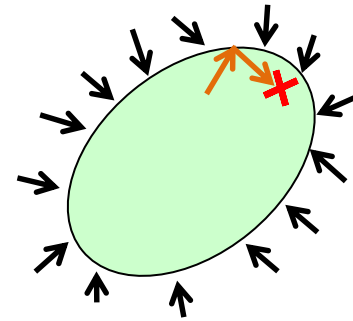
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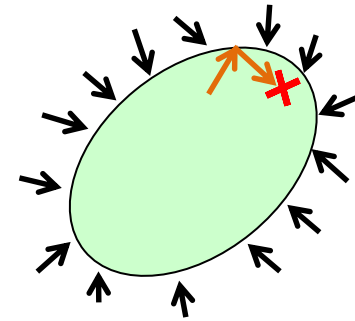
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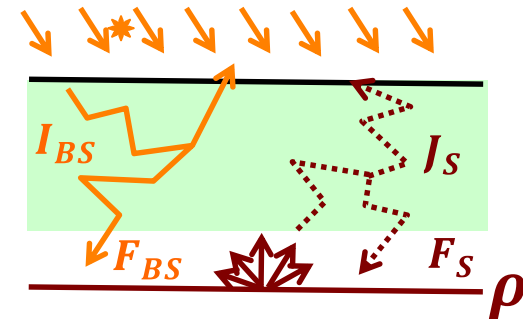


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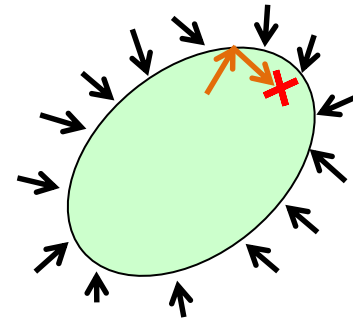
$$I(\mathbf{z}, \Omega) = I_{BS}(\mathbf{z}, \Omega) + \frac{\rho F_{BS}}{1 - \rho F_S} J_S(\mathbf{z}, \Omega)$$



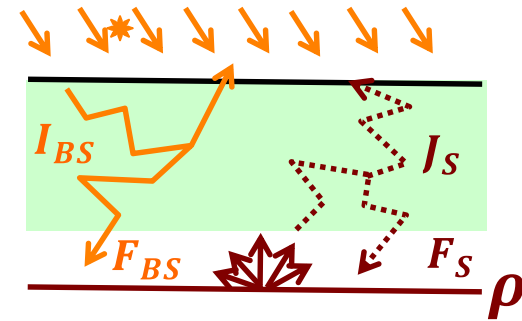


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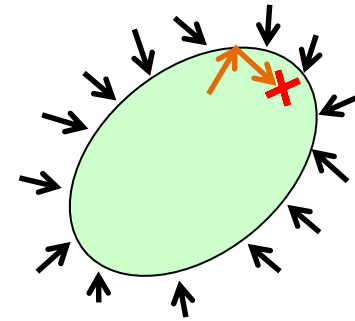
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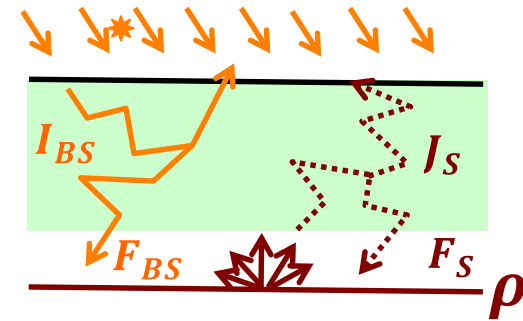
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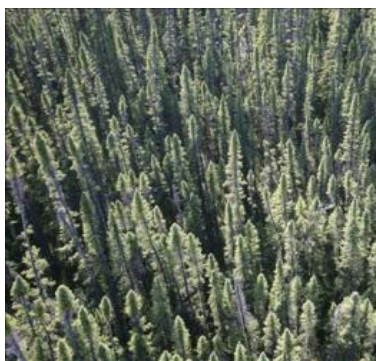
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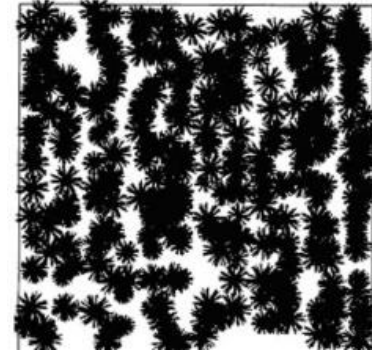
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In developing inverse techniques, special emphasis should be given to the standard problem

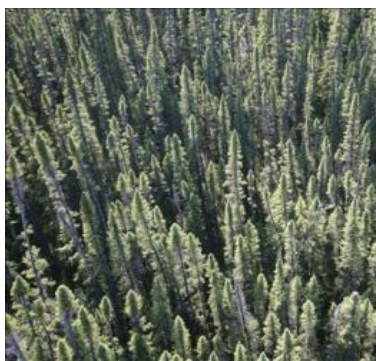
# 3D EFFECTS IN REMOTE SENSING DATA



$$\Omega \nabla I_\lambda + \sigma(r, \Omega) I_\lambda(r, \Omega) = \int_{4\pi} \sigma_{s,\lambda}(r, \Omega' \rightarrow \Omega) I_\lambda(r, \Omega') d\Omega'$$

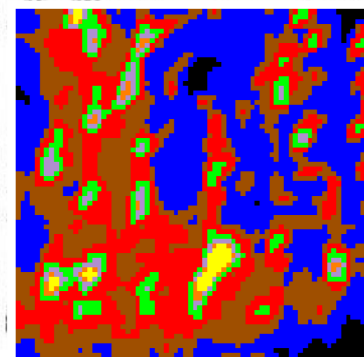


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$I_\lambda(r_{\text{top}}, \Omega)$



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$I_\lambda(\cancel{r_{\text{top}}}, \Omega)$

mean intensity emanating from heterogeneous vegetation pixels

$$\bar{I}_\lambda(\Omega) = \frac{1}{S} \int_S I_\lambda(r_{\text{top}}, \Omega) dr_{\text{top}}$$

Mean Intensity

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*Vainikko (1973): Mean intensity satisfies a simple system of equations*

*Titov (1990): Physical processes in clouds as stochastic process*

$$\begin{aligned} |\mu| \bar{I}(z, \Omega) + \int_a^b \sigma p(\xi) U(\xi, \Omega) d\xi \\ = \int_a^b p(\xi) S(\xi, \Omega) d\xi + |\mu| \bar{I}(0, \Omega) \\ |\mu| U(z, \Omega) + \int_a^b \sigma K(z, \xi, \Omega) U(\xi, \Omega) d\xi \\ = \int_a^b K(z, \xi, \Omega) S(\xi, \Omega) d\xi + |\mu| U(0, \Omega) \end{aligned}$$

Stochastic RTE

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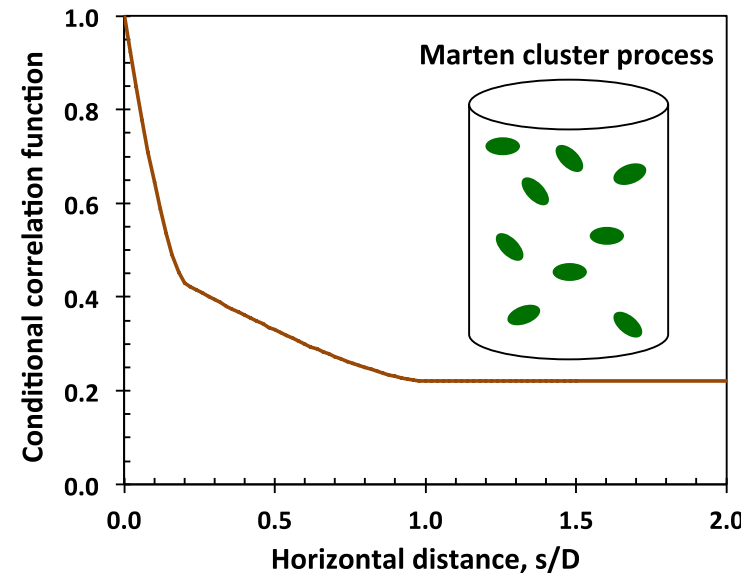
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Parameterization of 3D clumped canopy structure: Conditional Pair Correlation Function

$$K(z, \xi, \Omega)$$



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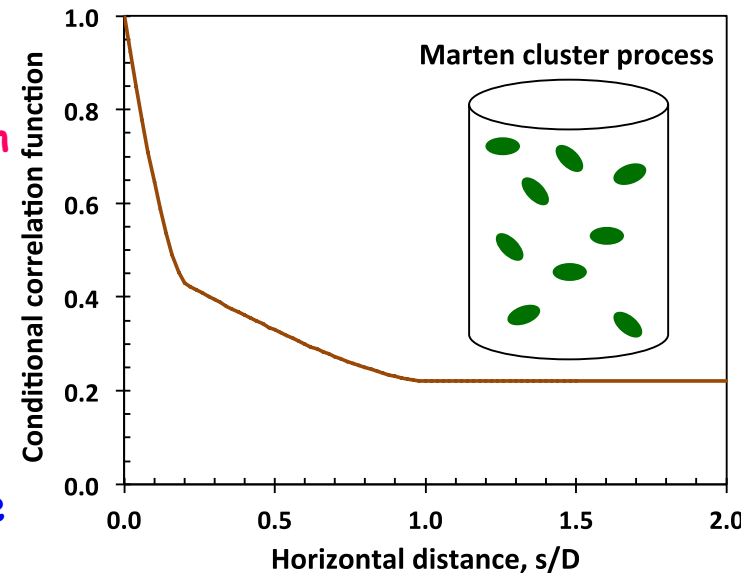
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SRTE can reproduce all 3D effects reported in literature



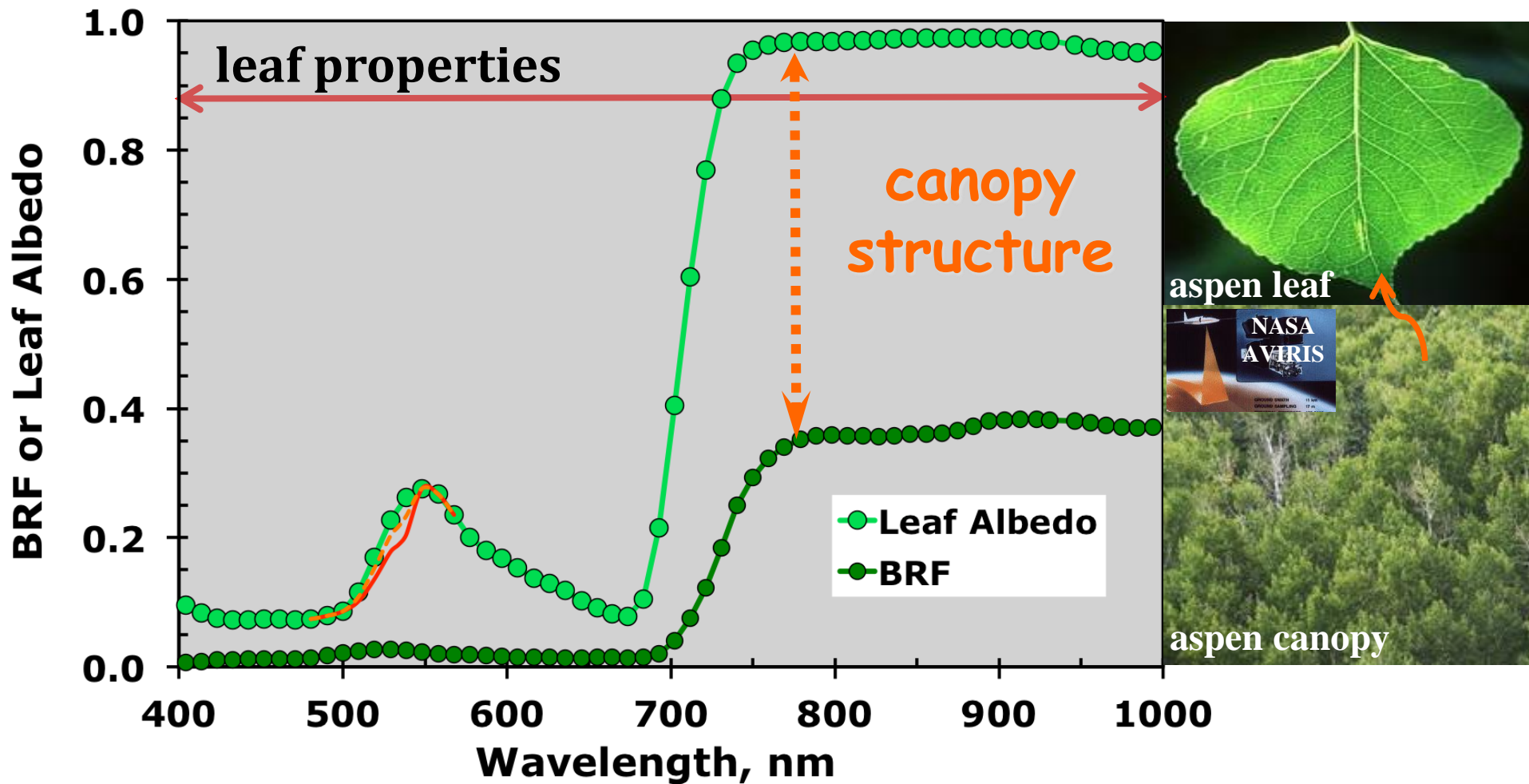
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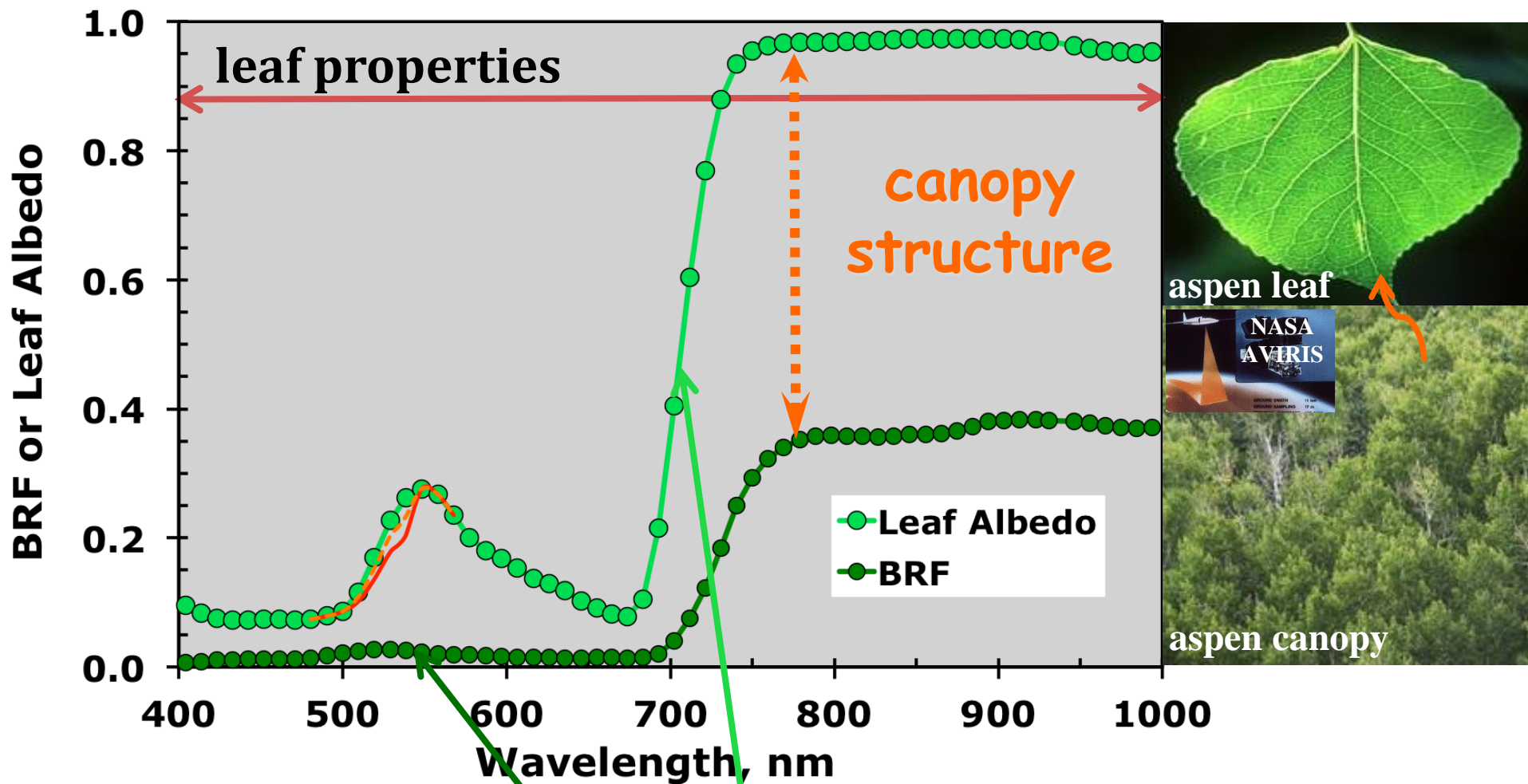
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# LEAF AND CANOPY SPECTRAL REFLECTANCE



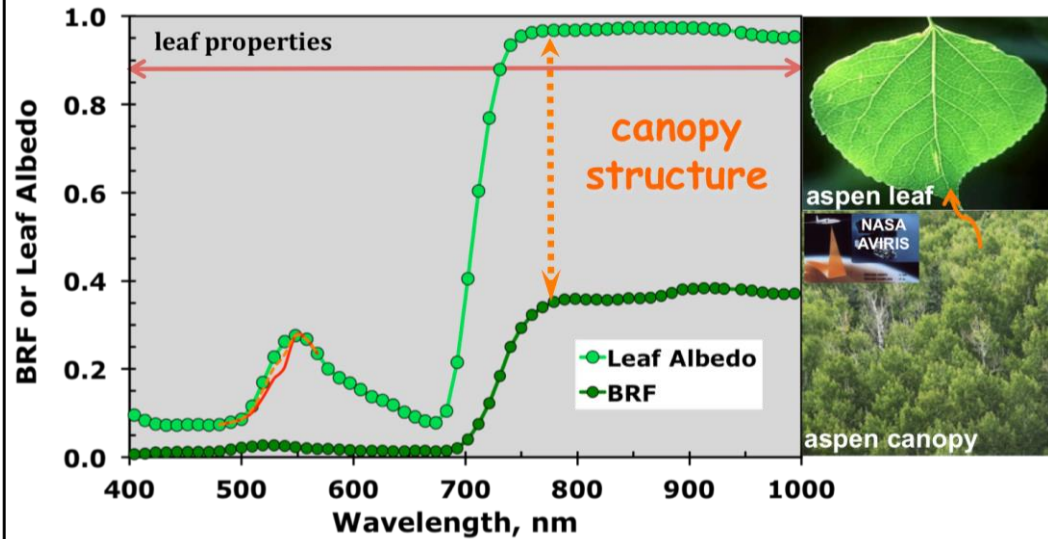
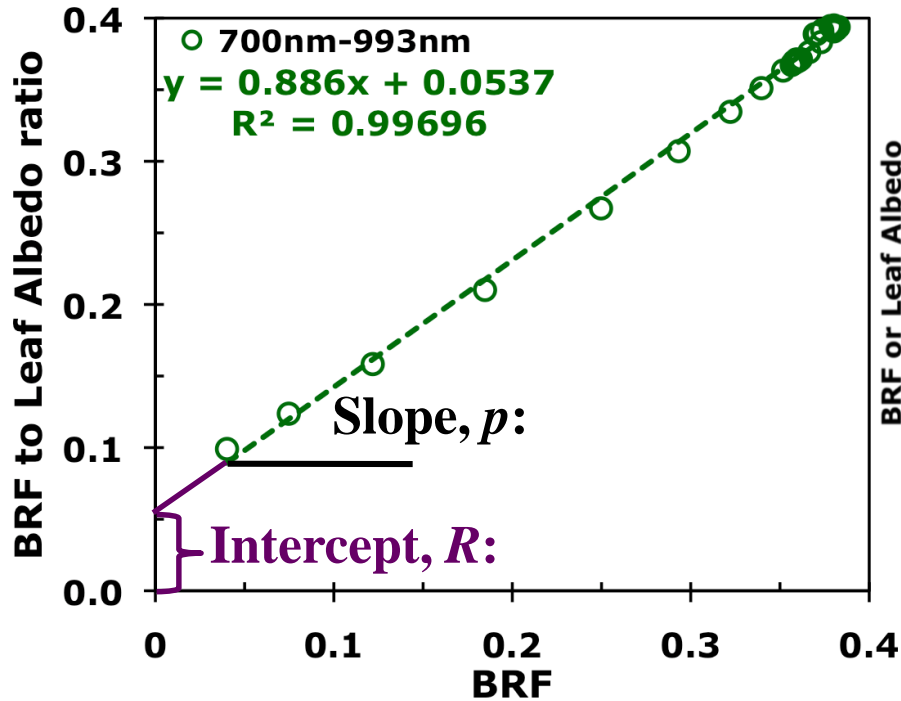
Leaf scattering and canopy reflectance are similar in shape, differ in magnitude

# LEAF AND CANOPY SPECTRAL REFLECTANCE



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# SPECTRAL INVARIANTS IN VEGETATION CANOPIES



➤ BRF to Leaf Albedo Ratio is linearly related to BRF

$$\frac{BRF_{\lambda}}{\omega_{\lambda}} = R(\Omega_{sensor}; \Omega_{sun}) + pBRF_{\lambda}$$

escape probability

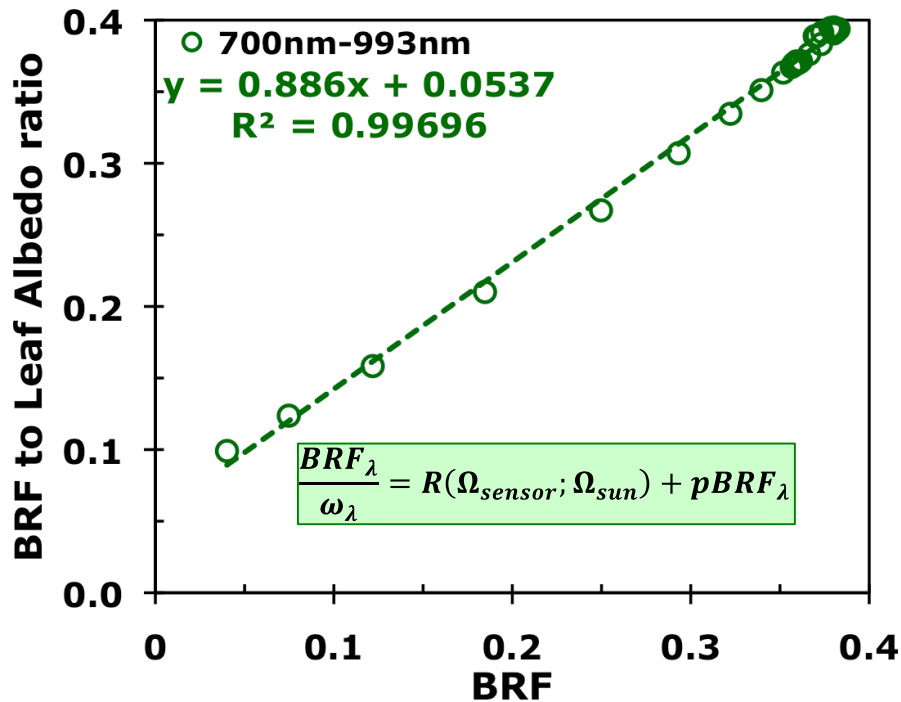


resollision probability



Determined by distribution of photon free path

# DECOMPOSITION OF THE STANDARD PROBLEM



Solution of the *standard RTE*

$$BRF_{\lambda} = DASF(\Omega_{sensor}; \Omega_{sun})W_{\lambda}$$

**D**irectional **A**rea **S**cattering **F**actor:  
canopy BRF if no absorption

$$DASF = \frac{\rho(\Omega_{sensor}; \Omega_{sun})i_0(\Omega_{sun})}{1 - p}$$

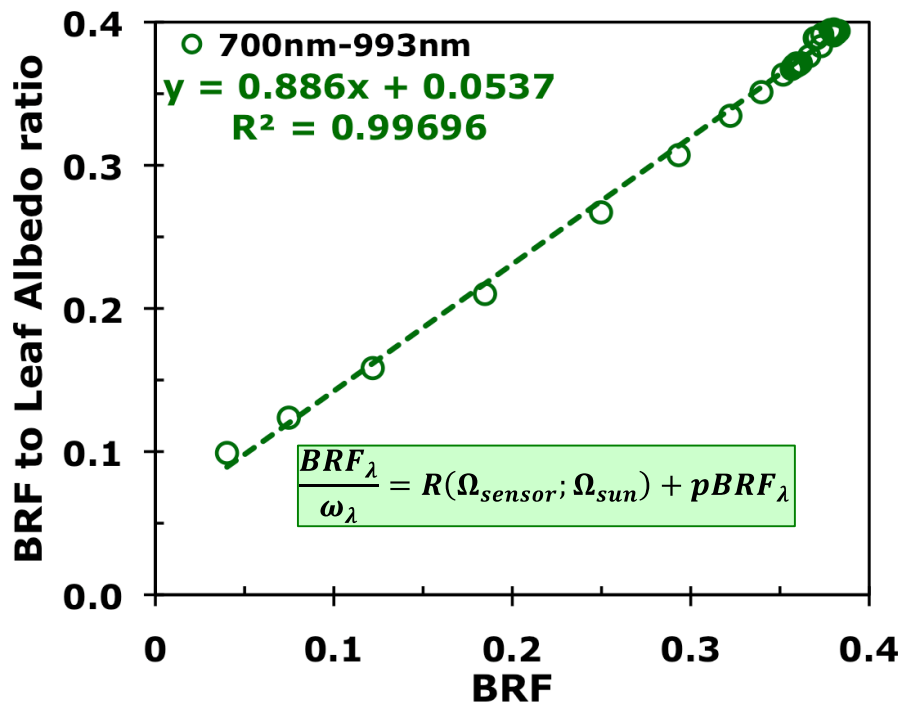
Canopy scattering coefficient

$$W_{\lambda} = \omega_{\lambda} \frac{1 - p}{1 - p\omega_{\lambda}}$$

- DASF determines BRF angular shape while the scattering coefficient its magnitude
- Solution of the standard RTE is expressed in terms measurable variables



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# TRUE AND EFFECTIVE LEAF AREA

$$\sigma(\Omega) = G(\Omega) u_L f$$

$$BRF_\lambda = DASF \cdot W_\lambda$$

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$$\sigma(\Omega) = G(\Omega) \underbrace{u_L}_{\text{effective leaf area}} \underbrace{f}_{\text{true leaf area}}$$

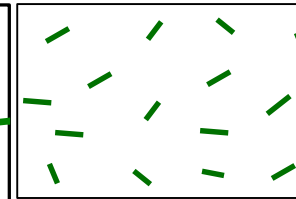
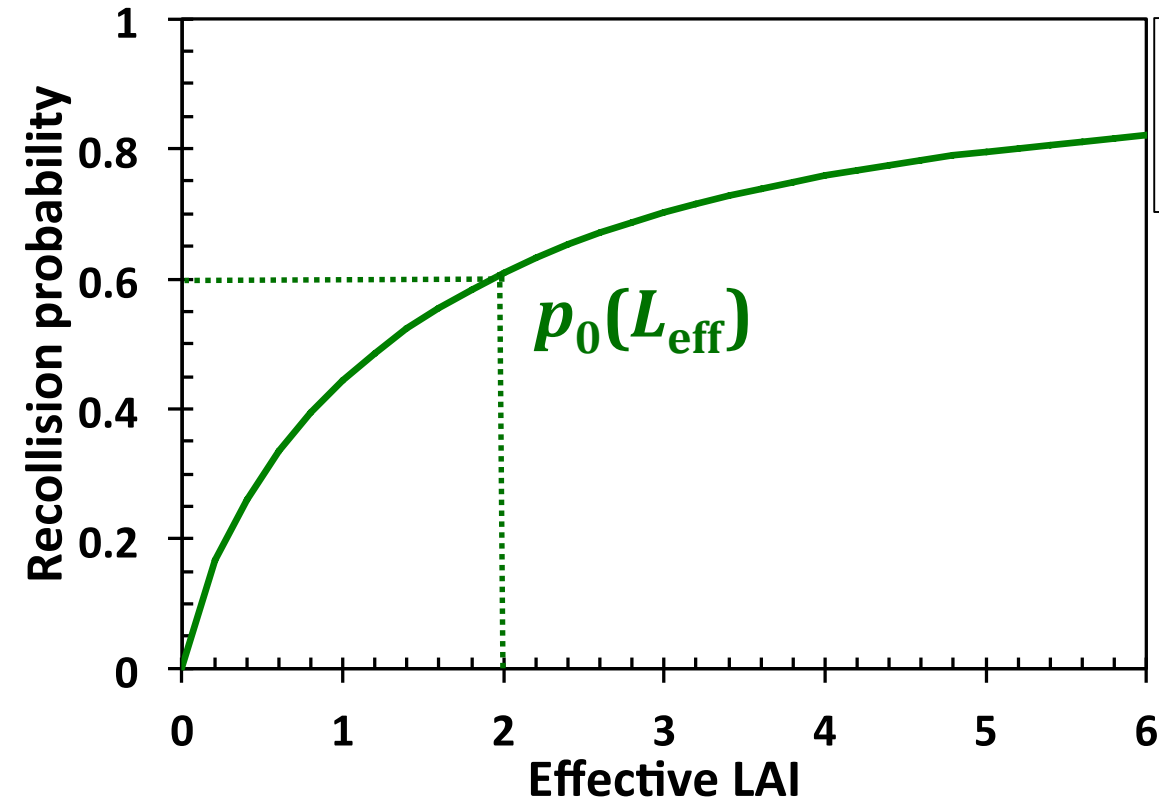
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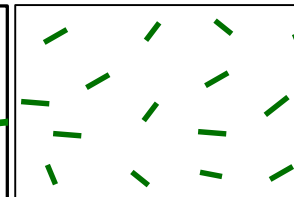
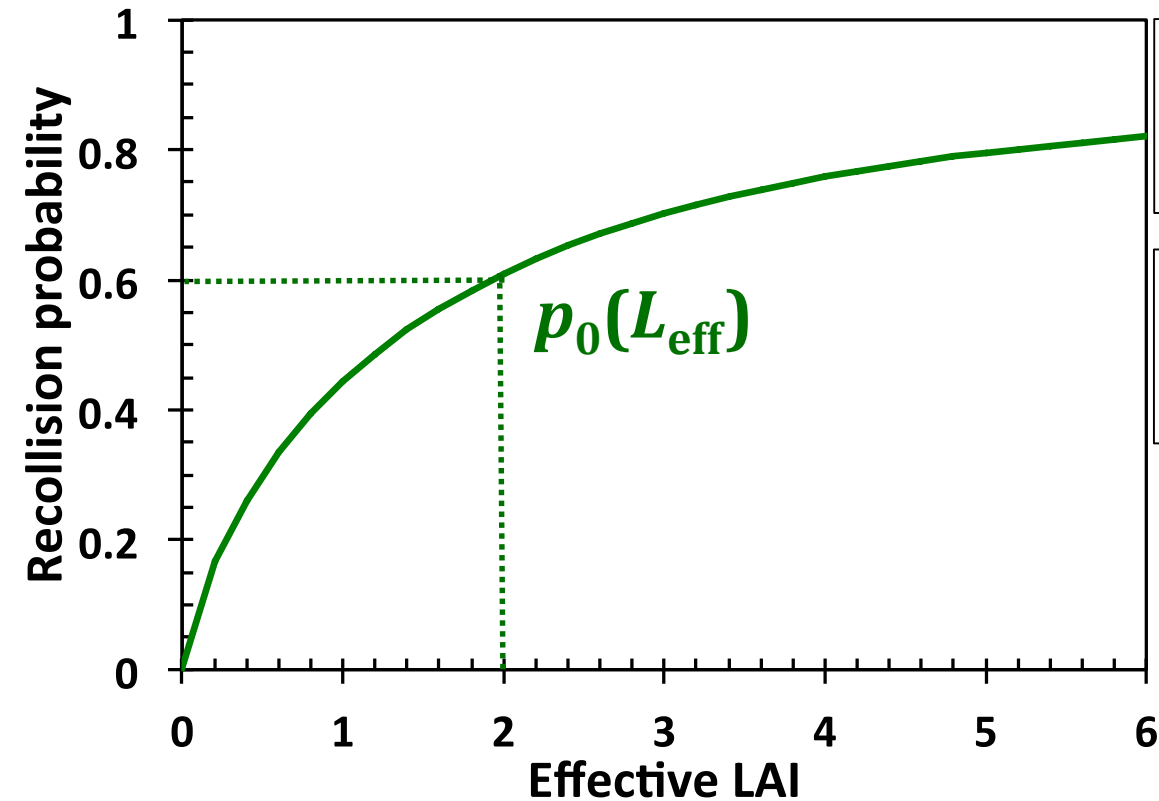


*clumping: no*  
 $L_{\text{eff}} = L_{\text{true}}$

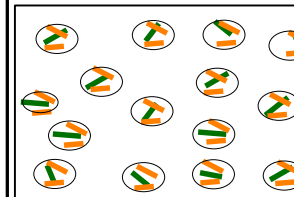
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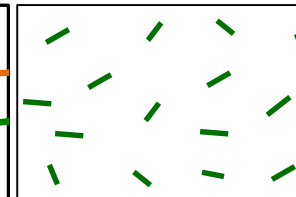
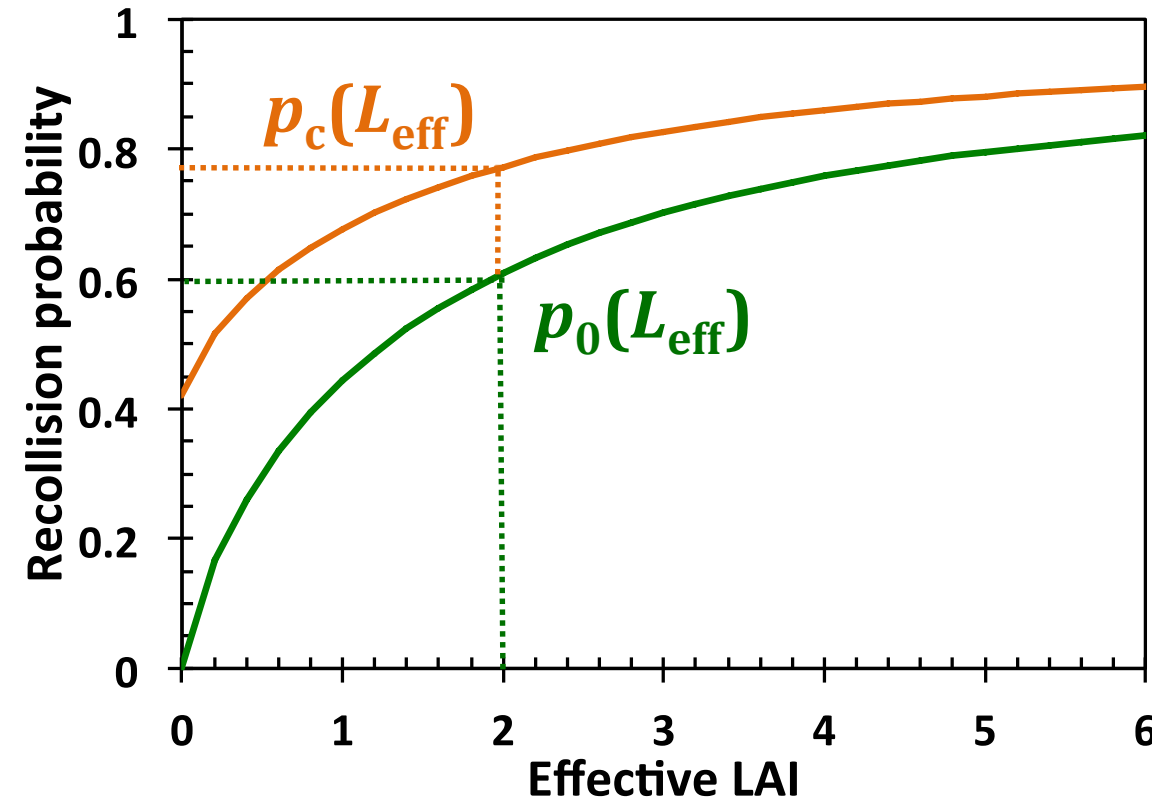


$L_{\text{eff}} < L_{\text{true}}$   
 increase  
 same  
 increase  
 decrease

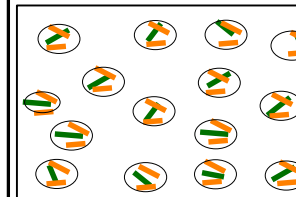
# TRUE AND EFFECTIVE LEAF AREA

$$\sigma(\Omega) = G(\Omega) \underbrace{u_L}_{\text{effective leaf area}} \underbrace{f}_{\text{true leaf area}}$$

$$BRF_{\lambda} = DASF \cdot W_{\lambda}$$



clumping: no  
 $L_{\text{eff}} = L_{\text{true}}$

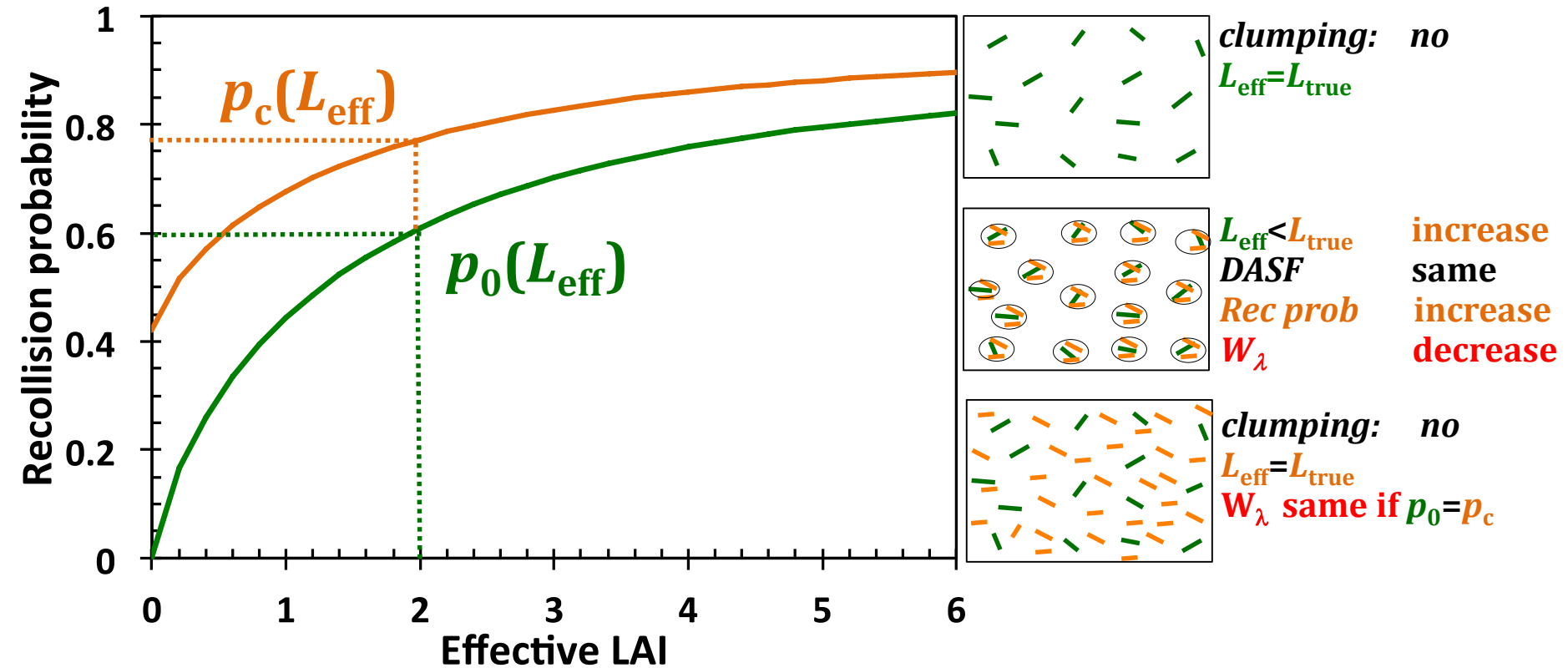


$L_{\text{eff}} < L_{\text{true}}$   
 increase  
 same  
 increase  
 decrease

# TRUE AND EFFECTIVE LEAF AREA

$$\sigma(\Omega) = G(\Omega) \underbrace{u_L}_{\text{effective leaf area}} \underbrace{f}_{\text{true leaf area}}$$

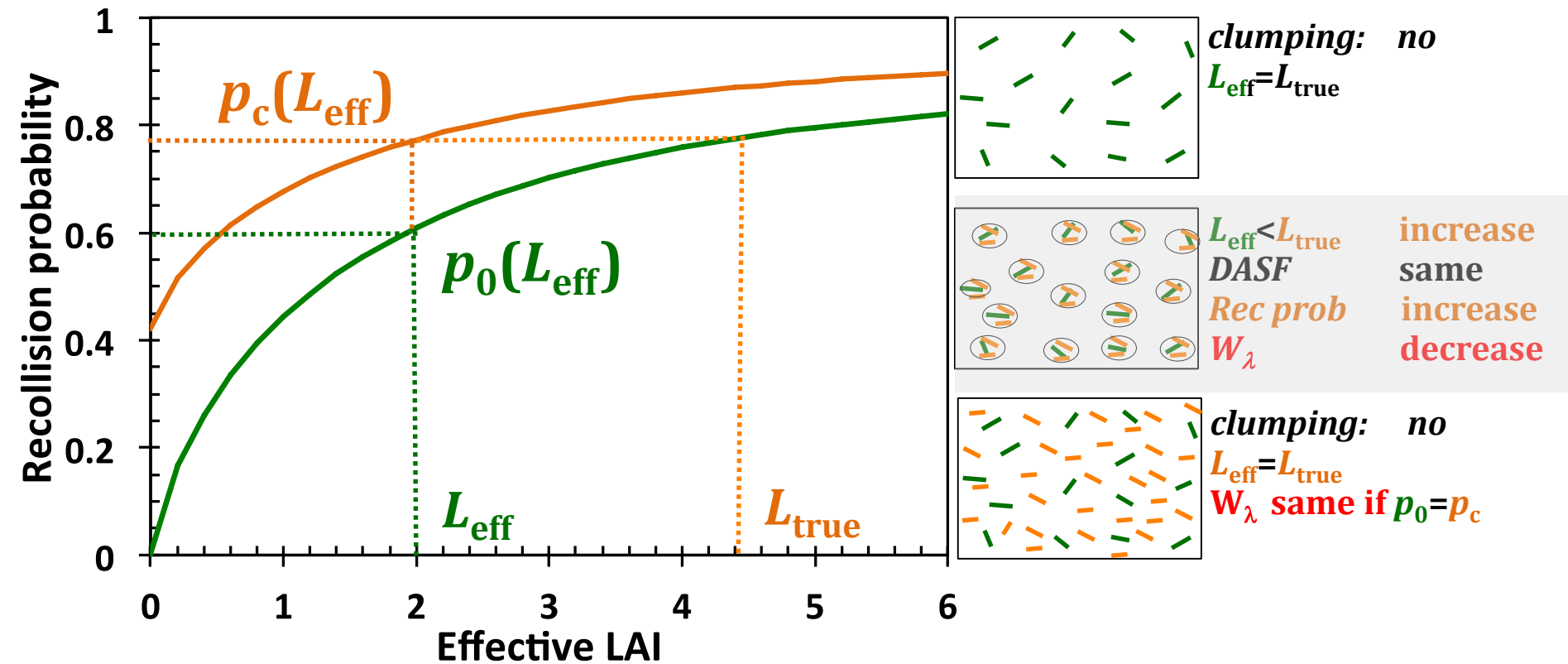
$$BRF_{\lambda} = DASF \cdot W_{\lambda}$$



# TRUE AND EFFECTIVE LEAF AREA

$$\sigma(\Omega) = G(\Omega) \underbrace{u_L}_{\text{effective leaf area}} \underbrace{f}_{\text{true leaf area}}$$

$$BRF_{\lambda} = DASF \cdot W_{\lambda}$$



$$p_0(L_{true}) = p_{sh} + (1 - p_{sh})p_0(L_{eff})$$

$p_c$ : Smolander&Stenberg, 2005

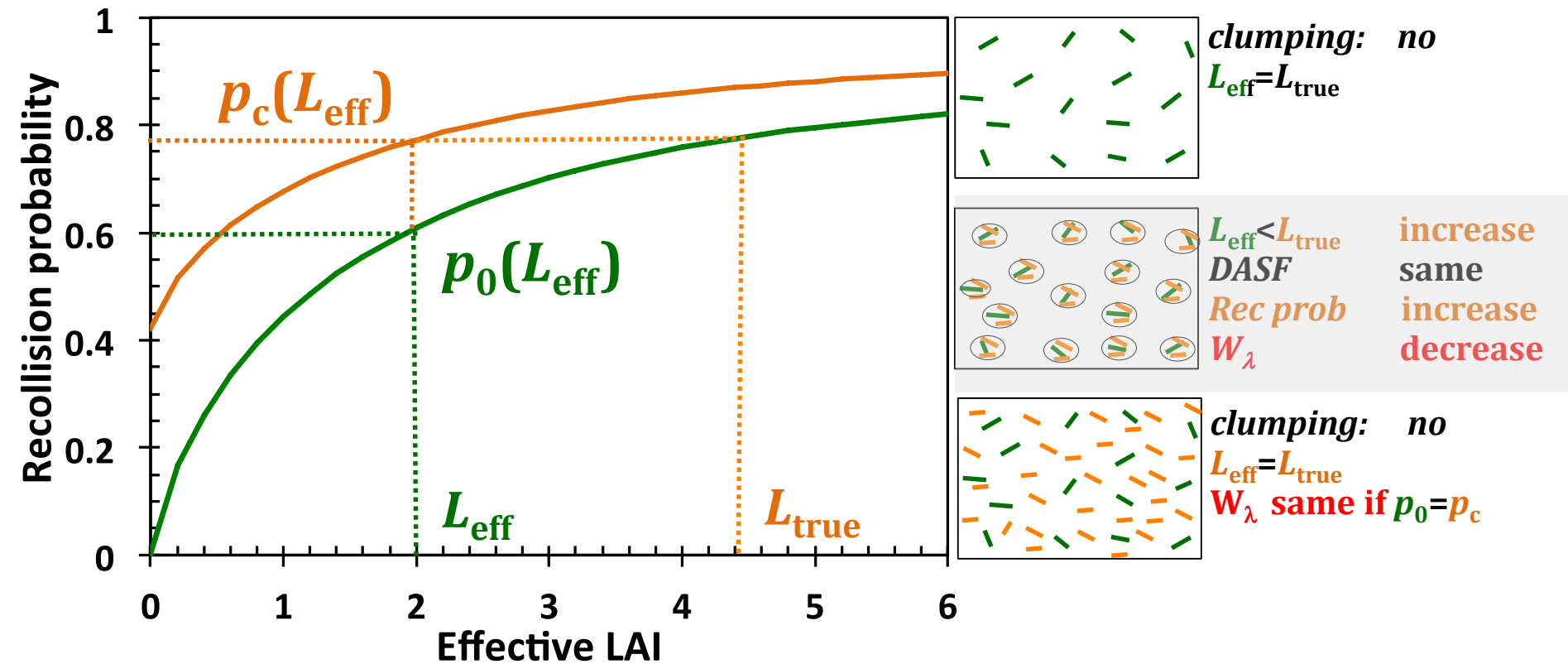
*interpretation*

$$A_{\lambda} = 1 - W_{\lambda} = (1 - \omega_{\lambda}) \frac{1}{1 - p_c \omega_{\lambda}}$$

# TRUE AND EFFECTIVE LEAF AREA

$$\sigma(\Omega) = G(\Omega) \underbrace{u_L}_{\text{effective leaf area}} \underbrace{f}_{\text{true leaf area}}$$

$$BRF_{\lambda} = DASF \cdot W_{\lambda}$$

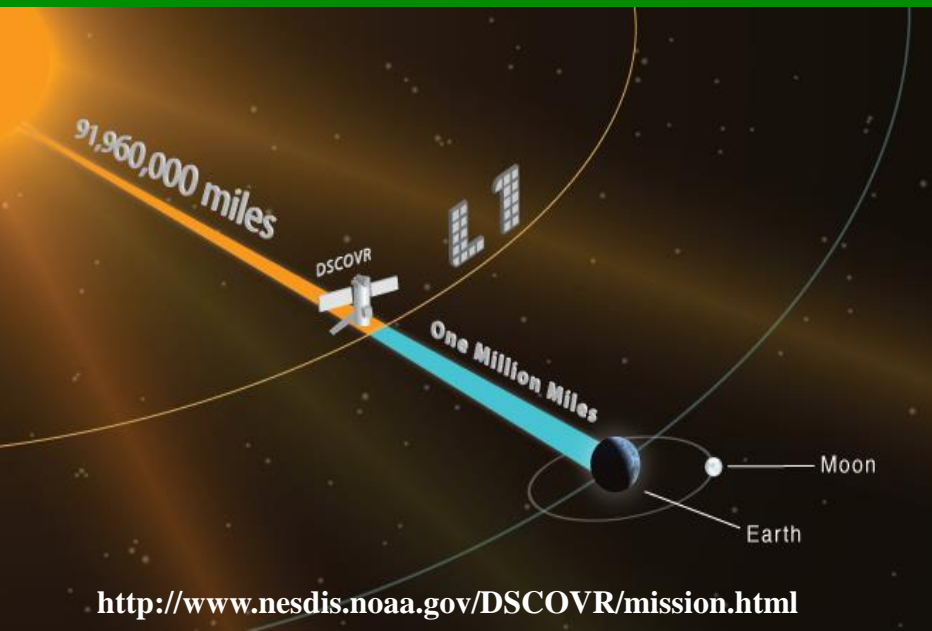


$$p_0(L_{true}) = p_{sh} + (1 - p_{sh})p_0(L_{eff})$$

$p_c$ : Smolander&Stenberg, 2005

$$W_{\lambda} = \omega_{\lambda} \frac{1 - p_c}{1 - p_c \omega_{\lambda}} = \omega_{c,\lambda} \frac{1 - p_0}{1 - p_0 \omega_{c,\lambda}}$$

# DEEP SPACE CLIMATE OBSERVATORY (DSCOVR)



- ❑ DSCOVR mission was launched on February 11, 2015 to the Sun-Earth Lagrangian L1 point
  - to monitor solar weather to provide early warning of solar storms affecting the Earth
  - hosts NASA Earth-Observing Instrument: the Earth Polychromatic Imaging Camera (EPIC)
- ❑ EPIC measures Earth's reflected radiation at 10 UV to NIR spectral bands
- ❑ Temporal resolution: 65 to 110 min
- ❑ Pixel size near center: 10x10 km
- ❑ L1B EPIC reflectance data ( $\pi I/F$ ) are available from Langley ASDC

<http://www.nesdis.noaa.gov/DSCOVR/mission.html>

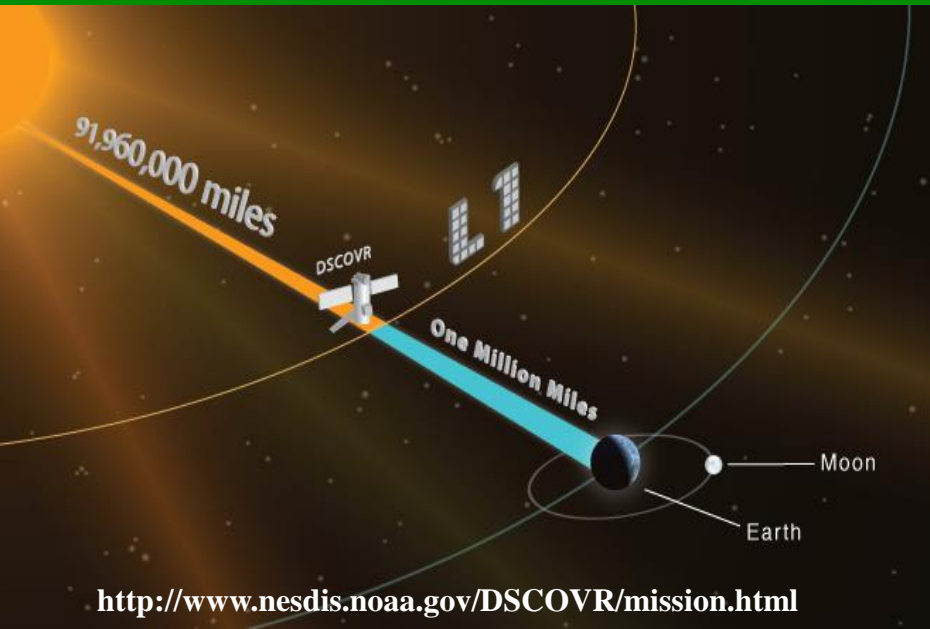
August-23-2016



<https://epic.gsfc.nasa.gov/epic>

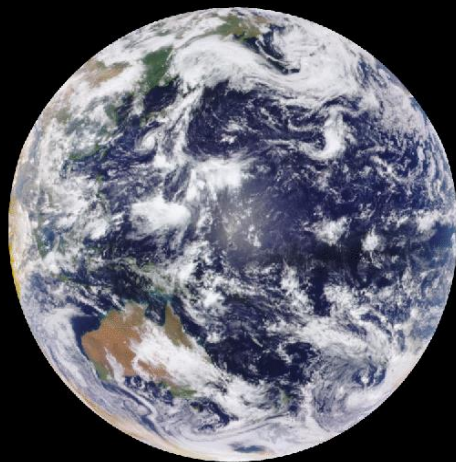
$\lambda$ (nm)	FWHM (nm)	Nominal Product
$317.5 \pm 0.1$	$1 \pm 0.2$	Ozone
$325 \pm 0.1$	$2 \pm 0.2$	Ozone
$340 \pm 0.3$	$3 \pm 0.6$	Ozone, Aerosols, Clouds
$388 \pm 0.3$	$3 \pm 0.6$	Aerosols, Clouds
$443 \pm 1$	$3 \pm 0.6$	Aerosols
$551 \pm 1$	$3 \pm 0.6$	Aerosols, Vegetation
$680 \pm 0.2$	$2 \pm 0.4$	Aerosol, Vegetation, Clouds, O <sub>2</sub> B-Band Reference
$687.75 \pm 0.2$	$0.8 \pm 0.2$	O <sub>2</sub> B-Band Cloud Height
$764.0 \pm 0.2$	$1 \pm 0.2$	O <sub>2</sub> A-Band Cloud Height, Aerosol Height
$779.5 \pm 0.3$	$2 \pm 0.4$	O <sub>2</sub> A-Band Reference, Vegetation

# DEEP SPACE CLIMATE OBSERVATORY (DSCOVR)

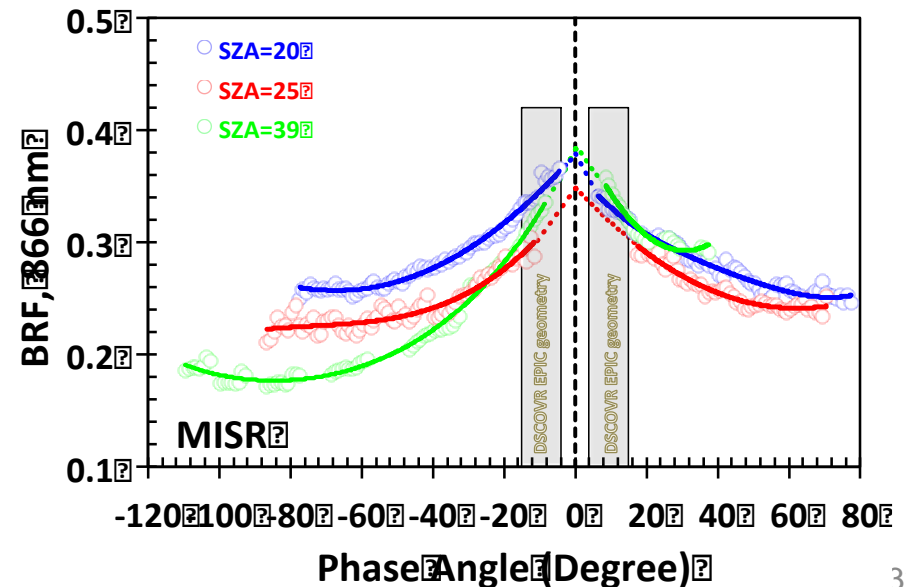


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August-23-2016



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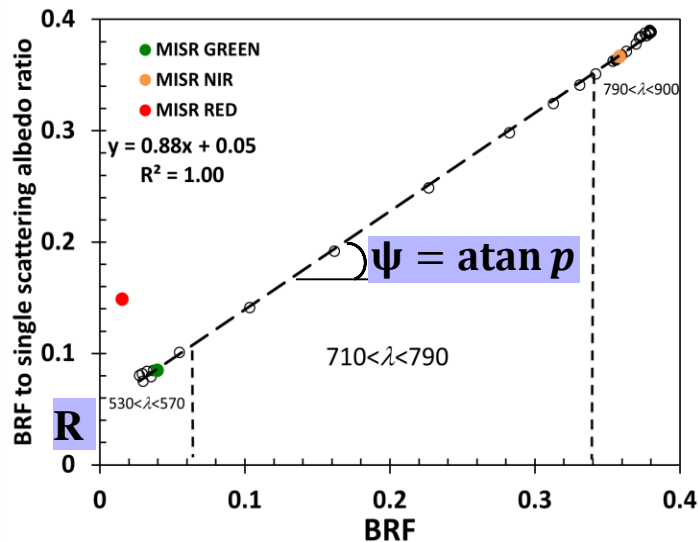


# RECOLLISION PROBABILITY OF CLOUD AND VEGETATION

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$\psi = \text{atan } p$



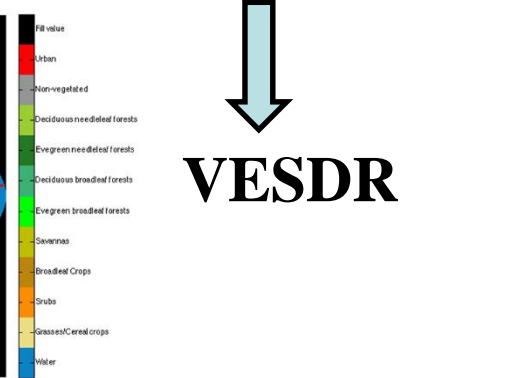
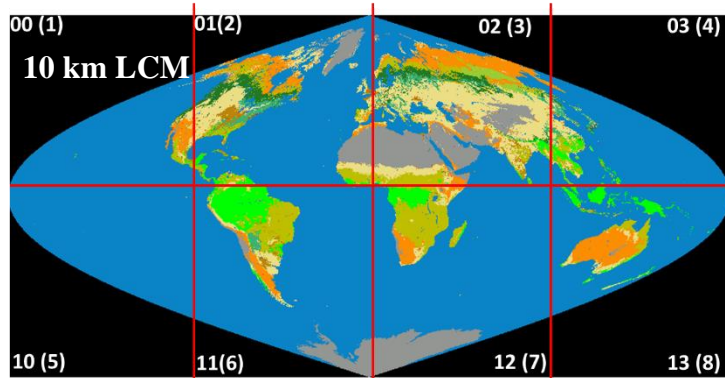
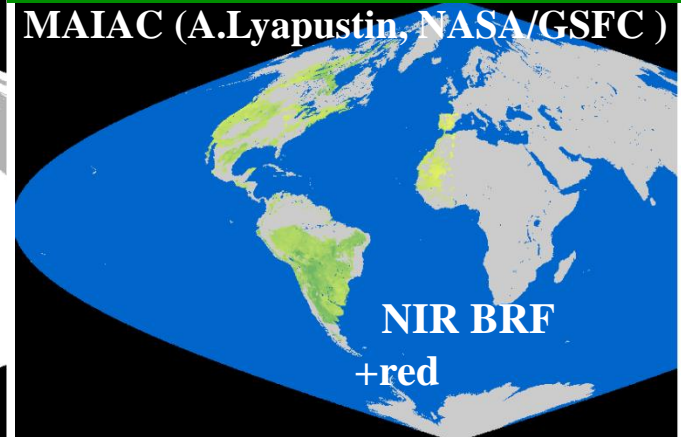
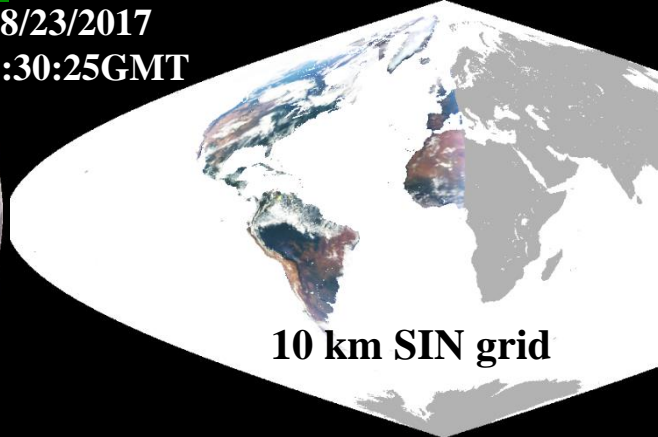
$$\frac{BRF_{\lambda}}{\omega_{\lambda}} = R + pBRF_{\lambda}$$

$$\psi = \text{atan } p, \text{ DEG}$$

# VEGETATION EARTH SYSTEM DATA RECORD (VESDR)

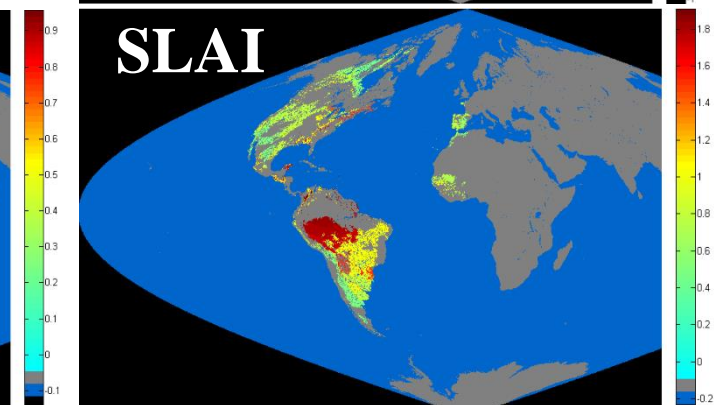
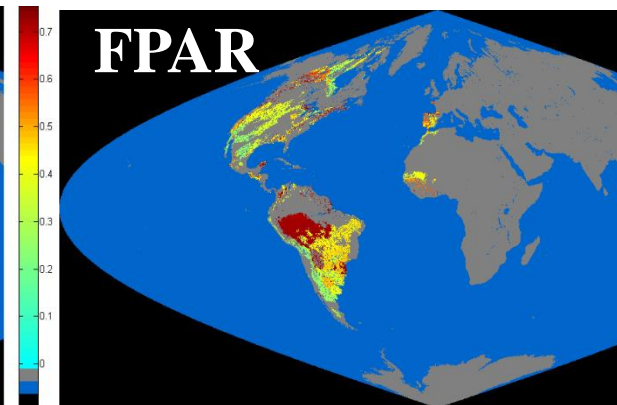
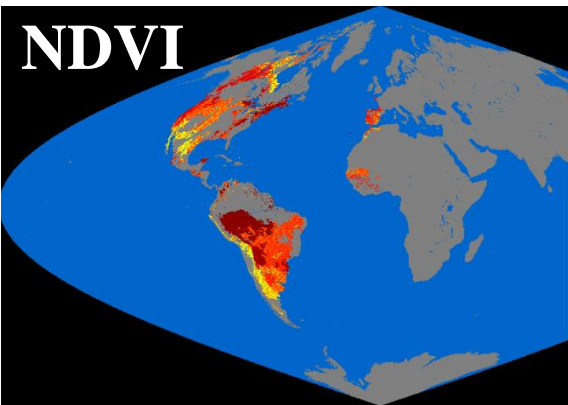
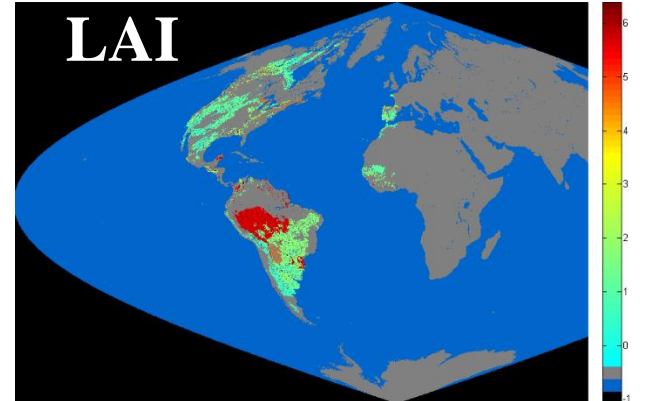


8/23/2017  
16:30:25GMT



↓

**VESDR**



# VESDR ALGORITHM

- Uses decomposition of the boundary value problem for RTE
- Based on the stochastic RTE equation
  - ❑ accounts for 3D effects in surface reflectance data
- Incorporates recent advances in the theory of canopy spectral invariants
  - ❑ Special attention to the relationship between “true” and “effective” LAI
  - ❑ Kuusk’s theory is incorporated into spectral invariants
  - ❑ Energy conservation law is not violated
- Parametrized in terms of measurable parameters
  - ❑ allows for direct validation of the algorithm, facilitates identification of its deficiencies and development of refinements

**Methodologies and techniques developed in other scientific areas, motivated by other sciences and applications:**

- **Decomposition of the boundary value problem for RTE**
  - ❑ **Reactor physics, atmospheric physics, astrophysics**
- **Stochastic radiative transfer equation**
  - ❑ **Cloud physics, mathematics**
- **Spectral invariants**
  - ❑ **Reactor physics (criticality condition), mathematics**
- **Hot spot phenomenon**
  - ❑ **Vegetation, amplified by reactor/cloud physics, mathematics**





Special Issue

**Deadline for manuscript  
submission:  
15 May, 2018**

## **Radiative Transfer Modelling and Applications in Remote Sensing**

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### **Message from the Guest Editors**

We invite scientists working on forward and inverse radiative transfer to contribute to this Special Issue. Topics of interest include (a) theoretical aspects of radiative transfer that can advance remote sensing techniques; (b) models for radiative transfer in the atmosphere and the Earth's surface that further our understanding of information content of multiangle, spectral and polarimetric data; (c) analyses of 3D effects in radiative transfer and associated uncertainties in interpretation of remotely sensed data; and (d) methodologies that minimize the discretizing effects in numerical solutions of the radiative transfer equation. Contributions related to development of various indices that correlate with parameters of the atmosphere and land surface are also encouraged. However, we expect that such papers will provide analyses of underlying physical mechanisms of the correlation, which is required to distinguish causality from correlations in interpretation of remote sensing data.

**Keyword:** radiative transfer equation; inverse technique; multiangle, spectral and polarimetric signals; computational methods; remote sensing indices